

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Gain or Loss on Securities Received						
Agilent Tech Inc.						(\$149)
Transfer in long	Agilent Tech Inc.	04/20/01	40.40	19	(\$768)	
Sell long	Agilent Tech Inc.	06/12/01	32.57	19	\$619	
America Online (AOL Time Warner)						(\$1,616)
Transfer in long	America Online (AOL Time Warner)	04/20/01	48.69	100	(\$4,869)	
Sell long	America Online (AOL Time Warner)	10/09/01	32.53	100	\$3,253	
American High Income Trust CI A						\$59
Transfer in long	American High Income Trust CI A	04/24/01	12.21	159	(\$1,947)	
Dividend	American High Income Trust CI A	05/01/01			\$17	
Buy long	American High Income Trust CI A	05/01/01	12.24	1	(\$17)	
Sell long	American High Income Trust CI A	05/20/01	12.37	159	\$1,973	
Sell long	American High Income Trust CI A	05/20/01	12.37	1	\$17	
Dividend	American High Income Trust CI A	05/29/01			\$17	
American Power Conversion Corp.						(\$53)
Transfer in long	American Power Conversion Corp.	04/20/01	15.20	257	(\$3,906)	
Sell long	American Power Conversion Corp.	06/12/01	14.99	257	\$3,853	
Applied Materials Inc.						(\$191)
Transfer in long	Applied Materials Inc.	04/20/01	56.31	50	(\$2,816)	
Sell long	Applied Materials Inc.	06/12/01	52.50	50	\$2,625	

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BT Fds Intl Equity						\$1,353
Transfer in long	BT Fds Intl Equity	04/20/01	22.64	5,414	(\$122,563)	
Sell long	BT Fds Intl Equity	05/23/01	22.89	5,414	\$123,916	
Bio-Vascular Inc.						\$3,207
Transfer in long	Bio-Vascular Inc.	04/20/01	5.10	2,302	(\$11,740)	
Sell long	Bio-Vascular Inc.	06/12/01	6.49	2,302	\$14,947	
Cisco Systems Inc.						(\$110)
Transfer in long	Cisco Systems Inc.	04/20/01	19.15	200	(\$3,830)	
Sell long	Cisco Systems Inc.	10/17/01	17.15	110	\$1,886	
Sell long	Cisco Systems Inc.	11/19/01	20.37	90	\$1,833	
Cree Inc.						\$588
Transfer in long	Cree Inc.	04/20/01	21.73	133	(\$2,890)	
Sell long	Cree Inc.	06/12/01	26.15	133	\$3,478	
Dodge & Cox Balance Fd						\$130
Transfer in long	Dodge & Cox Balance Fd	04/23/01	64.75	234	(\$15,147)	
Dividend	Dodge & Cox Balance Fd	07/02/01			\$126	
Buy long	Dodge & Cox Balance Fd	07/02/01	65.80	2	(\$126)	
Dividend	Dodge & Cox Balance Fd	10/01/01			\$127	
Buy long	Dodge & Cox Balance Fd	10/01/01	60.88	2	(\$127)	
Dividend	Dodge & Cox Balance Fd	01/02/02			\$290	
Buy long	Dodge & Cox Balance Fd	01/02/02	65.21	4	(\$290)	
Dividend	Dodge & Cox Balance Fd	01/02/02			\$119	
Buy long	Dodge & Cox Balance Fd	01/02/02	65.19	2	(\$119)	
Dividend	Dodge & Cox Balance Fd	01/02/02			\$57	

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Buy long	Dodge & Cox Balance Fd	01/02/02	65.19	1	(\$57)	
Dividend	Dodge & Cox Balance Fd	04/30/02			\$135	
Buy long	Dodge & Cox Balance Fd	04/30/02	66.84	2	(\$135)	
Dividend	Dodge & Cox Balance Fd	07/01/02			\$116	
Buy long	Dodge & Cox Balance Fd	07/01/02	63.75	2	(\$116)	
Dividend	Dodge & Cox Balance Fd	10/01/02			\$122	
Buy long	Dodge & Cox Balance Fd	10/01/02	59.83	2	(\$122)	
Sell long	Dodge & Cox Balance Fd	11/05/02	60.87	234	\$14,240	
Sell long	Dodge & Cox Balance Fd	11/05/02	60.87	2	\$117	
Sell long	Dodge & Cox Balance Fd	11/05/02	60.87	2	\$127	
Sell long	Dodge & Cox Balance Fd	11/05/02	60.87	4	\$271	
Sell long	Dodge & Cox Balance Fd	11/05/02	60.87	2	\$111	
Sell long	Dodge & Cox Balance Fd	11/05/02	60.87	1	\$53	
Sell long	Dodge & Cox Balance Fd	11/05/02	60.87	2	\$123	
Sell long	Dodge & Cox Balance Fd	11/05/02	60.87	2	\$111	
Sell long	Dodge & Cox Balance Fd	11/05/02	60.87	2	\$124	
EMC Corp Mass						(\$5,464)
Transfer in long	EMC Corp Mass	04/20/01	45.10	200	(\$9,020)	
Sell long	EMC Corp Mass	07/25/01	17.78	200	\$3,556	
Exxon Mobil Corp						(\$4,443)
Transfer in long	Exxon Mobil Corp	04/20/01	85.17	100	(\$8,517)	
Dividend	Exxon Mobil Corp	06/11/01			\$44	
Sell long	Exxon Mobil Corp	08/31/01	40.30	45	\$1,813	
Sell long	Exxon Mobil Corp	08/31/01	40.30	45	\$1,813	
Sell long	Exxon Mobil Corp	08/31/01	40.30	10	\$403	
Fidelity Capital Tr Capital Appr Fd						\$2,657
Transfer in long	Fidelity Capital Tr Capital Appr Fd	04/20/01	22.93	5,423	(\$124,343)	
Sell long	Fidelity Capital Tr Capital Appr Fd	05/23/01	23.42	5,423	\$127,000	

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Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Franklin Small Cap						\$4,227
Transfer in long	Franklin Small Cap	04/20/01	33.77	1,994	(\$67,337)	
Sell long	Franklin Small Cap	05/23/01	35.89	1,994	\$71,564	
General Electric						(\$1,305)
Transfer in long	General Electric	04/20/01	48.10	300	(\$14,430)	
Sell long	General Electric	07/12/01	46.27	10	\$463	
Sell long	General Electric	07/12/01	46.27	10	\$463	
Sell long	General Electric	07/12/01	46.27	185	\$8,560	
Sell long	General Electric	10/17/01	38.32	95	\$3,640	
General Motors Corp						\$270
Transfer in long	General Motors Corp	04/20/01	56.74	100	(\$5,674)	
Dividend	General Motors Corp	06/11/01			\$50	
Sell long	General Motors Corp	06/12/01	58.94	100	\$5,894	
Harbor Bond Fund						\$286
Transfer in long	Harbor Bond Fund	04/20/01	11.29	7,151	(\$80,732)	
Sell long	Harbor Bond Fund	05/24/01	11.33	7,151	\$81,018	
Harbor Cap Appreciation Fd						\$8,160
Transfer in long	Harbor Cap Appreciation Fd	04/27/01	32.43	5,100	(\$165,387)	
Sell long	Harbor Cap Appreciation Fd	05/24/01	34.03	5,100	\$173,547	
Hewlett-Packard Company						(\$105)
Transfer in long	Hewlett-Packard Company	04/20/01	28.43	100	(\$2,843)	
Sell long	Hewlett-Packard Company	06/12/01	27.38	100	\$2,738	

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Home Depot Inc.						(\$751)
Transfer in long	Home Depot Inc.	04/20/01	46.21	100	(\$4,621)	
Sell long	Home Depot Inc.	10/17/01	41.52	30	\$1,246	
Sell long	Home Depot Inc.	04/03/02	47.52	20	\$950	
Sell long	Home Depot Inc.	07/08/02	37.35	20	\$747	
Sell long	Home Depot Inc.	08/01/02	29.61	20	\$592	
Sell long	Home Depot Inc.	09/17/02	33.49	10	\$335	
Intel Corp						(\$850)
Transfer in long	Intel Corp	04/20/01	32.43	100	(\$3,243)	
Sell long	Intel Corp	10/17/01	25.74	30	\$772	
Sell long	Intel Corp	04/03/02	29.86	30	\$896	
Sell long	Intel Corp	07/08/02	18.18	35	\$636	
Sell long	Intel Corp	08/01/02	17.83	5	\$89	
Invesco High Yield Bond Fund						\$1,030
Transfer in long	Invesco High Yield Bond Fund	04/20/01	4.89	12,878	(\$62,975)	
Dividend	Invesco High Yield Bond Fund	05/02/01			\$568	
Buy long	Invesco High Yield Bond Fund	05/02/01	4.93	115	(\$568)	
Sell long	Invesco High Yield Bond Fund	05/23/01	4.88	12,878	\$62,846	
Sell long	Invesco High Yield Bond Fund	05/23/01	4.88	115	\$563	
Dividend	Invesco High Yield Bond Fund	05/29/01			\$596	
Invesco Stock Fd.						\$4,527
Transfer in long	Invesco Stock Fd.	04/20/01	19.57	6,757	(\$132,238)	
Sell long	Invesco Stock Fd.	05/23/01	20.24	6,757	\$136,765	
Dividend	J.P. Morgan And Co., Inc.	07/31/01			\$158	

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JP Morgan Chase & Co.						(\$2,027)
Transfer in long	JP Morgan Chase & Co.	04/20/01	47.80	185	(\$8,843)	
Sell long	JP Morgan Chase & Co.	10/17/01	36.23	25	\$906	
Sell long	JP Morgan Chase & Co.	12/14/01	35.95	160	\$5,752	
Janus Worldwide Fund						\$5,068
Transfer in long	Janus Worldwide Fund	04/20/01	50.88	2,233	(\$113,601)	
Sell long	Janus Worldwide Fund	05/23/01	53.15	2,233	\$118,670	
Liberty Fds Tr III Newport Intl Eq CI A						\$0
Transfer in long	Liberty Fds Tr III Newport Intl Eq CI A	04/30/01	10.20	1,086	(\$11,072)	
Transfer in long	Liberty Fds Tr III Newport Intl Eq CI A	04/30/01	8.52	2,535	(\$21,596)	
Sell long	Liberty Fds Tr III Newport Intl Eq CI A	05/23/01	10.20	1,086	\$11,072	
Sell long	Liberty Fds Tr III Newport Intl Eq CI A	05/23/01	8.52	2,535	\$21,596	
Liberty Fds Tr VI Growth & Income CI A						\$0
Transfer in long	Liberty Fds Tr VI Growth & Income CI A	04/30/01	19.55	3,082	(\$60,259)	
Sell long	Liberty Fds Tr VI Growth & Income CI A	05/23/01	19.55	3,082	\$60,259	
McData Corp. CI A						\$19
Transfer in long	McData Corp. CI A	04/20/01	22.25	7	(\$156)	
Sell long	McData Corp. CI A	06/12/01	25.02	7	\$175	
Medimmune Inc.						\$415
Transfer in long	Medimmune Inc.	04/20/01	36.19	110	(\$3,981)	
Sell long	Medimmune Inc.	06/12/01	39.96	110	\$4,395	

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Microsoft Corp						(\$1,448)
Transfer in long	Microsoft Corp	04/20/01	69.00	100	(\$6,900)	
Sell long	Microsoft Corp	10/17/01	58.98	25	\$1,474	
Sell long	Microsoft Corp	11/27/01	63.40	10	\$634	
Sell long	Microsoft Corp	05/01/02	52.70	25	\$1,317	
Sell long	Microsoft Corp	07/08/02	53.30	25	\$1,332	
Sell long	Microsoft Corp	08/01/02	46.23	15	\$693	
Oppenheimer Global Fund A						\$2,039
Transfer in long	Oppenheimer Global Fund A	04/24/01	47.25	588	(\$27,767)	
Sell long	Oppenheimer Global Fund A	05/20/01	50.72	588	\$29,806	
Oppenheimer Main Street Funds Inc & Gr A						\$2,392
Transfer in long	Oppenheimer Main Street Funds Inc & Gr A	04/24/01	33.90	1,252	(\$42,451)	
Sell long	Oppenheimer Main Street Funds Inc & Gr A	05/20/01	35.81	1,252	\$44,842	
Oppenheimer Quest Cap Value Fund Inc Cl A						\$47
Transfer in long	Oppenheimer Quest Cap Value Fund Inc Cl A	04/24/01	16.46	136	(\$2,233)	
Sell long	Oppenheimer Quest Cap Value Fund Inc Cl A	05/20/01	16.81	136	\$2,281	
Oppenheimer Strategic Inc Fd A						\$234
Transfer in long	Oppenheimer Strategic Inc Fd A	04/24/01	3.94	4,328	(\$17,052)	
Sell long	Oppenheimer Strategic Inc Fd A	05/20/01	3.96	4,328	\$17,138	
Dividend	Oppenheimer Strategic Inc Fd A	05/30/01			\$147	

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Oracle Systems Corp.						(\$1,558)
Transfer in long	Oracle Systems Corp.	04/20/01	19.75	200	(\$3,950)	
Sell long	Oracle Systems Corp.	09/05/01	11.96	200	\$2,392	
Putnam Convertible Fund						\$329
Transfer in long	Putnam Convertible Fund	04/20/01	15.43	685	(\$10,570)	
Sell long	Putnam Convertible Fund	05/23/01	15.91	685	\$10,899	
Putnam International Growth CI A						\$353
Transfer in long	Putnam International Growth CI A	04/20/01	22.25	1,260	(\$28,035)	
Sell long	Putnam International Growth CI A	05/23/01	22.53	1,260	\$28,387	
Putnam Voyager Fund CI A						\$537
Transfer in long	Putnam Voyager Fund CI A	04/20/01	20.55	746	(\$15,335)	
Sell long	Putnam Voyager Fund CI A	05/23/01	21.27	746	\$15,872	
Rowe T Price Science &						\$170
Transfer in long	Rowe T Price Science &	04/20/01	30.13	413	(\$12,456)	
Sell long	Rowe T Price Science &	05/23/01	30.54	413	\$12,626	
RS Emerging Growth Fund						\$1,171
Transfer in long	RS Emerging Growth Fund	04/20/01	35.20	482	(\$16,956)	
Sell long	RS Emerging Growth Fund	05/23/01	37.63	482	\$18,127	
Smallcap World Fund						\$0
Transfer in long	Smallcap World Fund	04/30/01	26.07	176	(\$4,576)	
Sell long	Smallcap World Fund	05/23/01	26.07	176	\$4,576	

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Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Vanguard Growth & Inc						\$6,203
Transfer in long	Vanguard Growth & Inc	04/20/01	30.22	4,846	(\$146,460)	
Sell long	Vanguard Growth & Inc	05/24/01	31.50	4,846	\$152,664	
Vanguard Index 500						\$5,544
Transfer in long	Vanguard Index 500	04/20/01	114.76	1,257	(\$144,269)	
Sell long	Vanguard Index 500	05/24/01	119.17	1,257	\$149,813	
Wal Mart						(\$205)
Transfer in long	Wal Mart	04/20/01	51.71	100	(\$5,171)	
Sell long	Wal Mart	06/12/01	49.66	100	\$4,966	
Wells Fargo & Co.						(\$4,546)
Transfer in long	Wells Fargo & Co.	04/20/01	47.93	100	(\$4,793)	
Dividend	Wells Fargo & Co.	06/01/01			\$24	
Sell long	Wells Fargo & Co.	10/03/01	44.64	5	\$223	
Total Gain or Loss on Securities Received						\$26,194

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Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Gain or Loss on Securities Purchased						
AES Corp						(\$7,828)
Buy long	AES Corp	06/12/01	42.33	45	(\$1,905)	
Buy long	AES Corp	06/12/01	42.33	45	(\$1,905)	
Buy long	AES Corp	06/12/01	42.33	690	(\$29,208)	
Buy long	AES Corp	07/16/01	38.93	5	(\$195)	
Sell long	AES Corp	08/14/01	35.15	20	\$703	
Sell long	AES Corp	08/14/01	35.15	20	\$703	
Sell long	AES Corp	08/14/01	35.15	305	\$10,721	
Sell long	AES Corp	09/05/01	30.13	25	\$753	
Sell long	AES Corp	09/05/01	30.13	25	\$753	
Sell long	AES Corp	09/05/01	30.13	385	\$11,601	
Sell long	AES Corp	09/05/01	30.13	5	\$151	
AT&T Corporation						\$6,032
Buy long	AT&T Corporation	07/16/02	10.39	70	(\$727)	
Buy long	AT&T Corporation	07/16/02	10.39	70	(\$727)	
Buy long	AT&T Corporation	07/16/02	10.39	985	(\$10,230)	
Buy long	AT&T Corporation	08/16/02	10.57	45	(\$476)	
Buy long	AT&T Corporation	08/16/02	10.57	45	(\$476)	
Buy long	AT&T Corporation	08/16/02	10.57	605	(\$6,395)	
Buy long	AT&T Corporation	09/04/02	11.52	70	(\$806)	
Dividend	AT&T Corporation	11/01/02			\$4	
Dividend	AT&T Corporation	11/01/02			\$4	
Dividend	AT&T Corporation	11/01/02			\$62	
Transfer out long	AT&T Corporation	11/06/02	13.65	70	\$956	
Transfer out long	AT&T Corporation	11/06/02	13.65	45	\$614	
Transfer out long	AT&T Corporation	11/06/02	13.65	70	\$956	
Transfer out long	AT&T Corporation	11/06/02	13.65	45	\$614	
Transfer out long	AT&T Corporation	11/07/02	13.65	985	\$13,445	

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Transfer out long	AT&T Corporation	11/07/02	13.65	605	\$8,258	
Transfer out long	AT&T Corporation	11/07/02	13.65	70	\$956	
Alcoa Inc						(\$2,113)
Buy long	Alcoa Inc	06/12/01	39.99	30	(\$1,200)	
Buy long	Alcoa Inc	06/12/01	39.99	30	(\$1,200)	
Buy long	Alcoa Inc	06/12/01	39.99	500	(\$19,995)	
Buy long	Alcoa Inc	07/16/01	39.80	5	(\$199)	
Dividend	Alcoa Inc	08/27/01			\$5	
Dividend	Alcoa Inc	08/27/01			\$5	
Dividend	Alcoa Inc	08/27/01			\$76	
Buy long	Alcoa Inc	10/09/01	31.79	10	(\$318)	
Buy long	Alcoa Inc	10/09/01	31.79	10	(\$318)	
Buy long	Alcoa Inc	10/09/01	31.79	105	(\$3,338)	
Sell long	Alcoa Inc	10/17/01	33.00	40	\$1,320	
Dividend	Alcoa Inc	11/26/01			\$6	
Dividend	Alcoa Inc	11/26/01			\$6	
Dividend	Alcoa Inc	11/26/01			\$86	
Sell long	Alcoa Inc	01/25/02	35.29	30	\$1,059	
Sell long	Alcoa Inc	01/25/02	35.29	10	\$353	
Sell long	Alcoa Inc	01/25/02	35.29	460	\$16,236	
Sell long	Alcoa Inc	01/25/02	35.29	5	\$176	
Sell long	Alcoa Inc	01/25/02	35.29	105	\$3,706	
Sell long	Alcoa Inc	01/30/02	35.55	30	\$1,067	
Sell long	Alcoa Inc	01/30/02	35.55	10	\$356	
Amdocs Ltd Ordinary						(\$7,240)
Buy long	Amdocs Ltd Ordinary	06/12/01	62.04	10	(\$620)	
Buy long	Amdocs Ltd Ordinary	06/12/01	62.04	10	(\$620)	
Buy long	Amdocs Ltd Ordinary	06/12/01	62.04	10	(\$620)	
Buy long	Amdocs Ltd Ordinary	06/12/01	62.04	10	(\$620)	
Buy long	Amdocs Ltd Ordinary	06/12/01	62.04	290	(\$17,992)	

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Sell long	Amdocs Ltd Ordinary	07/25/01	42.03	10	\$420	
Sell long	Amdocs Ltd Ordinary	07/25/01	42.03	10	\$420	
Sell long	Amdocs Ltd Ordinary	07/25/01	42.03	110	\$4,623	
Sell long	Amdocs Ltd Ordinary	08/17/01	38.85	10	\$388	
Sell long	Amdocs Ltd Ordinary	08/17/01	38.85	10	\$388	
Sell long	Amdocs Ltd Ordinary	08/17/01	38.85	180	\$6,993	
America Online (AOL Time Warner)						(\$24,176)
Buy long	America Online (AOL Time Warner)	06/12/01	51.22	75	(\$3,842)	
Buy long	America Online (AOL Time Warner)	06/12/01	51.22	75	(\$3,842)	
Buy long	America Online (AOL Time Warner)	06/12/01	51.22	1,090	(\$55,830)	
Buy long	America Online (AOL Time Warner)	07/16/01	49.57	10	(\$496)	
Sell long	America Online (AOL Time Warner)	10/09/01	32.53	15	\$488	
Sell long	America Online (AOL Time Warner)	10/09/01	32.53	15	\$488	
Sell long	America Online (AOL Time Warner)	10/09/01	32.53	135	\$4,391	
Sell long	America Online (AOL Time Warner)	10/17/01	31.81	55	\$1,749	
Sell long	America Online (AOL Time Warner)	10/23/01	32.61	25	\$815	
Sell long	America Online (AOL Time Warner)	10/23/01	32.61	25	\$815	
Sell long	America Online (AOL Time Warner)	10/23/01	32.61	375	\$12,228	
Sell long	America Online (AOL Time Warner)	01/10/02	31.11	35	\$1,089	
Sell long	America Online (AOL Time Warner)	01/10/02	31.11	525	\$16,334	
Sell long	America Online (AOL Time Warner)	01/10/02	31.11	10	\$311	
Sell long	America Online (AOL Time Warner)	01/25/02	32.10	35	\$1,124	
American Home Products Corp.						\$3,594
Buy long	American Home Products Corp.	11/21/01	58.73	30	(\$1,762)	
Buy long	American Home Products Corp.	11/21/01	58.73	30	(\$1,762)	
Buy long	American Home Products Corp.	11/21/01	58.73	395	(\$23,198)	
Buy long	American Home Products Corp.	02/06/02	64.22	10	(\$642)	
Buy long	American Home Products Corp.	02/06/02	64.22	10	(\$642)	
Buy long	American Home Products Corp.	02/06/02	64.22	185	(\$11,881)	
Dividend	American Home Products Corp.	03/01/02			\$9	

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Dividend	American Home Products Corp.	03/01/02			\$9	
Dividend	American Home Products Corp.	03/01/02			\$133	
Sell long	American Home Products Corp.	03/11/02	65.65	30	\$1,970	
Sell long	American Home Products Corp.	03/11/02	65.65	10	\$657	
Sell long	American Home Products Corp.	03/11/02	65.65	30	\$1,970	
Sell long	American Home Products Corp.	03/11/02	65.65	10	\$657	
Sell long	American Home Products Corp.	03/11/02	65.65	395	\$25,932	
Sell long	American Home Products Corp.	03/11/02	65.65	185	\$12,145	
American International Group Inc.						(\$6,574)
Buy long	American International Group Inc.	06/12/01	80.07	35	(\$2,802)	
Buy long	American International Group Inc.	06/12/01	80.07	35	(\$2,802)	
Buy long	American International Group Inc.	06/12/01	80.07	515	(\$41,236)	
Buy long	American International Group Inc.	07/16/01	85.01	5	(\$425)	
Dividend	American International Group Inc.	09/14/01			\$1	
Dividend	American International Group Inc.	09/14/01			\$1	
Dividend	American International Group Inc.	09/14/01			\$22	
Sell long	American International Group Inc.	10/08/01	78.00	10	\$780	
Sell long	American International Group Inc.	10/08/01	78.00	10	\$780	
Sell long	American International Group Inc.	10/08/01	78.00	145	\$11,310	
Sell long	American International Group Inc.	10/17/01	85.45	20	\$1,709	
Dividend	American International Group Inc.	12/21/01			\$1	
Dividend	American International Group Inc.	12/21/01			\$1	
Dividend	American International Group Inc.	12/21/01			\$15	
Dividend	American International Group Inc.	03/15/02			\$1	
Dividend	American International Group Inc.	03/15/02			\$1	
Dividend	American International Group Inc.	03/15/02			\$15	
Sell long	American International Group Inc.	05/01/02	70.45	20	\$1,409	
Dividend	American International Group Inc.	06/14/02			\$1	
Dividend	American International Group Inc.	06/14/02			\$1	
Dividend	American International Group Inc.	06/14/02			\$14	
Sell long	American International Group Inc.	08/01/02	62.95	20	\$1,259	
Sell long	American International Group Inc.	09/17/02	59.00	10	\$590	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	American International Group Inc.	09/20/02			\$1	
Dividend	American International Group Inc.	09/20/02			\$1	
Dividend	American International Group Inc.	09/20/02			\$15	
Transfer out long	American International Group Inc.	11/06/02	64.12	25	\$1,603	
Transfer out long	American International Group Inc.	11/06/02	64.12	25	\$1,603	
Transfer out long	American International Group Inc.	11/07/02	64.12	300	\$19,236	
Transfer out long	American International Group Inc.	11/07/02	64.12	5	\$321	
American Power Conversion Corp.						\$248
Buy long	American Power Conversion Corp.	09/12/01	11.97	100	(\$1,197)	
Transfer out long	American Power Conversion Corp.	11/06/02	14.45	100	\$1,445	
Amgen Inc.						\$2,547
Buy long	Amgen Inc.	06/27/02	41.76	20	(\$835)	
Buy long	Amgen Inc.	06/27/02	41.76	20	(\$835)	
Buy long	Amgen Inc.	06/27/02	41.76	250	(\$10,439)	
Buy long	Amgen Inc.	07/17/02	36.21	10	(\$362)	
Buy long	Amgen Inc.	07/17/02	36.21	10	(\$362)	
Buy long	Amgen Inc.	07/17/02	36.21	145	(\$5,251)	
Sell long	Amgen Inc.	09/17/02	45.67	5	\$228	
Transfer out long	Amgen Inc.	11/06/02	45.34	20	\$907	
Transfer out long	Amgen Inc.	11/06/02	45.34	10	\$453	
Transfer out long	Amgen Inc.	11/06/02	45.34	20	\$907	
Transfer out long	Amgen Inc.	11/06/02	45.34	10	\$453	
Transfer out long	Amgen Inc.	11/07/02	45.34	245	\$11,108	
Transfer out long	Amgen Inc.	11/07/02	45.34	145	\$6,574	
Apache Corp.						\$3,708
Buy long	Apache Corp.	12/18/01	48.48	15	(\$727)	
Buy long	Apache Corp.	12/18/01	48.48	15	(\$727)	
Buy long	Apache Corp.	12/18/01	48.48	260	(\$12,605)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Apache Corp.	01/22/02	49.88	1	\$25	
Dividend	Apache Corp.	02/22/02			\$2	
Dividend	Apache Corp.	02/22/02			\$2	
Dividend	Apache Corp.	02/22/02			\$29	
Sell long	Apache Corp.	04/03/02	58.18	20	\$1,164	
Sell long	Apache Corp.	04/17/02	56.25	50	\$2,812	
Dividend	Apache Corp.	05/22/02			\$2	
Dividend	Apache Corp.	05/22/02			\$2	
Dividend	Apache Corp.	05/22/02			\$22	
Sell long	Apache Corp.	07/08/02	56.71	15	\$851	
Sell long	Apache Corp.	08/20/02	53.42	17	\$881	
Sell long	Apache Corp.	08/20/02	55.09	16	\$881	
Sell long	Apache Corp.	08/20/02	55.09	201	\$11,073	
Dividend	Apache Corp.	08/22/02			\$2	
Dividend	Apache Corp.	08/22/02			\$2	
Dividend	Apache Corp.	08/22/02			\$20	
Applera Corp						(\$5,841)
Buy long	Applera Corp	12/18/01	35.13	25	(\$878)	
Buy long	Applera Corp	12/18/01	35.13	25	(\$878)	
Buy long	Applera Corp	12/18/01	35.13	400	(\$14,052)	
Dividend	Applera Corp	04/01/02			\$1	
Dividend	Applera Corp	04/01/02			\$1	
Dividend	Applera Corp	04/01/02			\$17	
Sell long	Applera Corp	04/03/02	20.60	35	\$721	
Dividend	Applera Corp	07/01/02			\$1	
Dividend	Applera Corp	07/01/02			\$1	
Dividend	Applera Corp	07/01/02			\$16	
Sell long	Applera Corp	07/08/02	17.02	15	\$255	
Buy long	Applera Corp	08/20/02	19.07	15	(\$286)	
Buy long	Applera Corp	08/20/02	19.07	15	(\$286)	
Buy long	Applera Corp	08/20/02	19.07	175	(\$3,337)	
Sell long	Applera Corp	09/17/02	18.88	15	\$283	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Applera Corp	10/01/02			\$2	
Dividend	Applera Corp	10/01/02			\$2	
Dividend	Applera Corp	10/01/02			\$22	
Transfer out long	Applera Corp	11/06/02	21.28	25	\$532	
Transfer out long	Applera Corp	11/06/02	21.28	15	\$319	
Transfer out long	Applera Corp	11/06/02	21.28	25	\$532	
Transfer out long	Applera Corp	11/06/02	21.28	15	\$319	
Transfer out long	Applera Corp	11/07/02	21.28	335	\$7,129	
Transfer out long	Applera Corp	11/07/02	21.28	175	\$3,724	
Archer Daniels Midland Debenture						\$32,343
Buy long	Archer Daniels Midland Debenture 7.125% Due 03-01-13	05/23/01	1.07	150,000	(\$160,534)	
Transfer out long	Archer Daniels Midland Debenture 7.125% Due 03-01-13	05/23/01	1.07	150,000	\$160,534	
Sold Accrued Interes	Archer Daniels Midland Debenture 7.125% Due 03-01-13	05/23/01			\$2,434	
Transfer in long	Archer Daniels Midland Debenture 7.125% Due 03-01-13	05/30/01	1.07	150,000	(\$160,534)	
Interest	Archer Daniels Midland Debenture 7.125% Due 03-01-13	09/04/01			\$5,344	
Interest	Archer Daniels Midland Debenture 7.125% Due 03-01-13	03/01/02			\$5,344	
Interest	Archer Daniels Midland Debenture 7.125% Due 03-01-13	09/03/02			\$5,344	
Transfer out long	Archer Daniels Midland Debenture 7.125% Due 03-01-13	11/06/02	1.16	150,000	\$174,411	
Avon Products						\$1,781
Buy long	Avon Products	06/12/01	46.00	20	(\$920)	
Buy long	Avon Products	06/12/01	46.00	20	(\$920)	
Buy long	Avon Products	06/12/01	46.00	335	(\$15,410)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Avon Products	07/16/01	42.91	5	(\$215)	
Dividend	Avon Products	09/04/01			\$4	
Dividend	Avon Products	09/04/01			\$4	
Dividend	Avon Products	09/04/01			\$65	
Sell long	Avon Products	10/17/01	44.33	25	\$1,108	
Dividend	Avon Products	12/03/01			\$4	
Dividend	Avon Products	12/03/01			\$4	
Dividend	Avon Products	12/03/01			\$60	
Buy long	Avon Products	12/13/01	49.72	10	(\$497)	
Buy long	Avon Products	12/13/01	49.72	10	(\$497)	
Buy long	Avon Products	12/13/01	49.72	120	(\$5,967)	
Dividend	Avon Products	03/01/02			\$6	
Dividend	Avon Products	03/01/02			\$6	
Dividend	Avon Products	03/01/02			\$87	
Sell long	Avon Products	04/03/02	53.96	20	\$1,079	
Dividend	Avon Products	06/03/02			\$6	
Dividend	Avon Products	06/03/02			\$6	
Dividend	Avon Products	06/03/02			\$83	
Sell long	Avon Products	07/08/02	50.79	15	\$762	
Sell long	Avon Products	08/01/02	45.20	15	\$678	
Dividend	Avon Products	09/03/02			\$6	
Dividend	Avon Products	09/03/02			\$6	
Dividend	Avon Products	09/03/02			\$77	
Sell long	Avon Products	09/17/02	50.15	15	\$752	
Transfer out long	Avon Products	11/06/02	49.78	20	\$996	
Transfer out long	Avon Products	11/06/02	49.78	10	\$498	
Transfer out long	Avon Products	11/06/02	49.78	20	\$996	
Transfer out long	Avon Products	11/06/02	49.78	10	\$498	
Transfer out long	Avon Products	11/07/02	49.78	245	\$12,196	
Transfer out long	Avon Products	11/07/02	49.78	5	\$249	
Transfer out long	Avon Products	11/07/02	49.78	120	\$5,974	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
BEA Systems Inc.						(\$2,581)
Buy long	BEA Systems Inc.	06/12/01	34.95	15	(\$524)	
Buy long	BEA Systems Inc.	06/12/01	34.95	15	(\$524)	
Buy long	BEA Systems Inc.	06/12/01	34.95	255	(\$8,911)	
Sell long	BEA Systems Inc.	07/13/01	25.89	15	\$388	
Sell long	BEA Systems Inc.	07/13/01	25.89	15	\$388	
Sell long	BEA Systems Inc.	07/13/01	25.89	255	\$6,602	
Baker Hughes Inc.						(\$2,258)
Buy long	Baker Hughes Inc.	09/04/01	34.48	35	(\$1,207)	
Buy long	Baker Hughes Inc.	09/04/01	34.48	40	(\$1,379)	
Buy long	Baker Hughes Inc.	09/04/01	34.48	590	(\$20,343)	
Sell long	Baker Hughes Inc.	10/17/01	32.36	10	\$324	
Dividend	Baker Hughes Inc.	11/23/01			\$4	
Dividend	Baker Hughes Inc.	11/23/01			\$5	
Dividend	Baker Hughes Inc.	11/23/01			\$67	
Dividend	Baker Hughes Inc.	02/25/02			\$4	
Dividend	Baker Hughes Inc.	02/25/02			\$5	
Dividend	Baker Hughes Inc.	02/25/02			\$67	
Sell long	Baker Hughes Inc.	04/03/02	36.00	20	\$720	
Dividend	Baker Hughes Inc.	05/24/02			\$4	
Dividend	Baker Hughes Inc.	05/24/02			\$5	
Dividend	Baker Hughes Inc.	05/24/02			\$64	
Sell long	Baker Hughes Inc.	07/08/02	32.35	20	\$647	
Sell long	Baker Hughes Inc.	08/01/02	25.79	20	\$516	
Dividend	Baker Hughes Inc.	08/23/02			\$4	
Dividend	Baker Hughes Inc.	08/23/02			\$5	
Dividend	Baker Hughes Inc.	08/23/02			\$62	
Sell long	Baker Hughes Inc.	09/17/02	28.05	20	\$561	
Transfer out long	Baker Hughes Inc.	11/06/02	30.51	35	\$1,068	
Transfer out long	Baker Hughes Inc.	11/06/02	30.51	40	\$1,220	
Transfer out long	Baker Hughes Inc.	11/07/02	30.51	500	\$15,255	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Baker Hughes Inc.	11/22/02			\$4	
Dividend	Baker Hughes Inc.	11/22/02			\$5	
Dividend	Baker Hughes Inc.	11/22/02			\$58	
Bank Of America Corp.						\$6,287
Buy long	Bank Of America Corp.	09/28/01	57.91	15	(\$869)	
Buy long	Bank Of America Corp.	09/28/01	57.91	15	(\$869)	
Buy long	Bank Of America Corp.	09/28/01	57.91	205	(\$11,871)	
Buy long	Bank Of America Corp.	10/09/01	53.16	10	(\$532)	
Buy long	Bank Of America Corp.	10/09/01	53.16	10	(\$532)	
Buy long	Bank Of America Corp.	10/09/01	53.16	200	(\$10,632)	
Sell long	Bank Of America Corp.	10/17/01	57.88	25	\$1,447	
Dividend	Bank Of America Corp.	12/28/01			\$15	
Dividend	Bank Of America Corp.	12/28/01			\$15	
Dividend	Bank Of America Corp.	12/28/01			\$228	
Dividend	Bank Of America Corp.	03/22/02			\$15	
Dividend	Bank Of America Corp.	03/22/02			\$15	
Dividend	Bank Of America Corp.	03/22/02			\$228	
Sell long	Bank Of America Corp.	05/01/02	72.65	20	\$1,453	
Dividend	Bank Of America Corp.	06/28/02			\$15	
Dividend	Bank Of America Corp.	06/28/02			\$15	
Dividend	Bank Of America Corp.	06/28/02			\$216	
Sell long	Bank Of America Corp.	07/08/02	71.20	10	\$712	
Sell long	Bank Of America Corp.	09/04/02	67.24	20	\$1,345	
Sell long	Bank Of America Corp.	09/17/02	68.57	5	\$343	
Dividend	Bank Of America Corp.	09/27/02			\$15	
Dividend	Bank Of America Corp.	09/27/02			\$15	
Dividend	Bank Of America Corp.	09/27/02			\$210	
Transfer out long	Bank Of America Corp.	11/06/02	67.44	15	\$1,012	
Transfer out long	Bank Of America Corp.	11/06/02	67.44	10	\$674	
Transfer out long	Bank Of America Corp.	11/06/02	67.44	15	\$1,012	
Transfer out long	Bank Of America Corp.	11/06/02	67.44	10	\$674	
Transfer out long	Bank Of America Corp.	11/07/02	67.44	125	\$8,430	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Transfer out long	Bank Of America Corp.	11/07/02	67.44	200	\$13,488	
Bank One Corp						(\$610)
Buy long	Bank One Corp	06/12/01	36.89	40	(\$1,476)	
Buy long	Bank One Corp	06/12/01	36.89	40	(\$1,476)	
Buy long	Bank One Corp	06/12/01	36.89	595	(\$21,950)	
Sell long	Bank One Corp	06/20/01	36.11	25	\$903	
Sell long	Bank One Corp	06/20/01	36.11	25	\$903	
Sell long	Bank One Corp	06/25/01	36.11	395	\$14,263	
Dividend	Bank One Corp	07/02/01			\$8	
Dividend	Bank One Corp	07/02/01			\$8	
Dividend	Bank One Corp	07/02/01			\$125	
Sell long	Bank One Corp	07/09/01	35.13	15	\$527	
Sell long	Bank One Corp	07/09/01	35.13	15	\$527	
Sell long	Bank One Corp	07/09/01	35.13	200	\$7,026	
Bank of America Corp						\$33,331
Buy long	Bank of America Corp 7.400% Due 01-15-11	05/23/01	1.08	150,000	(\$161,603)	
Transfer out long	Bank of America Corp 7.400% Due 01-15-11	05/23/01	1.08	150,000	\$161,603	
Sold Accrued Interes	Bank of America Corp 7.400% Due 01-15-11	05/23/01			\$3,947	
Transfer in long	Bank of America Corp 7.400% Due 01-15-11	05/30/01	1.08	150,000	(\$161,603)	
Interest	Bank of America Corp 7.400% Due 01-15-11	07/16/01			\$5,303	
Interest	Bank of America Corp 7.400% Due 01-15-11	01/15/02			\$5,550	
Interest	Bank of America Corp 7.400% Due 01-15-11	07/15/02			\$5,550	
Transfer out long	Bank of America Corp	11/06/02	1.16	150,000	\$174,584	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
	7.400% Due 01-15-11					
Boeing Co						(\$4,070)
Buy long	Boeing Co	06/28/02	44.93	10	(\$449)	
Buy long	Boeing Co	06/28/02	44.93	15	(\$674)	
Buy long	Boeing Co	06/28/02	44.93	130	(\$5,841)	
Buy long	Boeing Co	07/08/02	44.52	105	(\$4,675)	
Buy long	Boeing Co	07/22/02	38.75	15	(\$581)	
Buy long	Boeing Co	07/22/02	38.75	10	(\$388)	
Buy long	Boeing Co	07/22/02	38.75	85	(\$3,294)	
Buy long	Boeing Co	08/01/02	40.63	30	(\$1,219)	
Dividend	Boeing Co	09/06/02			\$4	
Dividend	Boeing Co	09/06/02			\$4	
Dividend	Boeing Co	09/06/02			\$60	
Sell long	Boeing Co	09/17/02	36.73	15	\$551	
Transfer out long	Boeing Co	11/06/02	32.29	10	\$323	
Transfer out long	Boeing Co	11/06/02	32.29	15	\$484	
Transfer out long	Boeing Co	11/06/02	32.29	15	\$484	
Transfer out long	Boeing Co	11/06/02	32.29	10	\$323	
Transfer out long	Boeing Co	11/07/02	32.29	115	\$3,713	
Transfer out long	Boeing Co	11/07/02	32.29	105	\$3,390	
Transfer out long	Boeing Co	11/07/02	32.29	85	\$2,745	
Transfer out long	Boeing Co	11/07/02	32.29	30	\$969	
British Pete Plc Adr						(\$5,920)
Buy long	British Pete Plc Adr	06/12/01	52.64	15	(\$790)	
Buy long	British Pete Plc Adr	06/25/01	52.64	15	(\$790)	
Buy long	British Pete Plc Adr	06/25/01	52.64	220	(\$11,582)	
Buy long	British Pete Plc Adr	07/09/01	48.50	5	(\$242)	
Buy long	British Pete Plc Adr	07/09/01	48.50	5	(\$242)	
Buy long	British Pete Plc Adr	07/09/01	48.50	120	(\$5,820)	
Buy long	British Pete Plc Adr	07/16/01	48.22	15	(\$723)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	British Pete Plc Adr	09/10/01			\$7	
Dividend	British Pete Plc Adr	09/10/01			\$7	
Dividend	British Pete Plc Adr	09/10/01			\$130	
Sell long	British Pete Plc Adr	10/17/01	51.68	15	\$775	
Dividend	British Pete Plc Adr	12/10/01			\$7	
Dividend	British Pete Plc Adr	12/10/01			\$7	
Dividend	British Pete Plc Adr	12/10/01			\$125	
Buy long	British Pete Plc Adr	02/06/02	46.67	20	(\$933)	
Buy long	British Pete Plc Adr	02/06/02	46.67	20	(\$933)	
Buy long	British Pete Plc Adr	02/06/02	46.67	240	(\$11,200)	
Dividend	British Pete Plc Adr	03/18/02			\$15	
Dividend	British Pete Plc Adr	03/18/02			\$15	
Dividend	British Pete Plc Adr	03/18/02			\$222	
Sell long	British Pete Plc Adr	04/03/02	53.85	20	\$1,077	
Sell long	British Pete Plc Adr	05/01/02	50.78	25	\$1,269	
Dividend	British Pete Plc Adr	06/10/02			\$15	
Dividend	British Pete Plc Adr	06/10/02			\$15	
Dividend	British Pete Plc Adr	06/10/02			\$205	
Sell long	British Pete Plc Adr	08/01/02	44.48	15	\$667	
Dividend	British Pete Plc Adr	09/09/02			\$16	
Dividend	British Pete Plc Adr	09/09/02			\$16	
Dividend	British Pete Plc Adr	09/09/02			\$208	
Sell long	British Pete Plc Adr	09/17/02	42.10	20	\$842	
Transfer out long	British Pete Plc Adr	11/06/02	37.40	15	\$561	
Transfer out long	British Pete Plc Adr	11/06/02	37.40	5	\$187	
Transfer out long	British Pete Plc Adr	11/06/02	37.40	20	\$748	
Transfer out long	British Pete Plc Adr	11/06/02	37.40	15	\$561	
Transfer out long	British Pete Plc Adr	11/06/02	37.40	5	\$187	
Transfer out long	British Pete Plc Adr	11/06/02	37.40	20	\$748	
Transfer out long	British Pete Plc Adr	11/07/02	37.40	125	\$4,675	
Transfer out long	British Pete Plc Adr	11/07/02	37.40	120	\$4,488	
Transfer out long	British Pete Plc Adr	11/07/02	37.40	15	\$561	
Transfer out long	British Pete Plc Adr	11/07/02	37.40	240	\$8,976	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Cardinal Health Inc.						(\$1,249)
Buy long	Cardinal Health Inc.	08/01/01	74.58	15	(\$1,119)	
Buy long	Cardinal Health Inc.	08/01/01	74.58	15	(\$1,119)	
Buy long	Cardinal Health Inc.	08/01/01	74.58	260	(\$19,390)	
Dividend	Cardinal Health Inc.	10/15/01			\$0	
Dividend	Cardinal Health Inc.	10/15/01			\$0	
Dividend	Cardinal Health Inc.	10/15/01			\$7	
Sell long	Cardinal Health Inc.	10/17/01	74.00	10	\$740	
Buy long	Cardinal Health Inc.	11/15/01	66.79	10	(\$668)	
Buy long	Cardinal Health Inc.	11/15/01	66.79	10	(\$668)	
Buy long	Cardinal Health Inc.	11/15/01	66.79	155	(\$10,352)	
Dividend	Cardinal Health Inc.	01/15/02			\$1	
Dividend	Cardinal Health Inc.	01/15/02			\$10	
Sell long	Cardinal Health Inc.	04/03/02	71.42	15	\$1,071	
Dividend	Cardinal Health Inc.	04/15/02			\$1	
Dividend	Cardinal Health Inc.	04/15/02			\$1	
Dividend	Cardinal Health Inc.	04/15/02			\$10	
Sell long	Cardinal Health Inc.	05/01/02	70.21	30	\$2,106	
Buy long	Cardinal Health Inc.	07/08/02	58.66	15	(\$880)	
Dividend	Cardinal Health Inc.	07/15/02			\$1	
Dividend	Cardinal Health Inc.	07/15/02			\$1	
Dividend	Cardinal Health Inc.	07/15/02			\$9	
Sell long	Cardinal Health Inc.	08/01/02	55.94	15	\$839	
Sell long	Cardinal Health Inc.	09/17/02	64.87	10	\$649	
Dividend	Cardinal Health Inc.	10/15/02			\$1	
Dividend	Cardinal Health Inc.	10/15/02			\$1	
Dividend	Cardinal Health Inc.	10/15/02			\$9	
Transfer out long	Cardinal Health Inc.	11/06/02	68.73	15	\$1,031	
Transfer out long	Cardinal Health Inc.	11/06/02	68.73	10	\$687	
Transfer out long	Cardinal Health Inc.	11/06/02	68.73	15	\$1,031	
Transfer out long	Cardinal Health Inc.	11/06/02	68.73	10	\$687	
Transfer out long	Cardinal Health Inc.	11/07/02	68.73	180	\$12,371	
Transfer out long	Cardinal Health Inc.	11/07/02	68.73	155	\$10,653	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Transfer out long	Cardinal Health Inc.	11/07/02	68.73	15	\$1,031	
Cash						\$7,350
Interest	Cash	04/27/01			\$18	
Dividend	Cash	04/30/01			\$63	
Dividend	Cash	05/23/01			\$639	
Dividend	Cash	05/30/01			\$28	
Interest	Cash	05/30/01			\$133	
Dividend	Cash	05/31/01			\$28	
Dividend	Cash	05/31/01			\$1,596	
Interest	Cash	06/28/01			\$1	
Dividend	Cash	06/29/01			\$133	
Dividend	Cash	06/29/01			\$135	
Dividend	Cash	06/29/01			\$2,266	
Dividend	Cash	06/29/01			\$6	
Dividend	Cash	07/31/01			\$14	
Dividend	Cash	07/31/01			\$14	
Dividend	Cash	07/31/01			\$199	
Dividend	Cash	07/31/01			\$22	
Dividend	Cash	08/31/01			\$15	
Dividend	Cash	08/31/01			\$15	
Dividend	Cash	08/31/01			\$181	
Dividend	Cash	08/31/01			\$40	
Dividend	Cash	09/28/01			\$17	
Dividend	Cash	09/28/01			\$18	
Dividend	Cash	09/28/01			\$280	
Dividend	Cash	09/28/01			\$43	
Dividend	Cash	10/10/01			\$23	
Dividend	Cash	10/31/01			\$11	
Dividend	Cash	10/31/01			\$12	
Dividend	Cash	10/31/01			\$156	
Dividend	Cash	10/31/01			\$53	
Dividend	Cash	11/30/01			\$6	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Cash	11/30/01			\$7	
Dividend	Cash	11/30/01			\$116	
Dividend	Cash	11/30/01			\$44	
Dividend	Cash	12/31/01			\$5	
Dividend	Cash	12/31/01			\$5	
Dividend	Cash	12/31/01			\$92	
Dividend	Cash	12/31/01			\$36	
Dividend	Cash	01/31/02			\$5	
Dividend	Cash	01/31/02			\$100	
Dividend	Cash	01/31/02			\$11	
Dividend	Cash	02/28/02			\$5	
Dividend	Cash	02/28/02			\$5	
Dividend	Cash	02/28/02			\$78	
Dividend	Cash	02/28/02			\$15	
Dividend	Cash	03/28/02			\$4	
Dividend	Cash	03/28/02			\$4	
Dividend	Cash	03/28/02			\$78	
Dividend	Cash	03/28/02			\$15	
Dividend	Cash	04/30/02			\$4	
Dividend	Cash	04/30/02			\$4	
Dividend	Cash	04/30/02			\$67	
Dividend	Cash	04/30/02			\$18	
Dividend	Cash	05/31/02			\$4	
Dividend	Cash	05/31/02			\$4	
Dividend	Cash	05/31/02			\$8	
Dividend	Cash	05/31/02			\$64	
Dividend	Cash	05/31/02			\$18	
Dividend	Cash	06/28/02			\$4	
Dividend	Cash	06/28/02			\$4	
Dividend	Cash	06/28/02			\$7	
Dividend	Cash	06/28/02			\$48	
Dividend	Cash	06/28/02			\$19	
Dividend	Cash	07/31/02			\$3	
Dividend	Cash	07/31/02			\$3	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Cash	07/31/02			\$8	
Dividend	Cash	07/31/02			\$47	
Dividend	Cash	07/31/02			\$25	
Dividend	Cash	08/30/02			\$1	
Dividend	Cash	08/30/02			\$1	
Dividend	Cash	08/30/02			\$7	
Dividend	Cash	08/30/02			\$26	
Dividend	Cash	08/30/02			\$29	
Dividend	Cash	09/30/02			\$1	
Dividend	Cash	09/30/02			\$1	
Dividend	Cash	09/30/02			\$7	
Dividend	Cash	09/30/02			\$30	
Dividend	Cash	09/30/02			\$33	
Dividend	Cash	10/31/02			\$1	
Dividend	Cash	10/31/02			\$1	
Dividend	Cash	10/31/02			\$7	
Dividend	Cash	10/31/02			\$32	
Dividend	Cash	10/31/02			\$23	
Cash Adjustment (Missing Statement)						(\$333)
Dividend	Cash Adjustment (Missing Statement)	01/30/02			(\$333)	
Cendant Corp.						(\$8,919)
Buy long	Cendant Corp.	08/06/01	19.69	45	(\$886)	
Buy long	Cendant Corp.	08/06/01	19.69	45	(\$886)	
Buy long	Cendant Corp.	08/06/01	19.69	715	(\$14,076)	
Buy long	Cendant Corp.	08/16/01	20.29	15	(\$304)	
Buy long	Cendant Corp.	08/16/01	20.29	20	(\$406)	
Buy long	Cendant Corp.	08/16/01	20.29	295	(\$5,986)	
Buy long	Cendant Corp.	09/28/01	12.95	45	(\$583)	
Buy long	Cendant Corp.	09/28/01	12.95	40	(\$518)	
Buy long	Cendant Corp.	09/28/01	12.95	625	(\$8,093)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Cendant Corp.	10/17/01	13.10	110	\$1,441	
Sell long	Cendant Corp.	05/01/02	17.92	70	\$1,254	
Sell long	Cendant Corp.	07/08/02	15.80	40	\$632	
Buy long	Cendant Corp.	07/22/02	12.79	10	(\$128)	
Buy long	Cendant Corp.	07/22/02	12.79	300	(\$3,836)	
Buy long	Cendant Corp.	08/01/02	13.61	170	(\$2,314)	
Sell long	Cendant Corp.	09/04/02	13.71	65	\$891	
Sell long	Cendant Corp.	09/17/02	14.32	5	\$72	
Transfer out long	Cendant Corp.	11/06/02	12.19	45	\$549	
Transfer out long	Cendant Corp.	11/06/02	12.19	15	\$183	
Transfer out long	Cendant Corp.	11/06/02	12.19	45	\$549	
Transfer out long	Cendant Corp.	11/06/02	12.19	45	\$549	
Transfer out long	Cendant Corp.	11/06/02	12.19	20	\$244	
Transfer out long	Cendant Corp.	11/06/02	12.19	40	\$488	
Transfer out long	Cendant Corp.	11/06/02	12.19	10	\$122	
Transfer out long	Cendant Corp.	11/07/02	12.19	425	\$5,181	
Transfer out long	Cendant Corp.	11/07/02	12.19	295	\$3,596	
Transfer out long	Cendant Corp.	11/07/02	12.19	625	\$7,619	
Transfer out long	Cendant Corp.	11/07/02	12.19	300	\$3,657	
Transfer out long	Cendant Corp.	11/07/02	12.19	170	\$2,072	
Chevrontexaco Corp						\$410
Buy long	Chevrontexaco Corp	10/10/01	88.55	19	(\$1,682)	
Buy long	Chevrontexaco Corp	10/10/01	88.55	19	(\$1,682)	
Dividend	Chevrontexaco Corp	10/10/01			\$23	
Buy long	Chevrontexaco Corp	10/10/01	84.64	288	(\$24,375)	
Dividend	Chevrontexaco Corp	10/10/01			\$69	
Sell long	Chevrontexaco Corp	10/17/01	90.08	5	\$450	
Dividend	Chevrontexaco Corp	12/10/01			\$13	
Dividend	Chevrontexaco Corp	12/10/01			\$13	
Dividend	Chevrontexaco Corp	12/10/01			\$198	
Sell long	Chevrontexaco Corp	12/18/01	85.31	19	\$1,621	
Sell long	Chevrontexaco Corp	12/18/01	85.31	19	\$1,621	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Chevrontexaco Corp	12/18/01	85.31	283	\$24,141	
Cisco Systems Inc.						(\$2,735)
Buy long	Cisco Systems Inc.	06/12/01	19.57	50	(\$979)	
Buy long	Cisco Systems Inc.	06/12/01	19.57	55	(\$1,076)	
Buy long	Cisco Systems Inc.	06/12/01	19.57	620	(\$12,133)	
Buy long	Cisco Systems Inc.	07/16/01	17.97	5	(\$90)	
Buy long	Cisco Systems Inc.	09/27/01	11.41	40	(\$457)	
Buy long	Cisco Systems Inc.	09/27/01	11.42	35	(\$400)	
Buy long	Cisco Systems Inc.	09/27/01	11.42	595	(\$6,792)	
Sell long	Cisco Systems Inc.	11/19/01	20.37	30	\$611	
Sell long	Cisco Systems Inc.	11/19/01	20.37	25	\$509	
Sell long	Cisco Systems Inc.	11/19/01	20.37	280	\$5,704	
Sell long	Cisco Systems Inc.	04/03/02	16.56	75	\$1,242	
Sell long	Cisco Systems Inc.	08/01/02	12.05	65	\$783	
Buy long	Cisco Systems Inc.	08/16/02	14.56	30	(\$437)	
Buy long	Cisco Systems Inc.	08/16/02	14.56	25	(\$364)	
Buy long	Cisco Systems Inc.	08/16/02	14.56	435	(\$6,333)	
Transfer out long	Cisco Systems Inc.	11/06/02	12.35	20	\$247	
Transfer out long	Cisco Systems Inc.	11/06/02	12.35	40	\$494	
Transfer out long	Cisco Systems Inc.	11/06/02	12.35	30	\$371	
Transfer out long	Cisco Systems Inc.	11/06/02	12.35	30	\$371	
Transfer out long	Cisco Systems Inc.	11/06/02	12.35	35	\$432	
Transfer out long	Cisco Systems Inc.	11/06/02	12.35	25	\$309	
Transfer out long	Cisco Systems Inc.	11/07/02	12.35	200	\$2,470	
Transfer out long	Cisco Systems Inc.	11/07/02	12.35	5	\$62	
Transfer out long	Cisco Systems Inc.	11/07/02	12.35	595	\$7,348	
Transfer out long	Cisco Systems Inc.	11/07/02	12.35	435	\$5,372	
Citigroup Inc Subordinate Notes						\$27,235
Buy long	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	05/25/01	1.06	150,000	(\$159,379)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Transfer out long	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	06/01/01	1.03	150,000	\$154,067	
Sold Accrued Interes	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	06/01/01			\$1,813	
Transfer in long	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	06/01/01	1.03	150,000	(\$154,067)	
Purchased Accrued In	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	06/01/01			(\$1,813)	
Interest	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	10/01/01			\$5,438	
Interest	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	04/01/02			\$5,438	
Interest	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	10/01/02			\$5,438	
Transfer out long	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	11/06/02	1.13	150,000	\$169,244	
Sold Accrued Interes	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	11/06/02			\$1,057	
Citigroup Inc.						(\$16,405)
Buy long	Citigroup Inc.	06/12/01	49.46	65	(\$3,215)	
Buy long	Citigroup Inc.	06/12/01	49.46	65	(\$3,215)	
Buy long	Citigroup Inc.	06/12/01	49.46	1,045	(\$51,686)	
Buy long	Citigroup Inc.	07/16/01	49.71	10	(\$497)	
Dividend	Citigroup Inc.	08/24/01			\$10	
Dividend	Citigroup Inc.	08/24/01			\$10	
Dividend	Citigroup Inc.	08/24/01			\$169	
Sell long	Citigroup Inc.	10/03/01	41.90	55	\$2,304	
Sell long	Citigroup Inc.	10/17/01	47.25	40	\$1,890	
Dividend	Citigroup Inc.	11/21/01			\$10	
Dividend	Citigroup Inc.	11/21/01			\$10	
Dividend	Citigroup Inc.	11/21/01			\$154	
Dividend	Citigroup Inc.	02/22/02			\$10	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Citigroup Inc.	02/22/02			\$10	
Dividend	Citigroup Inc.	02/22/02			\$154	
Buy long	Citigroup Inc.	03/06/02	48.63	15	(\$729)	
Buy long	Citigroup Inc.	03/06/02	48.63	15	(\$729)	
Buy long	Citigroup Inc.	04/03/02	49.26	185	(\$9,113)	
Sell long	Citigroup Inc.	05/01/02	44.00	25	\$1,100	
Dividend	Citigroup Inc.	05/24/02			\$14	
Dividend	Citigroup Inc.	05/24/02			\$14	
Dividend	Citigroup Inc.	05/24/02			\$202	
Sell long	Citigroup Inc.	07/08/02	38.29	20	\$766	
Sell long	Citigroup Inc.	08/01/02	31.81	40	\$1,272	
Dividend	Citigroup Inc.	08/23/02			\$14	
Dividend	Citigroup Inc.	08/23/02			\$14	
Dividend	Citigroup Inc.	08/23/02			\$198	
Dividend	Citigroup Inc.	08/28/02			\$7	
Dividend	Citigroup Inc.	08/28/02			\$2	
Dividend	Citigroup Inc.	08/28/02			\$7	
Dividend	Citigroup Inc.	08/28/02			\$2	
Dividend	Citigroup Inc.	08/28/02			\$13	
Dividend	Citigroup Inc.	08/28/02			\$2	
Sell long	Citigroup Inc.	09/04/02	29.81	40	\$1,192	
Transfer out long	Citigroup Inc.	11/06/02	36.45	65	\$2,369	
Transfer out long	Citigroup Inc.	11/06/02	36.45	15	\$547	
Transfer out long	Citigroup Inc.	11/06/02	36.45	65	\$2,369	
Transfer out long	Citigroup Inc.	11/06/02	36.65	15	\$550	
Transfer out long	Citigroup Inc.	11/07/02	36.45	825	\$30,071	
Transfer out long	Citigroup Inc.	11/07/02	36.45	10	\$365	
Transfer out long	Citigroup Inc.	11/07/02	36.45	185	\$6,743	
Dividend	Citigroup Inc.	11/22/02			\$14	
Dividend	Citigroup Inc.	11/22/02			\$14	
Dividend	Citigroup Inc.	11/22/02			\$184	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Colgate Palmolive Co.						(\$1,333)
Buy long	Colgate Palmolive Co.	06/12/01	58.28	15	(\$874)	
Buy long	Colgate Palmolive Co.	06/12/01	58.28	15	(\$874)	
Buy long	Colgate Palmolive Co.	06/12/01	58.28	240	(\$13,987)	
Buy long	Colgate Palmolive Co.	07/16/01	56.24	5	(\$281)	
Sell long	Colgate Palmolive Co.	08/03/01	53.11	15	\$797	
Sell long	Colgate Palmolive Co.	08/03/01	53.11	15	\$797	
Sell long	Colgate Palmolive Co.	08/03/01	53.11	240	\$12,746	
Sell long	Colgate Palmolive Co.	08/03/01	53.11	5	\$266	
Dividend	Colgate Palmolive Co.	08/15/01			\$3	
Dividend	Colgate Palmolive Co.	08/15/01			\$3	
Dividend	Colgate Palmolive Co.	08/15/01			\$44	
Buy long	Colgate Palmolive Co.	09/04/02	53.55	275	(\$14,726)	
Buy long	Colgate Palmolive Co.	09/05/02	56.72	20	(\$1,134)	
Buy long	Colgate Palmolive Co.	09/05/02	56.72	20	(\$1,134)	
Sell long	Colgate Palmolive Co.	09/17/02	55.84	5	\$279	
Transfer out long	Colgate Palmolive Co.	11/06/02	53.84	20	\$1,077	
Transfer out long	Colgate Palmolive Co.	11/06/02	53.84	20	\$1,077	
Transfer out long	Colgate Palmolive Co.	11/07/02	53.84	270	\$14,537	
Dividend	Colgate Palmolive Co.	11/15/02			\$4	
Dividend	Colgate Palmolive Co.	11/15/02			\$4	
Dividend	Colgate Palmolive Co.	11/15/02			\$49	
Cox Communication Inc New A						(\$2,132)
Buy long	Cox Communication Inc New A	06/12/01	41.43	20	(\$829)	
Buy long	Cox Communication Inc New A	06/12/01	41.43	10	(\$414)	
Buy long	Cox Communication Inc New A	06/12/01	41.43	20	(\$829)	
Buy long	Cox Communication Inc New A	06/12/01	41.43	10	(\$414)	
Buy long	Cox Communication Inc New A	06/12/01	41.43	480	(\$19,886)	
Buy long	Cox Communication Inc New A	07/16/01	42.16	5	(\$211)	
Sell long	Cox Communication Inc New A	07/26/01	39.90	10	\$399	
Sell long	Cox Communication Inc New A	07/26/01	39.90	10	\$399	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Cox Communication Inc New A	07/26/01	39.91	130	\$5,188	
Sell long	Cox Communication Inc New A	10/17/01	39.84	40	\$1,594	
Sell long	Cox Communication Inc New A	02/28/02	36.26	10	\$363	
Sell long	Cox Communication Inc New A	02/28/02	36.26	10	\$363	
Sell long	Cox Communication Inc New A	02/28/02	36.26	10	\$363	
Sell long	Cox Communication Inc New A	02/28/02	36.26	10	\$363	
Sell long	Cox Communication Inc New A	02/28/02	36.26	310	\$11,240	
Sell long	Cox Communication Inc New A	02/28/02	36.26	5	\$181	
Danaher Corp.						\$3,846
Buy long	Danaher Corp.	08/08/01	57.72	20	(\$1,154)	
Buy long	Danaher Corp.	08/08/01	57.72	20	(\$1,154)	
Buy long	Danaher Corp.	08/08/01	57.72	305	(\$17,603)	
Buy long	Danaher Corp.	10/09/01	48.59	10	(\$486)	
Buy long	Danaher Corp.	10/09/01	48.59	10	(\$486)	
Buy long	Danaher Corp.	10/09/01	48.59	150	(\$7,288)	
Sell long	Danaher Corp.	10/17/01	54.51	25	\$1,363	
Dividend	Danaher Corp.	10/31/01			\$0	
Dividend	Danaher Corp.	10/31/01			\$0	
Dividend	Danaher Corp.	10/31/01			\$6	
Dividend	Danaher Corp.	01/31/02			\$1	
Dividend	Danaher Corp.	01/31/02			\$9	
Sell long	Danaher Corp.	03/04/02	69.53	10	\$695	
Sell long	Danaher Corp.	03/04/02	69.53	10	\$695	
Sell long	Danaher Corp.	03/04/02	69.53	165	\$11,473	
Sell long	Danaher Corp.	04/03/02	69.49	20	\$1,390	
Dividend	Danaher Corp.	04/30/02			\$0	
Dividend	Danaher Corp.	04/30/02			\$0	
Dividend	Danaher Corp.	04/30/02			\$5	
Sell long	Danaher Corp.	07/08/02	64.50	10	\$645	
Dividend	Danaher Corp.	07/31/02			\$0	
Dividend	Danaher Corp.	07/31/02			\$0	
Dividend	Danaher Corp.	07/31/02			\$5	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Danaher Corp.	09/17/02	55.28	20	\$1,106	
Dividend	Danaher Corp.	10/31/02			\$1	
Dividend	Danaher Corp.	10/31/02			\$1	
Dividend	Danaher Corp.	10/31/02			\$5	
Transfer out long	Danaher Corp.	11/06/02	57.32	10	\$573	
Transfer out long	Danaher Corp.	11/06/02	57.32	10	\$573	
Transfer out long	Danaher Corp.	11/06/02	57.32	10	\$573	
Transfer out long	Danaher Corp.	11/06/02	57.32	10	\$573	
Transfer out long	Danaher Corp.	11/07/02	57.32	65	\$3,726	
Transfer out long	Danaher Corp.	11/07/02	57.32	150	\$8,598	
Dell Computer Corp.						\$1,543
Buy long	Dell Computer Corp.	12/14/01	27.94	30	(\$838)	
Buy long	Dell Computer Corp.	12/14/01	27.94	30	(\$838)	
Buy long	Dell Computer Corp.	12/14/01	27.94	435	(\$12,155)	
Buy long	Dell Computer Corp.	03/18/02	26.24	20	(\$525)	
Buy long	Dell Computer Corp.	03/18/02	26.24	20	(\$525)	
Buy long	Dell Computer Corp.	03/18/02	26.24	285	(\$7,477)	
Sell long	Dell Computer Corp.	04/03/02	26.33	35	\$922	
Buy long	Dell Computer Corp.	05/01/02	26.59	55	(\$1,462)	
Sell long	Dell Computer Corp.	07/08/02	25.24	85	\$2,145	
Sell long	Dell Computer Corp.	08/01/02	24.01	25	\$600	
Sell long	Dell Computer Corp.	09/17/02	26.92	20	\$538	
Transfer out long	Dell Computer Corp.	11/06/02	29.80	30	\$894	
Transfer out long	Dell Computer Corp.	11/06/02	29.80	20	\$596	
Transfer out long	Dell Computer Corp.	11/06/02	29.80	30	\$894	
Transfer out long	Dell Computer Corp.	11/06/02	29.80	20	\$596	
Transfer out long	Dell Computer Corp.	11/07/02	29.80	270	\$8,046	
Transfer out long	Dell Computer Corp.	11/07/02	29.80	285	\$8,493	
Transfer out long	Dell Computer Corp.	11/07/02	29.80	55	\$1,639	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dow Chemical						\$212
Buy long	Dow Chemical	06/12/01	35.41	20	(\$708)	
Buy long	Dow Chemical	06/12/01	35.41	20	(\$708)	
Buy long	Dow Chemical	06/12/01	35.41	320	(\$11,331)	
Buy long	Dow Chemical	07/09/01	33.95	15	(\$509)	
Buy long	Dow Chemical	07/09/01	33.95	15	(\$509)	
Buy long	Dow Chemical	07/09/01	33.95	255	(\$8,657)	
Buy long	Dow Chemical	07/16/01	34.32	25	(\$858)	
Dividend	Dow Chemical	07/30/01			\$7	
Dividend	Dow Chemical	07/30/01			\$7	
Dividend	Dow Chemical	07/30/01			\$107	
Sell long	Dow Chemical	10/17/01	34.17	30	\$1,025	
Dividend	Dow Chemical	10/30/01			\$12	
Dividend	Dow Chemical	10/30/01			\$12	
Dividend	Dow Chemical	10/30/01			\$201	
Sell long	Dow Chemical	12/31/01	34.25	20	\$685	
Sell long	Dow Chemical	12/31/01	34.25	15	\$514	
Sell long	Dow Chemical	12/31/01	34.25	20	\$685	
Sell long	Dow Chemical	12/31/01	34.25	15	\$514	
Sell long	Dow Chemical	12/31/01	34.25	290	\$9,933	
Sell long	Dow Chemical	12/31/01	34.25	255	\$8,734	
Sell long	Dow Chemical	12/31/01	34.25	25	\$856	
Dividend	Dow Chemical	01/30/02			\$12	
Dividend	Dow Chemical	01/30/02			\$191	
Dupont E.I. De Nemours & Co.						\$5,696
Buy long	Dupont E.I. De Nemours & Co.	09/21/01	33.08	20	(\$662)	
Buy long	Dupont E.I. De Nemours & Co.	09/21/01	33.08	20	(\$662)	
Buy long	Dupont E.I. De Nemours & Co.	09/21/01	33.08	330	(\$10,916)	
Buy long	Dupont E.I. De Nemours & Co.	10/09/01	37.95	10	(\$380)	
Buy long	Dupont E.I. De Nemours & Co.	10/09/01	37.95	10	(\$380)	
Buy long	Dupont E.I. De Nemours & Co.	10/09/01	37.95	155	(\$5,883)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Dupont E.I. De Nemours & Co.	10/17/01	41.20	30	\$1,236	
Dividend	Dupont E.I. De Nemours & Co.	12/14/01			\$11	
Dividend	Dupont E.I. De Nemours & Co.	12/14/01			\$11	
Dividend	Dupont E.I. De Nemours & Co.	12/14/01			\$159	
Buy long	Dupont E.I. De Nemours & Co.	12/27/01	42.67	15	(\$640)	
Buy long	Dupont E.I. De Nemours & Co.	12/27/01	42.67	15	(\$640)	
Buy long	Dupont E.I. De Nemours & Co.	12/27/01	42.67	235	(\$10,028)	
Dividend	Dupont E.I. De Nemours & Co.	03/14/02			\$16	
Dividend	Dupont E.I. De Nemours & Co.	03/14/02			\$16	
Dividend	Dupont E.I. De Nemours & Co.	03/14/02			\$242	
Sell long	Dupont E.I. De Nemours & Co.	04/03/02	47.49	55	\$2,612	
Dividend	Dupont E.I. De Nemours & Co.	06/12/02			\$16	
Dividend	Dupont E.I. De Nemours & Co.	06/12/02			\$16	
Dividend	Dupont E.I. De Nemours & Co.	06/12/02			\$222	
Sell long	Dupont E.I. De Nemours & Co.	07/08/02	44.81	25	\$1,120	
Sell long	Dupont E.I. De Nemours & Co.	08/01/02	41.54	25	\$1,038	
Dividend	Dupont E.I. De Nemours & Co.	09/12/02			\$16	
Dividend	Dupont E.I. De Nemours & Co.	09/12/02			\$16	
Dividend	Dupont E.I. De Nemours & Co.	09/12/02			\$205	
Sell long	Dupont E.I. De Nemours & Co.	09/17/02	39.50	20	\$790	
Transfer out long	Dupont E.I. De Nemours & Co.	11/07/02	42.97	175	\$7,520	
Transfer out long	Dupont E.I. De Nemours & Co.	11/07/02	42.97	155	\$6,660	
Transfer out long	Dupont E.I. De Nemours & Co.	11/07/02	42.97	235	\$10,098	
Transfer out long	Dupont E.I. De Nemours & Co.	11/08/02	42.97	20	\$859	
Transfer out long	Dupont E.I. De Nemours & Co.	11/08/02	42.97	10	\$430	
Transfer out long	Dupont E.I. De Nemours & Co.	11/08/02	42.97	15	\$645	
Transfer out long	Dupont E.I. De Nemours & Co.	11/08/02	42.97	20	\$859	
Transfer out long	Dupont E.I. De Nemours & Co.	11/08/02	42.97	10	\$430	
Transfer out long	Dupont E.I. De Nemours & Co.	11/08/02	42.97	15	\$645	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dynegy Inc. CI A						(\$5,223)
Buy long	Dynegy Inc. CI A	06/12/01	46.91	25	(\$1,173)	
Buy long	Dynegy Inc. CI A	06/12/01	46.91	25	(\$1,173)	
Buy long	Dynegy Inc. CI A	06/12/01	46.91	385	(\$18,060)	
Buy long	Dynegy Inc. CI A	07/16/01	49.00	5	(\$245)	
Dividend	Dynegy Inc. CI A	09/17/01			\$2	
Dividend	Dynegy Inc. CI A	09/17/01			\$2	
Dividend	Dynegy Inc. CI A	09/17/01			\$29	
Sell long	Dynegy Inc. CI A	10/17/01	45.10	10	\$451	
Sell long	Dynegy Inc. CI A	11/06/01	34.75	25	\$869	
Sell long	Dynegy Inc. CI A	11/06/01	34.75	25	\$869	
Sell long	Dynegy Inc. CI A	11/06/01	34.75	375	\$13,032	
Sell long	Dynegy Inc. CI A	11/06/01	34.75	5	\$174	
EMC Corp Mass						(\$1,718)
Buy long	EMC Corp Mass	06/12/01	30.99	20	(\$620)	
Buy long	EMC Corp Mass	06/12/01	30.99	20	(\$620)	
Buy long	EMC Corp Mass	06/12/01	30.99	90	(\$2,789)	
Sell long	EMC Corp Mass	07/25/01	17.78	20	\$356	
Sell long	EMC Corp Mass	07/25/01	17.78	20	\$356	
Sell long	EMC Corp Mass	07/25/01	17.78	90	\$1,600	
Ebay Inc.						\$1,852
Buy long	Ebay Inc.	10/25/01	55.54	15	(\$833)	
Buy long	Ebay Inc.	10/25/01	55.54	15	(\$833)	
Buy long	Ebay Inc.	10/25/01	55.54	210	(\$11,663)	
Buy long	Ebay Inc.	11/19/01	61.15	10	(\$612)	
Buy long	Ebay Inc.	11/19/01	61.15	10	(\$612)	
Buy long	Ebay Inc.	11/19/01	61.15	145	(\$8,867)	
Sell long	Ebay Inc.	04/03/02	54.12	25	\$1,353	
Sell long	Ebay Inc.	07/08/02	58.16	15	\$872	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Ebay Inc.	09/04/02	54.15	20	\$1,083	
Transfer out long	Ebay Inc.	11/06/02	63.66	15	\$955	
Transfer out long	Ebay Inc.	11/06/02	63.66	10	\$637	
Transfer out long	Ebay Inc.	11/06/02	63.66	15	\$955	
Transfer out long	Ebay Inc.	11/06/02	63.66	10	\$637	
Transfer out long	Ebay Inc.	11/07/02	63.66	150	\$9,549	
Transfer out long	Ebay Inc.	11/07/02	63.66	145	\$9,231	
Electronic Data Systems						(\$8,361)
Buy long	Electronic Data Systems	06/12/01	60.81	10	(\$608)	
Buy long	Electronic Data Systems	06/12/01	60.81	10	(\$608)	
Buy long	Electronic Data Systems	06/12/01	60.81	175	(\$10,642)	
Buy long	Electronic Data Systems	07/16/01	63.40	5	(\$317)	
Dividend	Electronic Data Systems	09/10/01			\$2	
Dividend	Electronic Data Systems	09/10/01			\$2	
Dividend	Electronic Data Systems	09/10/01			\$27	
Buy long	Electronic Data Systems	09/19/01	57.94	10	(\$579)	
Buy long	Electronic Data Systems	09/19/01	57.94	10	(\$579)	
Buy long	Electronic Data Systems	09/19/01	57.94	120	(\$6,952)	
Buy long	Electronic Data Systems	10/17/01	62.00	15	(\$930)	
Dividend	Electronic Data Systems	12/10/01			\$3	
Dividend	Electronic Data Systems	12/10/01			\$3	
Dividend	Electronic Data Systems	12/10/01			\$47	
Dividend	Electronic Data Systems	03/11/02			\$3	
Dividend	Electronic Data Systems	03/11/02			\$3	
Dividend	Electronic Data Systems	03/11/02			\$47	
Sell long	Electronic Data Systems	05/01/02	54.90	25	\$1,372	
Dividend	Electronic Data Systems	06/10/02			\$3	
Dividend	Electronic Data Systems	06/10/02			\$3	
Dividend	Electronic Data Systems	06/10/02			\$44	
Sell long	Electronic Data Systems	07/16/02	34.23	10	\$342	
Sell long	Electronic Data Systems	07/16/02	34.23	10	\$342	
Sell long	Electronic Data Systems	07/16/02	34.23	10	\$342	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Electronic Data Systems	07/16/02	34.23	10	\$342	
Sell long	Electronic Data Systems	07/16/02	34.23	150	\$5,135	
Sell long	Electronic Data Systems	07/16/02	34.23	5	\$171	
Sell long	Electronic Data Systems	07/16/02	34.23	120	\$4,108	
Sell long	Electronic Data Systems	07/16/02	34.23	15	\$513	
Enron						(\$2,467)
Buy long	Enron	06/12/01	50.64	10	(\$506)	
Buy long	Enron	06/12/01	50.64	10	(\$506)	
Buy long	Enron	06/12/01	50.64	175	(\$8,862)	
Buy long	Enron	07/16/01	49.39	5	(\$247)	
Sell long	Enron	08/16/01	38.27	10	\$383	
Sell long	Enron	08/16/01	38.27	10	\$383	
Sell long	Enron	08/16/01	38.27	175	\$6,698	
Sell long	Enron	08/16/01	38.27	5	\$191	
Exxon Mobil Corp						(\$754)
Buy long	Exxon Mobil Corp	06/12/01	89.95	35	(\$3,148)	
Buy long	Exxon Mobil Corp	06/12/01	89.95	40	(\$3,598)	
Buy long	Exxon Mobil Corp	06/12/01	89.95	495	(\$44,525)	
Dividend	Exxon Mobil Corp	07/11/01			\$1	
Dividend	Exxon Mobil Corp	07/11/01			\$1	
Dividend	Exxon Mobil Corp	07/11/01			\$12	
Buy long	Exxon Mobil Corp	07/11/01	86.85	5	(\$434)	
Sell long	Exxon Mobil Corp	08/03/01	40.98	25	\$1,025	
Sell long	Exxon Mobil Corp	08/03/01	40.98	35	\$1,434	
Sell long	Exxon Mobil Corp	08/03/01	40.98	200	\$8,197	
Sell long	Exxon Mobil Corp	08/03/01	40.98	300	\$12,295	
Sell long	Exxon Mobil Corp	08/31/01	40.30	690	\$27,806	
Dividend	Exxon Mobil Corp	09/10/01			\$10	
Dividend	Exxon Mobil Corp	09/10/01			\$10	
Dividend	Exxon Mobil Corp	09/10/01			\$161	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Federal Home Loan Mtg						(\$1,804)
Buy long	Federal Home Loan Mtg	06/12/01	67.60	35	(\$2,366)	
Buy long	Federal Home Loan Mtg	06/12/01	67.60	35	(\$2,366)	
Buy long	Federal Home Loan Mtg	06/12/01	67.60	580	(\$39,208)	
Buy long	Federal Home Loan Mtg	07/16/01	68.45	5	(\$342)	
Sell long	Federal Home Loan Mtg	09/26/01	62.75	10	\$628	
Sell long	Federal Home Loan Mtg	09/26/01	62.75	10	\$628	
Sell long	Federal Home Loan Mtg	09/26/01	62.75	180	\$11,295	
Dividend	Federal Home Loan Mtg	09/28/01			\$7	
Dividend	Federal Home Loan Mtg	09/28/01			\$7	
Dividend	Federal Home Loan Mtg	09/28/01			\$117	
Sell long	Federal Home Loan Mtg	10/17/01	66.76	15	\$1,001	
Dividend	Federal Home Loan Mtg	12/31/01			\$5	
Dividend	Federal Home Loan Mtg	12/31/01			\$5	
Dividend	Federal Home Loan Mtg	12/31/01			\$78	
Sell long	Federal Home Loan Mtg	03/18/02	65.02	25	\$1,626	
Sell long	Federal Home Loan Mtg	03/18/02	65.02	25	\$1,626	
Sell long	Federal Home Loan Mtg	03/18/02	65.02	385	\$25,034	
Sell long	Federal Home Loan Mtg	03/18/02	65.02	5	\$325	
Dividend	Federal Home Loan Mtg	03/28/02			\$6	
Dividend	Federal Home Loan Mtg	03/28/02			\$6	
Dividend	Federal Home Loan Mtg	03/28/02			\$86	
Federated Dept Stores						(\$3,828)
Buy long	Federated Dept Stores	06/12/01	41.87	30	(\$1,256)	
Buy long	Federated Dept Stores	06/12/01	41.87	30	(\$1,256)	
Buy long	Federated Dept Stores	06/12/01	41.87	480	(\$20,098)	
Buy long	Federated Dept Stores	07/16/01	38.87	5	(\$194)	
Sell long	Federated Dept Stores	09/05/01	34.82	30	\$1,045	
Sell long	Federated Dept Stores	09/05/01	34.82	30	\$1,045	
Sell long	Federated Dept Stores	09/05/01	34.82	480	\$16,713	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Federated Dept Stores	09/05/01	34.82	5	\$174	
Ford Motor Co Bond						(\$20,297)
Buy long	Ford Motor Co Bond 6.500% Due 08-01-18	05/23/01	0.98	150,000	(\$147,191)	
Transfer out long	Ford Motor Co Bond 6.500% Due 08-01-18	05/23/01	0.98	150,000	\$147,191	
Sold Accrued Interes	Ford Motor Co Bond 6.500% Due 08-01-18	05/23/01			\$3,033	
Transfer in long	Ford Motor Co Bond 6.500% Due 08-01-18	05/30/01	0.98	150,000	(\$147,191)	
Interest	Ford Motor Co Bond 6.500% Due 08-01-18	08/01/01			\$4,875	
Interest	Ford Motor Co Bond 6.500% Due 08-01-18	02/01/02			\$4,875	
Interest	Ford Motor Co Bond 6.500% Due 08-01-18	08/01/02			\$4,875	
Transfer out long	Ford Motor Co Bond 6.500% Due 08-01-18	11/06/02	0.73	150,000	\$109,236	
Ford Motor Corp						\$277
Buy long	Ford Motor Corp	06/12/01	24.60	40	(\$984)	
Buy long	Ford Motor Corp	06/12/01	24.60	40	(\$984)	
Buy long	Ford Motor Corp	06/12/01	24.60	625	(\$15,375)	
Sell long	Ford Motor Corp	06/20/01	25.17	20	\$503	
Sell long	Ford Motor Corp	06/20/01	25.17	20	\$503	
Sell long	Ford Motor Corp	06/20/01	25.17	280	\$7,048	
Sell long	Ford Motor Corp	07/10/01	24.85	20	\$497	
Sell long	Ford Motor Corp	07/10/01	24.85	20	\$497	
Sell long	Ford Motor Corp	07/10/01	24.85	345	\$8,572	
Ford Mtr Credit Co						(\$2,529)

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Ford Mtr Credit Co 7.200% Due 06-15-07	05/23/01	1.08	150,000	(\$162,468)	
Transfer out long	Ford Mtr Credit Co 7.200% Due 06-15-07	05/23/01	1.08	150,000	\$162,468	
Sold Accrued Interes	Ford Mtr Credit Co 7.200% Due 06-15-07	05/23/01			\$4,740	
Transfer in long	Ford Mtr Credit Co 7.200% Due 06-15-07	05/30/01	1.08	150,000	(\$162,468)	
Interest	Ford Mtr Credit Co 7.200% Due 06-15-07	06/30/01			\$5,400	
Interest	Ford Mtr Credit Co 7.200% Due 06-15-07	12/17/01			\$5,400	
Interest	Ford Mtr Credit Co 7.200% Due 06-15-07	06/17/02			\$5,400	
Transfer out long	Ford Mtr Credit Co 7.200% Due 06-15-07	11/06/02	0.93	150,000	\$138,999	
Gap Inc.						(\$1,284)
Buy long	Gap Inc.	06/12/01	30.53	20	(\$611)	
Buy long	Gap Inc.	06/12/01	30.53	20	(\$611)	
Buy long	Gap Inc.	06/12/01	30.53	305	(\$9,312)	
Buy long	Gap Inc.	07/16/01	28.93	5	(\$145)	
Sell long	Gap Inc.	07/26/01	26.84	20	\$537	
Sell long	Gap Inc.	07/26/01	26.84	20	\$537	
Sell long	Gap Inc.	07/26/01	26.84	305	\$8,186	
Sell long	Gap Inc.	07/26/01	26.84	5	\$134	
General Dynamics						\$1,167
Buy long	General Dynamics	11/05/01	84.48	140	(\$11,827)	
Buy long	General Dynamics	11/19/01	82.17	95	(\$7,806)	
Dividend	General Dynamics	02/08/02			\$66	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	General Dynamics	05/01/02	98.41	15	\$1,476	
Sell long	General Dynamics	06/26/02	103.65	40	\$4,146	
Sell long	General Dynamics	07/08/02	106.20	15	\$1,593	
Sell long	General Dynamics	09/17/02	83.06	15	\$1,246	
Transfer out long	General Dynamics	11/07/02	81.52	55	\$4,484	
Transfer out long	General Dynamics	11/07/02	81.52	95	\$7,744	
Dividend	General Dynamics	11/15/02			\$45	
General Dynamics Corp.						\$94
Buy long	General Dynamics Corp.	11/05/01	84.48	10	(\$845)	
Buy long	General Dynamics Corp.	11/05/01	84.48	10	(\$845)	
Buy long	General Dynamics Corp.	11/19/01	82.17	5	(\$411)	
Buy long	General Dynamics Corp.	11/19/01	82.17	5	(\$411)	
Dividend	General Dynamics Corp.	02/08/02			\$4	
Dividend	General Dynamics Corp.	02/08/02			\$4	
Dividend	General Dynamics Corp.	05/10/02			\$5	
Dividend	General Dynamics Corp.	05/10/02			\$5	
Dividend	General Dynamics Corp.	05/10/02			\$71	
Dividend	General Dynamics Corp.	08/02/02			\$5	
Dividend	General Dynamics Corp.	08/02/02			\$5	
Dividend	General Dynamics Corp.	08/02/02			\$54	
Transfer out long	General Dynamics Corp.	11/06/02	81.52	10	\$815	
Transfer out long	General Dynamics Corp.	11/06/02	81.52	5	\$408	
Transfer out long	General Dynamics Corp.	11/06/02	81.52	10	\$815	
Transfer out long	General Dynamics Corp.	11/06/02	81.52	5	\$408	
Dividend	General Dynamics Corp.	11/15/02			\$5	
Dividend	General Dynamics Corp.	11/15/02			\$5	
General Electric						(\$11,927)
Buy long	General Electric	06/12/01	47.08	65	(\$3,060)	
Buy long	General Electric	06/12/01	47.08	10	(\$471)	
Buy long	General Electric	06/12/01	47.08	65	(\$3,060)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	General Electric	06/12/01	47.08	10	(\$471)	
Buy long	General Electric	06/12/01	47.08	905	(\$42,607)	
Buy long	General Electric	07/16/01	46.34	20	(\$927)	
Dividend	General Electric	07/25/01			\$12	
Dividend	General Electric	07/25/01			\$12	
Dividend	General Electric	07/25/01			\$193	
Sell long	General Electric	10/23/01	36.95	10	\$369	
Sell long	General Electric	10/23/01	36.95	10	\$369	
Sell long	General Electric	10/23/01	36.95	20	\$739	
Sell long	General Electric	10/23/01	36.95	120	\$4,434	
Dividend	General Electric	10/25/01			\$10	
Dividend	General Electric	10/25/01			\$10	
Dividend	General Electric	10/25/01			\$166	
Sell long	General Electric	11/19/01	41.01	20	\$820	
Sell long	General Electric	11/19/01	41.01	20	\$820	
Sell long	General Electric	11/19/01	41.01	250	\$10,252	
Dividend	General Electric	01/25/02			\$6	
Dividend	General Electric	01/25/02			\$100	
Sell long	General Electric	04/03/02	36.70	45	\$1,651	
Dividend	General Electric	04/25/02			\$6	
Dividend	General Electric	04/25/02			\$6	
Dividend	General Electric	04/25/02			\$100	
Sell long	General Electric	07/08/02	29.13	20	\$583	
Dividend	General Electric	07/25/02			\$6	
Dividend	General Electric	07/25/02			\$6	
Dividend	General Electric	07/25/02			\$92	
Sell long	General Electric	08/01/02	31.68	15	\$475	
Sell long	General Electric	08/27/02	31.98	25	\$799	
Sell long	General Electric	08/27/02	31.98	10	\$320	
Sell long	General Electric	08/27/02	31.98	25	\$799	
Sell long	General Electric	08/27/02	31.98	10	\$320	
Sell long	General Electric	08/27/02	31.98	455	\$14,550	
Sell long	General Electric	08/27/02	31.98	20	\$640	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Home Depot Inc.						(\$12,385)
Buy long	Home Depot Inc.	06/12/01	49.84	35	(\$1,744)	
Buy long	Home Depot Inc.	06/12/01	49.84	35	(\$1,744)	
Buy long	Home Depot Inc.	06/12/01	49.84	480	(\$23,923)	
Dividend	Home Depot Inc.	06/28/01			\$4	
Buy long	Home Depot Inc.	07/16/01	49.15	5	(\$246)	
Dividend	Home Depot Inc.	09/13/01			\$1	
Dividend	Home Depot Inc.	09/13/01			\$1	
Dividend	Home Depot Inc.	09/13/01			\$23	
Dividend	Home Depot Inc.	12/13/01			\$2	
Dividend	Home Depot Inc.	12/13/01			\$2	
Dividend	Home Depot Inc.	12/13/01			\$28	
Dividend	Home Depot Inc.	03/28/02			\$2	
Dividend	Home Depot Inc.	03/28/02			\$2	
Dividend	Home Depot Inc.	03/28/02			\$28	
Dividend	Home Depot Inc.	06/27/02			\$2	
Dividend	Home Depot Inc.	06/27/02			\$2	
Dividend	Home Depot Inc.	06/27/02			\$27	
Sell long	Home Depot Inc.	09/17/02	33.49	5	\$167	
Dividend	Home Depot Inc.	09/19/02			\$2	
Dividend	Home Depot Inc.	09/19/02			\$2	
Dividend	Home Depot Inc.	09/19/02			\$25	
Transfer out long	Home Depot Inc.	11/06/02	27.19	35	\$952	
Transfer out long	Home Depot Inc.	11/06/02	27.19	35	\$952	
Transfer out long	Home Depot Inc.	11/07/02	27.19	475	\$12,915	
Transfer out long	Home Depot Inc.	11/07/02	27.19	5	\$136	
Honeywell Inc.						(\$3,377)
Buy long	Honeywell Inc.	06/12/01	42.44	30	(\$1,273)	
Buy long	Honeywell Inc.	06/12/01	42.44	30	(\$1,273)	
Buy long	Honeywell Inc.	06/12/01	42.44	500	(\$21,220)	
Dividend	Honeywell Inc.	07/25/01			\$6	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Honeywell Inc.	07/25/01			\$6	
Dividend	Honeywell Inc.	07/25/01			\$94	
Sell long	Honeywell Inc.	08/09/01	36.22	30	\$1,087	
Sell long	Honeywell Inc.	08/09/01	36.22	30	\$1,087	
Sell long	Honeywell Inc.	08/09/01	36.22	500	\$18,111	
Household Intl Inc						(\$22,349)
Buy long	Household Intl Inc	08/16/01	66.98	20	(\$1,340)	
Buy long	Household Intl Inc	08/16/01	66.98	20	(\$1,340)	
Buy long	Household Intl Inc	08/16/01	66.98	295	(\$19,758)	
Buy long	Household Intl Inc	09/18/01	52.80	10	(\$528)	
Buy long	Household Intl Inc	09/18/01	52.80	10	(\$528)	
Buy long	Household Intl Inc	09/18/01	52.80	155	(\$8,184)	
Dividend	Household Intl Inc	10/15/01			\$7	
Dividend	Household Intl Inc	10/15/01			\$7	
Dividend	Household Intl Inc	10/15/01			\$99	
Sell long	Household Intl Inc	10/17/01	58.01	35	\$2,030	
Buy long	Household Intl Inc	12/19/01	56.44	10	(\$564)	
Buy long	Household Intl Inc	12/19/01	56.44	10	(\$564)	
Buy long	Household Intl Inc	12/19/01	56.44	205	(\$11,571)	
Dividend	Household Intl Inc	01/15/02			\$9	
Dividend	Household Intl Inc	01/15/02			\$136	
Sell long	Household Intl Inc	04/03/02	56.35	45	\$2,536	
Dividend	Household Intl Inc	04/15/02			\$9	
Dividend	Household Intl Inc	04/15/02			\$9	
Dividend	Household Intl Inc	04/15/02			\$136	
Sell long	Household Intl Inc	07/08/02	49.03	25	\$1,226	
Dividend	Household Intl Inc	07/15/02			\$10	
Dividend	Household Intl Inc	07/15/02			\$10	
Dividend	Household Intl Inc	07/15/02			\$144	
Sell long	Household Intl Inc	08/01/02	41.00	20	\$820	
Sell long	Household Intl Inc	09/04/02	33.76	20	\$675	
Dividend	Household Intl Inc	10/15/02			\$10	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Household Intl Inc	10/15/02			\$10	
Dividend	Household Intl Inc	10/15/02			\$128	
Transfer out long	Household Intl Inc	11/06/02	23.76	20	\$475	
Transfer out long	Household Intl Inc	11/06/02	23.76	10	\$238	
Transfer out long	Household Intl Inc	11/06/02	23.76	10	\$238	
Transfer out long	Household Intl Inc	11/06/02	23.76	20	\$475	
Transfer out long	Household Intl Inc	11/06/02	23.76	10	\$238	
Transfer out long	Household Intl Inc	11/06/02	23.76	10	\$238	
Transfer out long	Household Intl Inc	11/07/02	23.76	150	\$3,564	
Transfer out long	Household Intl Inc	11/07/02	23.76	155	\$3,683	
Transfer out long	Household Intl Inc	11/07/02	23.76	205	\$4,871	
IBM						(\$12,935)
Buy long	IBM	06/12/01	116.02	15	(\$1,740)	
Buy long	IBM	06/12/01	116.02	15	(\$1,740)	
Buy long	IBM	06/12/01	116.02	225	(\$26,105)	
Dividend	IBM	09/10/01			\$2	
Dividend	IBM	09/10/01			\$2	
Dividend	IBM	09/10/01			\$32	
Sell long	IBM	10/17/01	105.75	25	\$2,644	
Dividend	IBM	12/10/01			\$2	
Dividend	IBM	12/10/01			\$2	
Dividend	IBM	12/10/01			\$28	
Buy long	IBM	12/14/01	120.84	5	(\$604)	
Buy long	IBM	12/14/01	120.84	5	(\$604)	
Buy long	IBM	12/14/01	120.84	85	(\$10,271)	
Dividend	IBM	03/11/02			\$3	
Dividend	IBM	03/11/02			\$3	
Dividend	IBM	03/11/02			\$40	
Sell long	IBM	05/01/02	83.71	15	\$1,256	
Buy long	IBM	05/29/02	81.66	5	(\$408)	
Buy long	IBM	05/29/02	81.66	5	(\$408)	
Buy long	IBM	05/29/02	81.66	70	(\$5,716)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	IBM	06/10/02			\$3	
Dividend	IBM	06/10/02			\$3	
Dividend	IBM	06/10/02			\$41	
Sell long	IBM	07/08/02	72.12	10	\$721	
Dividend	IBM	09/10/02			\$4	
Dividend	IBM	09/10/02			\$4	
Dividend	IBM	09/10/02			\$50	
Sell long	IBM	09/17/02	71.93	25	\$1,798	
Transfer out long	IBM	11/06/02	78.95	15	\$1,184	
Transfer out long	IBM	11/06/02	78.95	5	\$395	
Transfer out long	IBM	11/06/02	78.95	5	\$395	
Transfer out long	IBM	11/06/02	78.95	15	\$1,184	
Transfer out long	IBM	11/06/02	78.95	5	\$395	
Transfer out long	IBM	11/06/02	78.95	5	\$395	
Transfer out long	IBM	11/07/02	78.95	150	\$11,843	
Transfer out long	IBM	11/07/02	78.95	85	\$6,711	
Transfer out long	IBM	11/07/02	78.95	70	\$5,527	
Illinois Tool Works						(\$908)
Buy long	Illinois Tool Works	12/19/01	65.66	15	(\$985)	
Buy long	Illinois Tool Works	12/19/01	65.66	15	(\$985)	
Buy long	Illinois Tool Works	12/19/01	65.66	195	(\$12,804)	
Dividend	Illinois Tool Works	01/25/02			\$3	
Dividend	Illinois Tool Works	01/25/02			\$43	
Buy long	Illinois Tool Works	02/08/02	68.96	5	(\$345)	
Buy long	Illinois Tool Works	02/08/02	68.96	5	(\$345)	
Buy long	Illinois Tool Works	02/08/02	68.96	75	(\$5,172)	
Dividend	Illinois Tool Works	04/19/02			\$4	
Dividend	Illinois Tool Works	04/19/02			\$4	
Dividend	Illinois Tool Works	04/19/02			\$59	
Dividend	Illinois Tool Works	07/19/02			\$4	
Dividend	Illinois Tool Works	07/19/02			\$4	
Dividend	Illinois Tool Works	07/19/02			\$59	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Illinois Tool Works	08/01/02	64.58	10	\$646	
Sell long	Illinois Tool Works	09/04/02	64.92	5	\$325	
Sell long	Illinois Tool Works	09/17/02	60.35	5	\$302	
Dividend	Illinois Tool Works	10/18/02			\$5	
Dividend	Illinois Tool Works	10/18/02			\$5	
Dividend	Illinois Tool Works	10/18/02			\$58	
Transfer out long	Illinois Tool Works	11/06/02	62.78	15	\$942	
Transfer out long	Illinois Tool Works	11/06/02	62.78	5	\$314	
Transfer out long	Illinois Tool Works	11/06/02	62.78	15	\$942	
Transfer out long	Illinois Tool Works	11/06/02	62.78	5	\$314	
Transfer out long	Illinois Tool Works	11/07/02	62.78	175	\$10,987	
Transfer out long	Illinois Tool Works	11/07/02	62.78	75	\$4,709	
Intel Corp						(\$10,737)
Dividend	Intel Corp	06/01/01			\$2	
Buy long	Intel Corp	06/12/01	29.23	60	(\$1,754)	
Buy long	Intel Corp	06/12/01	29.23	60	(\$1,754)	
Buy long	Intel Corp	06/12/01	29.23	865	(\$25,284)	
Buy long	Intel Corp	07/16/01	30.42	10	(\$304)	
Dividend	Intel Corp	09/04/01			\$1	
Dividend	Intel Corp	09/04/01			\$1	
Dividend	Intel Corp	09/04/01			\$20	
Dividend	Intel Corp	12/03/01			\$1	
Dividend	Intel Corp	12/03/01			\$1	
Dividend	Intel Corp	12/03/01			\$19	
Dividend	Intel Corp	03/01/02			\$1	
Dividend	Intel Corp	03/01/02			\$1	
Dividend	Intel Corp	03/01/02			\$19	
Dividend	Intel Corp	06/03/02			\$1	
Dividend	Intel Corp	06/03/02			\$1	
Dividend	Intel Corp	06/03/02			\$18	
Sell long	Intel Corp	08/01/02	17.83	30	\$535	
Dividend	Intel Corp	09/03/02			\$1	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Intel Corp	09/03/02			\$1	
Dividend	Intel Corp	09/03/02			\$17	
Sell long	Intel Corp	09/04/02	15.95	20	\$319	
Sell long	Intel Corp	09/17/02	15.67	10	\$157	
Transfer out long	Intel Corp	11/06/02	18.44	60	\$1,106	
Transfer out long	Intel Corp	11/06/02	18.44	60	\$1,106	
Transfer out long	Intel Corp	11/07/02	18.44	805	\$14,844	
Transfer out long	Intel Corp	11/07/02	18.44	10	\$184	
International Paper						\$302
Buy long	International Paper	10/02/01	34.90	20	(\$698)	
Buy long	International Paper	10/02/01	34.90	20	(\$698)	
Buy long	International Paper	10/02/01	34.90	350	(\$12,214)	
Buy long	International Paper	10/09/01	35.30	10	(\$353)	
Buy long	International Paper	10/09/01	35.30	10	(\$353)	
Buy long	International Paper	10/09/01	35.30	140	(\$4,941)	
Sell long	International Paper	10/17/01	37.35	35	\$1,307	
Dividend	International Paper	12/14/01			\$8	
Dividend	International Paper	12/14/01			\$8	
Dividend	International Paper	12/14/01			\$114	
Buy long	International Paper	12/28/01	40.66	15	(\$610)	
Buy long	International Paper	12/28/01	40.66	15	(\$610)	
Buy long	International Paper	12/28/01	40.66	200	(\$8,131)	
Dividend	International Paper	03/15/02			\$11	
Dividend	International Paper	03/15/02			\$11	
Dividend	International Paper	03/15/02			\$164	
Sell long	International Paper	05/01/02	41.41	30	\$1,242	
Dividend	International Paper	06/14/02			\$11	
Dividend	International Paper	06/14/02			\$11	
Dividend	International Paper	06/14/02			\$156	
Sell long	International Paper	08/01/02	39.30	25	\$982	
Sell long	International Paper	09/04/02	36.91	15	\$554	
Dividend	International Paper	09/16/02			\$11	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	International Paper	09/16/02			\$11	
Dividend	International Paper	09/16/02			\$150	
Sell long	International Paper	09/17/02	35.05	10	\$350	
Transfer out long	International Paper	11/06/02	35.80	20	\$716	
Transfer out long	International Paper	11/06/02	35.80	10	\$358	
Transfer out long	International Paper	11/06/02	35.80	15	\$537	
Transfer out long	International Paper	11/06/02	35.80	20	\$716	
Transfer out long	International Paper	11/06/02	35.80	10	\$358	
Transfer out long	International Paper	11/06/02	35.80	15	\$537	
Transfer out long	International Paper	11/07/02	35.80	235	\$8,413	
Transfer out long	International Paper	11/07/02	35.80	140	\$5,012	
Transfer out long	International Paper	11/07/02	35.80	200	\$7,160	
Intuit Inc.						\$2,984
Buy long	Intuit Inc.	12/14/01	42.42	20	(\$848)	
Buy long	Intuit Inc.	12/14/01	42.42	20	(\$848)	
Buy long	Intuit Inc.	12/14/01	42.42	290	(\$12,302)	
Sell long	Intuit Inc.	04/03/02	37.52	20	\$750	
Sell long	Intuit Inc.	09/04/02	44.92	35	\$1,572	
Transfer out long	Intuit Inc.	11/06/02	53.31	20	\$1,066	
Transfer out long	Intuit Inc.	11/06/02	53.31	20	\$1,066	
Transfer out long	Intuit Inc.	11/07/02	53.31	235	\$12,528	
JP Morgan Chase & Co.						(\$4,448)
Buy long	JP Morgan Chase & Co.	06/12/01	42.90	30	(\$1,287)	
Buy long	JP Morgan Chase & Co.	06/12/01	42.90	30	(\$1,287)	
Buy long	JP Morgan Chase & Co.	06/12/01	42.90	280	(\$12,012)	
Buy long	JP Morgan Chase & Co.	07/16/01	41.82	5	(\$209)	
Dividend	JP Morgan Chase & Co.	07/31/01			\$10	
Dividend	JP Morgan Chase & Co.	07/31/01			\$10	
Buy long	JP Morgan Chase & Co.	09/28/01	34.75	15	(\$521)	
Buy long	JP Morgan Chase & Co.	09/28/01	34.75	15	(\$521)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	JP Morgan Chase & Co.	09/28/01	34.75	200	(\$6,950)	
Dividend	JP Morgan Chase & Co.	10/31/01			\$15	
Dividend	JP Morgan Chase & Co.	10/31/01			\$15	
Dividend	JP Morgan Chase & Co.	10/31/01			\$228	
Sell long	JP Morgan Chase & Co.	12/14/01	35.95	25	\$899	
Sell long	JP Morgan Chase & Co.	12/14/01	35.95	25	\$899	
Sell long	JP Morgan Chase & Co.	12/14/01	35.95	150	\$5,392	
Sell long	JP Morgan Chase & Co.	12/18/01	36.98	5	\$185	
Sell long	JP Morgan Chase & Co.	12/18/01	36.98	15	\$555	
Sell long	JP Morgan Chase & Co.	12/18/01	36.98	5	\$185	
Sell long	JP Morgan Chase & Co.	12/18/01	36.98	15	\$555	
Sell long	JP Morgan Chase & Co.	12/18/01	36.98	130	\$4,807	
Sell long	JP Morgan Chase & Co.	12/18/01	36.98	5	\$185	
Sell long	JP Morgan Chase & Co.	12/18/01	36.98	200	\$7,396	
Buy long	JP Morgan Chase & Co.	08/27/02	27.27	30	(\$818)	
Buy long	JP Morgan Chase & Co.	08/27/02	27.27	30	(\$818)	
Buy long	JP Morgan Chase & Co.	08/27/02	27.27	415	(\$11,315)	
Sell long	JP Morgan Chase & Co.	09/17/02	21.45	15	\$322	
Dividend	JP Morgan Chase & Co.	10/31/02			\$10	
Dividend	JP Morgan Chase & Co.	10/31/02			\$10	
Dividend	JP Morgan Chase & Co.	10/31/02			\$136	
Transfer out long	JP Morgan Chase & Co.	11/06/02	20.60	30	\$618	
Transfer out long	JP Morgan Chase & Co.	11/06/02	20.60	30	\$618	
Transfer out long	JP Morgan Chase & Co.	11/07/02	20.60	400	\$8,240	
Johnson & Johnson						\$4,183
Buy long	Johnson & Johnson	06/12/01	101.07	5	(\$505)	
Buy long	Johnson & Johnson	06/12/01	101.07	5	(\$505)	
Buy long	Johnson & Johnson	06/12/01	101.07	65	(\$6,570)	
Buy long	Johnson & Johnson	07/27/01	53.15	15	(\$797)	
Buy long	Johnson & Johnson	07/27/01	53.15	15	(\$797)	
Buy long	Johnson & Johnson	07/27/01	53.15	285	(\$15,148)	
Buy long	Johnson & Johnson	08/24/01	54.62	10	(\$546)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Johnson & Johnson	08/24/01	54.62	10	(\$546)	
Dividend	Johnson & Johnson	09/11/01			\$5	
Dividend	Johnson & Johnson	09/11/01			\$5	
Dividend	Johnson & Johnson	09/11/01			\$75	
Buy long	Johnson & Johnson	10/17/01	57.83	140	(\$8,096)	
Dividend	Johnson & Johnson	12/11/01			\$6	
Dividend	Johnson & Johnson	12/11/01			\$6	
Dividend	Johnson & Johnson	12/11/01			\$100	
Dividend	Johnson & Johnson	03/12/02			\$6	
Dividend	Johnson & Johnson	03/12/02			\$6	
Dividend	Johnson & Johnson	03/12/02			\$100	
Sell long	Johnson & Johnson	04/03/02	64.06	20	\$1,281	
Dividend	Johnson & Johnson	06/11/02			\$7	
Dividend	Johnson & Johnson	06/11/02			\$7	
Dividend	Johnson & Johnson	06/11/02			\$110	
Sell long	Johnson & Johnson	07/08/02	53.56	20	\$1,071	
Sell long	Johnson & Johnson	08/01/02	51.87	20	\$1,037	
Dividend	Johnson & Johnson	09/10/02			\$7	
Dividend	Johnson & Johnson	09/10/02			\$7	
Dividend	Johnson & Johnson	09/10/02			\$101	
Sell long	Johnson & Johnson	09/17/02	54.46	15	\$817	
Transfer out long	Johnson & Johnson	11/06/02	59.89	10	\$599	
Transfer out long	Johnson & Johnson	11/06/02	59.89	15	\$898	
Transfer out long	Johnson & Johnson	11/06/02	59.89	10	\$599	
Transfer out long	Johnson & Johnson	11/06/02	59.89	10	\$599	
Transfer out long	Johnson & Johnson	11/06/02	59.89	15	\$898	
Transfer out long	Johnson & Johnson	11/06/02	59.89	10	\$599	
Transfer out long	Johnson & Johnson	11/07/02	59.89	55	\$3,294	
Transfer out long	Johnson & Johnson	11/07/02	59.89	130	\$7,786	
Transfer out long	Johnson & Johnson	11/07/02	59.89	155	\$9,283	
Transfer out long	Johnson & Johnson	11/07/02	59.89	130	\$7,786	
Transfer out long	Johnson & Johnson	11/07/02	59.89	10	\$599	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Johnson Controls						\$4,896
Buy long	Johnson Controls	06/12/01	69.90	20	(\$1,398)	
Buy long	Johnson Controls	06/12/01	69.90	20	(\$1,398)	
Buy long	Johnson Controls	06/12/01	69.90	340	(\$23,766)	
Dividend	Johnson Controls	06/29/01			\$6	
Dividend	Johnson Controls	06/29/01			\$6	
Dividend	Johnson Controls	06/29/01			\$105	
Dividend	Johnson Controls	09/28/01			\$6	
Dividend	Johnson Controls	09/28/01			\$6	
Dividend	Johnson Controls	09/28/01			\$105	
Sell long	Johnson Controls	10/17/01	72.62	25	\$1,815	
Dividend	Johnson Controls	01/02/02			\$7	
Dividend	Johnson Controls	01/02/02			\$104	
Sell long	Johnson Controls	02/26/02	88.25	45	\$3,971	
Dividend	Johnson Controls	03/28/02			\$7	
Dividend	Johnson Controls	03/28/02			\$7	
Dividend	Johnson Controls	03/28/02			\$89	
Sell long	Johnson Controls	05/01/02	86.86	25	\$2,171	
Dividend	Johnson Controls	06/28/02			\$7	
Dividend	Johnson Controls	06/28/02			\$7	
Dividend	Johnson Controls	06/28/02			\$81	
Sell long	Johnson Controls	08/01/02	79.46	10	\$795	
Sell long	Johnson Controls	09/17/02	80.42	5	\$402	
Dividend	Johnson Controls	09/30/02			\$7	
Dividend	Johnson Controls	09/30/02			\$7	
Dividend	Johnson Controls	09/30/02			\$78	
Transfer out long	Johnson Controls	11/06/02	80.26	20	\$1,605	
Transfer out long	Johnson Controls	11/06/02	80.26	20	\$1,605	
Transfer out long	Johnson Controls	11/07/02	80.26	230	\$18,460	
Juniper Networks Inc.						(\$1,165)
Buy long	Juniper Networks Inc.	06/12/01	34.25	15	(\$514)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Juniper Networks Inc.	06/12/01	34.25	15	(\$514)	
Buy long	Juniper Networks Inc.	06/12/01	34.25	210	(\$7,193)	
Sell long	Juniper Networks Inc.	07/13/01	29.40	15	\$441	
Sell long	Juniper Networks Inc.	07/13/01	29.40	15	\$441	
Sell long	Juniper Networks Inc.	07/13/01	29.40	210	\$6,173	
Keycorp New						(\$2,468)
Buy long	Keycorp New	06/12/01	24.98	25	(\$624)	
Buy long	Keycorp New	06/25/01	24.98	25	(\$624)	
Buy long	Keycorp New	06/25/01	24.98	405	(\$10,116)	
Buy long	Keycorp New	07/11/01	25.40	15	(\$381)	
Buy long	Keycorp New	07/11/01	25.40	15	(\$381)	
Buy long	Keycorp New	07/11/01	25.40	245	(\$6,223)	
Buy long	Keycorp New	07/16/01	26.18	15	(\$393)	
Dividend	Keycorp New	09/17/01			\$12	
Dividend	Keycorp New	09/17/01			\$12	
Dividend	Keycorp New	09/17/01			\$196	
Sell long	Keycorp New	10/09/01	21.55	25	\$539	
Sell long	Keycorp New	10/09/01	21.55	15	\$323	
Sell long	Keycorp New	10/09/01	21.55	25	\$539	
Sell long	Keycorp New	10/09/01	21.55	15	\$323	
Sell long	Keycorp New	10/09/01	21.55	405	\$8,728	
Sell long	Keycorp New	10/09/01	21.55	245	\$5,280	
Sell long	Keycorp New	10/09/01	21.55	15	\$323	
Kohls Corp						\$2,004
Buy long	Kohls Corp	06/12/01	60.67	25	(\$1,517)	
Buy long	Kohls Corp	06/12/01	60.67	25	(\$1,517)	
Buy long	Kohls Corp	06/12/01	60.67	370	(\$22,448)	
Buy long	Kohls Corp	07/16/01	58.01	5	(\$290)	
Sell long	Kohls Corp	10/17/01	56.23	35	\$1,968	
Sell long	Kohls Corp	11/19/01	66.34	10	\$663	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Kohls Corp	11/19/01	66.34	10	\$663	
Sell long	Kohls Corp	11/19/01	66.34	110	\$7,297	
Sell long	Kohls Corp	04/03/02	67.75	25	\$1,694	
Sell long	Kohls Corp	04/17/02	75.09	5	\$375	
Sell long	Kohls Corp	04/17/02	75.09	5	\$375	
Sell long	Kohls Corp	04/17/02	75.09	45	\$3,379	
Sell long	Kohls Corp	07/08/02	72.30	10	\$723	
Sell long	Kohls Corp	09/17/02	71.68	10	\$717	
Transfer out long	Kohls Corp	11/06/02	62.00	10	\$620	
Transfer out long	Kohls Corp	11/06/02	62.00	10	\$620	
Transfer out long	Kohls Corp	11/07/02	62.00	135	\$8,370	
Transfer out long	Kohls Corp	11/07/02	62.00	5	\$310	
Kroger Company						(\$7,721)
Buy long	Kroger Company	06/12/01	25.13	90	(\$2,262)	
Buy long	Kroger Company	06/12/01	25.13	90	(\$2,262)	
Buy long	Kroger Company	06/12/01	25.13	1,400	(\$35,182)	
Buy long	Kroger Company	07/16/01	23.91	10	(\$239)	
Sell long	Kroger Company	10/17/01	24.98	45	\$1,124	
Sell long	Kroger Company	11/27/01	25.01	40	\$1,001	
Sell long	Kroger Company	12/13/01	20.00	90	\$1,800	
Sell long	Kroger Company	12/13/01	20.00	90	\$1,800	
Sell long	Kroger Company	12/13/01	20.00	1,315	\$26,299	
Sell long	Kroger Company	12/13/01	20.00	10	\$200	
Liberty Financial Co						(\$5,111)
Buy long	Liberty Financial Co 6.750% Due 11-15-08	05/23/01	1.02	150,000	(\$152,387)	
Transfer out long	Liberty Financial Co 6.750% Due 11-15-08	05/23/01	1.02	150,000	\$152,387	
Sold Accrued Interes	Liberty Financial Co 6.750% Due 11-15-08	05/23/01			\$225	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Transfer in long	Liberty Financial Co 6.750% Due 11-15-08	05/30/01	1.02	150,000	(\$152,387)	
Interest	Liberty Financial Co 6.750% Due 11-15-08	11/15/01			\$5,063	
Interest	Liberty Financial Co 6.750% Due 11-15-08	05/15/02			\$5,063	
Transfer out long	Liberty Financial Co 6.750% Due 11-15-08	11/06/02	0.91	150,000	\$136,926	
Lockheed Martin Corp.						\$10,622
Buy long	Lockheed Martin Corp.	09/04/01	40.49	20	(\$810)	
Buy long	Lockheed Martin Corp.	09/04/01	40.49	20	(\$810)	
Buy long	Lockheed Martin Corp.	09/04/01	40.49	330	(\$13,361)	
Buy long	Lockheed Martin Corp.	09/28/01	44.22	20	(\$884)	
Buy long	Lockheed Martin Corp.	09/28/01	44.22	20	(\$884)	
Buy long	Lockheed Martin Corp.	09/28/01	44.22	290	(\$12,823)	
Sell long	Lockheed Martin Corp.	10/17/01	47.60	15	\$714	
Dividend	Lockheed Martin Corp.	12/31/01			\$4	
Dividend	Lockheed Martin Corp.	12/31/01			\$4	
Dividend	Lockheed Martin Corp.	12/31/01			\$67	
Sell long	Lockheed Martin Corp.	03/07/02	56.83	5	\$284	
Sell long	Lockheed Martin Corp.	03/07/02	56.83	5	\$284	
Sell long	Lockheed Martin Corp.	03/07/02	56.83	115	\$6,535	
Dividend	Lockheed Martin Corp.	03/28/02			\$4	
Dividend	Lockheed Martin Corp.	03/28/02			\$4	
Dividend	Lockheed Martin Corp.	03/28/02			\$67	
Sell long	Lockheed Martin Corp.	04/03/02	58.53	25	\$1,463	
Sell long	Lockheed Martin Corp.	05/01/02	62.81	20	\$1,256	
Sell long	Lockheed Martin Corp.	06/26/02	68.24	5	\$341	
Sell long	Lockheed Martin Corp.	06/26/02	68.24	5	\$341	
Sell long	Lockheed Martin Corp.	06/26/02	68.25	55	\$3,754	
Dividend	Lockheed Martin Corp.	06/28/02			\$4	
Dividend	Lockheed Martin Corp.	06/28/02			\$4	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Lockheed Martin Corp.	06/28/02			\$49	
Sell long	Lockheed Martin Corp.	08/01/02	63.25	20	\$1,265	
Sell long	Lockheed Martin Corp.	09/17/02	64.40	10	\$644	
Dividend	Lockheed Martin Corp.	09/30/02			\$3	
Dividend	Lockheed Martin Corp.	09/30/02			\$3	
Dividend	Lockheed Martin Corp.	09/30/02			\$41	
Transfer out long	Lockheed Martin Corp.	11/06/02	54.90	10	\$549	
Transfer out long	Lockheed Martin Corp.	11/06/02	54.90	20	\$1,098	
Transfer out long	Lockheed Martin Corp.	11/06/02	54.90	10	\$549	
Transfer out long	Lockheed Martin Corp.	11/06/02	54.90	20	\$1,098	
Transfer out long	Lockheed Martin Corp.	11/07/02	54.90	70	\$3,843	
Transfer out long	Lockheed Martin Corp.	11/07/02	54.90	290	\$15,921	
Masco Corporation						(\$3,969)
Buy long	Masco Corporation	08/06/01	26.13	30	(\$784)	
Buy long	Masco Corporation	08/06/01	26.13	30	(\$784)	
Buy long	Masco Corporation	08/06/01	26.13	520	(\$13,590)	
Buy long	Masco Corporation	08/24/01	25.60	25	(\$640)	
Buy long	Masco Corporation	08/24/01	25.60	25	(\$640)	
Buy long	Masco Corporation	08/24/01	25.60	370	(\$9,472)	
Sell long	Masco Corporation	10/17/01	21.61	45	\$972	
Dividend	Masco Corporation	11/19/01			\$7	
Dividend	Masco Corporation	11/19/01			\$7	
Dividend	Masco Corporation	11/19/01			\$114	
Buy long	Masco Corporation	01/11/02	25.19	15	(\$378)	
Buy long	Masco Corporation	01/11/02	25.19	20	(\$504)	
Buy long	Masco Corporation	01/11/02	25.19	250	(\$6,298)	
Dividend	Masco Corporation	02/11/02			\$7	
Dividend	Masco Corporation	02/11/02			\$7	
Dividend	Masco Corporation	02/11/02			\$114	
Sell long	Masco Corporation	04/03/02	26.34	70	\$1,844	
Dividend	Masco Corporation	05/07/02			\$9	
Dividend	Masco Corporation	05/07/02			\$10	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Masco Corporation	05/07/02			\$148	
Sell long	Masco Corporation	07/08/02	26.69	40	\$1,068	
Sell long	Masco Corporation	08/01/02	23.32	35	\$816	
Dividend	Masco Corporation	08/12/02			\$9	
Dividend	Masco Corporation	08/12/02			\$10	
Dividend	Masco Corporation	08/12/02			\$133	
Sell long	Masco Corporation	09/04/02	23.29	30	\$699	
Sell long	Masco Corporation	09/17/02	24.15	5	\$121	
Transfer out long	Masco Corporation	11/06/02	21.58	30	\$647	
Transfer out long	Masco Corporation	11/06/02	21.58	25	\$540	
Transfer out long	Masco Corporation	11/06/02	21.58	15	\$324	
Transfer out long	Masco Corporation	11/06/02	21.58	30	\$647	
Transfer out long	Masco Corporation	11/06/02	21.58	25	\$540	
Transfer out long	Masco Corporation	11/06/02	21.58	20	\$432	
Transfer out long	Masco Corporation	11/07/02	21.58	295	\$6,366	
Transfer out long	Masco Corporation	11/07/02	21.58	370	\$7,985	
Transfer out long	Masco Corporation	11/07/02	21.58	250	\$5,395	
Dividend	Masco Corporation	11/12/02			\$10	
Dividend	Masco Corporation	11/12/02			\$11	
Dividend	Masco Corporation	11/12/02			\$128	
Maxim Integrated Products Inc.						(\$4,336)
Buy long	Maxim Integrated Products Inc.	05/29/02	45.85	15	(\$688)	
Buy long	Maxim Integrated Products Inc.	05/29/02	45.85	15	(\$688)	
Buy long	Maxim Integrated Products Inc.	05/29/02	45.85	235	(\$10,775)	
Sell long	Maxim Integrated Products Inc.	07/08/02	38.45	15	\$577	
Buy long	Maxim Integrated Products Inc.	07/17/02	41.88	10	(\$419)	
Buy long	Maxim Integrated Products Inc.	07/17/02	41.88	10	(\$419)	
Buy long	Maxim Integrated Products Inc.	07/17/02	41.88	145	(\$6,072)	
Sell long	Maxim Integrated Products Inc.	09/17/02	26.00	5	\$130	
Transfer out long	Maxim Integrated Products Inc.	11/06/02	34.19	15	\$513	
Transfer out long	Maxim Integrated Products Inc.	11/06/02	34.19	10	\$342	
Transfer out long	Maxim Integrated Products Inc.	11/06/02	34.19	15	\$513	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Transfer out long	Maxim Integrated Products Inc.	11/06/02	34.19	10	\$342	
Transfer out long	Maxim Integrated Products Inc.	11/07/02	34.19	215	\$7,351	
Transfer out long	Maxim Integrated Products Inc.	11/07/02	34.19	145	\$4,958	
Mcgraw Hill Inc.						(\$4,011)
Buy long	Mcgraw Hill Inc.	06/12/01	66.48	15	(\$997)	
Buy long	Mcgraw Hill Inc.	06/12/01	66.48	15	(\$997)	
Buy long	Mcgraw Hill Inc.	06/12/01	66.48	210	(\$13,961)	
Dividend	Mcgraw Hill Inc.	09/12/01			\$4	
Dividend	Mcgraw Hill Inc.	09/12/01			\$4	
Dividend	Mcgraw Hill Inc.	09/12/01			\$51	
Sell long	Mcgraw Hill Inc.	10/11/01	49.52	15	\$743	
Sell long	Mcgraw Hill Inc.	10/11/01	49.52	15	\$743	
Sell long	Mcgraw Hill Inc.	10/11/01	49.52	210	\$10,399	
Merck & Co Inc						(\$1,945)
Buy long	Merck & Co Inc	06/12/01	71.89	15	(\$1,078)	
Buy long	Merck & Co Inc	06/12/01	71.89	15	(\$1,078)	
Buy long	Merck & Co Inc	06/12/01	71.89	255	(\$18,332)	
Buy long	Merck & Co Inc	07/16/01	62.29	5	(\$311)	
Sell long	Merck & Co Inc	07/30/01	65.02	15	\$975	
Sell long	Merck & Co Inc	07/30/01	65.02	15	\$975	
Sell long	Merck & Co Inc	07/30/01	65.02	255	\$16,580	
Sell long	Merck & Co Inc	07/30/01	65.02	5	\$325	
Micron Technologies						(\$10,047)
Buy long	Micron Technologies	03/22/02	33.60	10	(\$336)	
Buy long	Micron Technologies	03/22/02	33.60	15	(\$504)	
Buy long	Micron Technologies	03/22/02	33.60	355	(\$11,928)	
Buy long	Micron Technologies	04/17/02	31.14	10	(\$311)	
Buy long	Micron Technologies	04/17/02	31.14	5	(\$156)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Micron Technologies	04/17/02	31.14	190	(\$5,917)	
Buy long	Micron Technologies	05/01/02	25.44	105	(\$2,671)	
Sell long	Micron Technologies	07/08/02	20.99	75	\$1,574	
Sell long	Micron Technologies	08/01/02	18.41	20	\$368	
Sell long	Micron Technologies	09/04/02	16.97	15	\$255	
Sell long	Micron Technologies	09/17/02	16.25	5	\$81	
Transfer out long	Micron Technologies	11/06/02	16.52	10	\$165	
Transfer out long	Micron Technologies	11/06/02	16.52	10	\$165	
Transfer out long	Micron Technologies	11/06/02	16.52	15	\$248	
Transfer out long	Micron Technologies	11/06/02	16.52	5	\$83	
Transfer out long	Micron Technologies	11/07/02	16.52	240	\$3,965	
Transfer out long	Micron Technologies	11/07/02	16.52	190	\$3,139	
Transfer out long	Micron Technologies	11/07/02	16.52	105	\$1,735	
Microsoft Corp						(\$14,346)
Buy long	Microsoft Corp	06/12/01	71.02	55	(\$3,906)	
Buy long	Microsoft Corp	06/12/01	71.02	55	(\$3,906)	
Buy long	Microsoft Corp	06/12/01	71.02	735	(\$52,200)	
Buy long	Microsoft Corp	07/16/01	71.62	10	(\$716)	
Sell long	Microsoft Corp	08/01/02	46.23	10	\$462	
Sell long	Microsoft Corp	09/04/02	47.65	30	\$1,429	
Transfer out long	Microsoft Corp	11/08/02	55.10	55	\$3,031	
Transfer out long	Microsoft Corp	11/08/02	55.10	55	\$3,031	
Transfer out long	Microsoft Corp	11/12/02	54.51	695	\$37,884	
Transfer out long	Microsoft Corp	11/12/02	54.51	10	\$545	
Morgan Stanley Dean Witter & Co.						(\$7,774)
Buy long	Morgan Stanley Dean Witter & Co.	06/12/01	59.82	10	(\$598)	
Buy long	Morgan Stanley Dean Witter & Co.	06/12/01	59.82	10	(\$598)	
Buy long	Morgan Stanley Dean Witter & Co.	06/12/01	59.82	195	(\$11,665)	
Dividend	Morgan Stanley Dean Witter & Co.	07/27/01			\$2	
Dividend	Morgan Stanley Dean Witter & Co.	07/27/01			\$2	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Morgan Stanley Dean Witter & Co.	07/27/01			\$45	
Buy long	Morgan Stanley Dean Witter & Co.	10/09/01	48.82	10	(\$488)	
Buy long	Morgan Stanley Dean Witter & Co.	10/09/01	48.82	10	(\$488)	
Buy long	Morgan Stanley Dean Witter & Co.	10/09/01	48.82	140	(\$6,835)	
Sell long	Morgan Stanley Dean Witter & Co.	10/17/01	54.03	20	\$1,081	
Dividend	Morgan Stanley Dean Witter & Co.	10/26/01			\$2	
Dividend	Morgan Stanley Dean Witter & Co.	10/26/01			\$2	
Dividend	Morgan Stanley Dean Witter & Co.	10/26/01			\$45	
Dividend	Morgan Stanley Dean Witter & Co.	01/25/02			\$5	
Dividend	Morgan Stanley Dean Witter & Co.	01/25/02			\$72	
Buy long	Morgan Stanley Dean Witter & Co.	02/28/02	49.74	20	(\$995)	
Buy long	Morgan Stanley Dean Witter & Co.	02/28/02	49.74	20	(\$995)	
Buy long	Morgan Stanley Dean Witter & Co.	02/28/02	49.74	205	(\$10,196)	
Buy long	Morgan Stanley Dean Witter & Co.	03/01/02	49.79	20	(\$996)	
Sell long	Morgan Stanley Dean Witter & Co.	03/01/02	49.74	10	\$497	
Sell long	Morgan Stanley Dean Witter & Co.	03/01/02	49.74	10	\$497	
Buy long	Morgan Stanley Dean Witter & Co.	03/01/02	49.79	20	(\$996)	
Sell long	Morgan Stanley Dean Witter & Co.	03/01/02	49.74	10	\$497	
Sell long	Morgan Stanley Dean Witter & Co.	03/01/02	49.74	10	\$497	
Sell long	Morgan Stanley Dean Witter & Co.	03/01/02	49.74	175	\$8,704	
Sell long	Morgan Stanley Dean Witter & Co.	03/01/02	49.74	30	\$1,492	
Buy long	Morgan Stanley Dean Witter & Co.	03/01/02	49.79	205	(\$10,206)	
Dividend	Morgan Stanley Dean Witter & Co.	04/26/02			\$9	
Dividend	Morgan Stanley Dean Witter & Co.	04/26/02			\$9	
Dividend	Morgan Stanley Dean Witter & Co.	04/26/02			\$120	
Buy long	Morgan Stanley Dean Witter & Co.	06/11/02	45.06	5	(\$225)	
Buy long	Morgan Stanley Dean Witter & Co.	06/11/02	45.06	5	(\$225)	
Buy long	Morgan Stanley Dean Witter & Co.	06/11/02	45.06	50	(\$2,253)	
Buy long	Morgan Stanley Dean Witter & Co.	07/08/02	43.04	60	(\$2,582)	
Dividend	Morgan Stanley Dean Witter & Co.	07/26/02			\$10	
Dividend	Morgan Stanley Dean Witter & Co.	07/26/02			\$10	
Dividend	Morgan Stanley Dean Witter & Co.	07/26/02			\$131	
Sell long	Morgan Stanley Dean Witter & Co.	08/01/02	39.76	20	\$795	
Sell long	Morgan Stanley Dean Witter & Co.	09/04/02	40.03	15	\$600	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Morgan Stanley Dean Witter & Co.	09/17/02	39.30	10	\$393	
Dividend	Morgan Stanley Dean Witter & Co.	10/25/02			\$10	
Dividend	Morgan Stanley Dean Witter & Co.	10/25/02			\$10	
Dividend	Morgan Stanley Dean Witter & Co.	10/25/02			\$135	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/06/02	39.84	20	\$797	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/06/02	39.84	20	\$797	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/06/02	39.84	5	\$199	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/06/02	39.84	20	\$797	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/06/02	39.84	20	\$797	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/06/02	39.84	5	\$199	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/07/02	39.84	65	\$2,590	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/07/02	39.84	205	\$8,167	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/07/02	39.84	205	\$8,167	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/07/02	39.84	50	\$1,992	
Transfer out long	Morgan Stanley Dean Witter & Co.	11/07/02	39.84	60	\$2,390	
Network Appliance Inc.						(\$1,062)
Buy long	Network Appliance Inc.	06/12/01	17.22	10	(\$172)	
Buy long	Network Appliance Inc.	06/12/01	17.22	10	(\$172)	
Buy long	Network Appliance Inc.	06/12/01	17.22	160	(\$2,755)	
Sell long	Network Appliance Inc.	07/12/01	11.32	10	\$113	
Sell long	Network Appliance Inc.	07/12/01	11.32	10	\$113	
Sell long	Network Appliance Inc.	07/12/01	11.32	160	\$1,811	
Newell Company (Newell Rubbermaid)						\$2,604
Buy long	Newell Company (Newell Rubbermaid)	01/28/02	27.59	30	(\$828)	
Buy long	Newell Company (Newell Rubbermaid)	01/28/02	27.59	30	(\$828)	
Buy long	Newell Company (Newell Rubbermaid)	01/28/02	27.59	450	(\$12,416)	
Buy long	Newell Company (Newell Rubbermaid)	03/06/02	32.04	10	(\$320)	
Buy long	Newell Company (Newell Rubbermaid)	03/06/02	32.04	10	(\$320)	
Dividend	Newell Company (Newell Rubbermaid)	03/11/02			\$6	
Dividend	Newell Company (Newell Rubbermaid)	03/11/02			\$6	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Newell Company (Newell Rubbermaid)	03/11/02			\$95	
Buy long	Newell Company (Newell Rubbermaid)	04/03/02	31.34	125	(\$3,918)	
Dividend	Newell Company (Newell Rubbermaid)	06/10/02			\$8	
Dividend	Newell Company (Newell Rubbermaid)	06/10/02			\$8	
Dividend	Newell Company (Newell Rubbermaid)	06/10/02			\$121	
Sell long	Newell Company (Newell Rubbermaid)	07/08/02	34.70	25	\$867	
Sell long	Newell Company (Newell Rubbermaid)	08/01/02	32.75	20	\$655	
Dividend	Newell Company (Newell Rubbermaid)	09/10/02			\$8	
Dividend	Newell Company (Newell Rubbermaid)	09/10/02			\$8	
Dividend	Newell Company (Newell Rubbermaid)	09/10/02			\$111	
Sell long	Newell Company (Newell Rubbermaid)	09/17/02	34.18	20	\$684	
Transfer out long	Newell Company (Newell Rubbermaid)	11/06/02	31.62	30	\$949	
Transfer out long	Newell Company (Newell Rubbermaid)	11/06/02	31.62	10	\$316	
Transfer out long	Newell Company (Newell Rubbermaid)	11/06/02	31.62	30	\$949	
Transfer out long	Newell Company (Newell Rubbermaid)	11/06/02	31.62	10	\$316	
Transfer out long	Newell Company (Newell Rubbermaid)	11/07/02	31.62	385	\$12,174	
Transfer out long	Newell Company (Newell Rubbermaid)	11/07/02	31.62	125	\$3,953	
Oracle Systems Corp.						(\$2,891)
Buy long	Oracle Systems Corp.	06/12/01	15.42	55	(\$848)	
Buy long	Oracle Systems Corp.	06/12/01	15.42	60	(\$925)	
Buy long	Oracle Systems Corp.	06/12/01	15.42	700	(\$10,794)	
Buy long	Oracle Systems Corp.	07/16/01	19.00	10	(\$190)	
Sell long	Oracle Systems Corp.	09/05/01	11.96	55	\$658	
Sell long	Oracle Systems Corp.	09/05/01	11.96	60	\$718	
Sell long	Oracle Systems Corp.	09/05/01	11.96	700	\$8,372	
Sell long	Oracle Systems Corp.	09/05/01	11.96	10	\$120	
Peoplesoft Incorporated						(\$17,059)
Buy long	Peoplesoft Incorporated	07/16/01	41.45	20	(\$829)	
Buy long	Peoplesoft Incorporated	07/16/01	41.45	20	(\$829)	
Buy long	Peoplesoft Incorporated	07/16/01	41.13	340	(\$13,984)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Peoplesoft Incorporated	08/16/01	38.12	10	(\$381)	
Buy long	Peoplesoft Incorporated	08/16/01	38.12	15	(\$572)	
Buy long	Peoplesoft Incorporated	08/16/01	38.12	185	(\$7,051)	
Buy long	Peoplesoft Incorporated	09/05/01	31.22	25	(\$780)	
Buy long	Peoplesoft Incorporated	09/05/01	31.22	20	(\$624)	
Buy long	Peoplesoft Incorporated	09/05/01	31.22	340	(\$10,614)	
Sell long	Peoplesoft Incorporated	10/17/01	26.13	55	\$1,437	
Sell long	Peoplesoft Incorporated	04/03/02	24.46	30	\$734	
Buy long	Peoplesoft Incorporated	06/11/02	21.22	15	(\$318)	
Buy long	Peoplesoft Incorporated	06/11/02	21.22	20	(\$424)	
Buy long	Peoplesoft Incorporated	06/11/02	21.22	115	(\$2,440)	
Buy long	Peoplesoft Incorporated	07/08/02	16.29	110	(\$1,792)	
Sell long	Peoplesoft Incorporated	08/01/02	17.09	35	\$598	
Sell long	Peoplesoft Incorporated	09/04/02	14.98	25	\$374	
Sell long	Peoplesoft Incorporated	09/17/02	14.37	10	\$144	
Transfer out long	Peoplesoft Incorporated	11/06/02	18.79	20	\$376	
Transfer out long	Peoplesoft Incorporated	11/06/02	18.79	10	\$188	
Transfer out long	Peoplesoft Incorporated	11/06/02	18.79	25	\$470	
Transfer out long	Peoplesoft Incorporated	11/06/02	18.79	15	\$282	
Transfer out long	Peoplesoft Incorporated	11/06/02	18.79	20	\$376	
Transfer out long	Peoplesoft Incorporated	11/06/02	18.79	15	\$282	
Transfer out long	Peoplesoft Incorporated	11/06/02	18.79	20	\$376	
Transfer out long	Peoplesoft Incorporated	11/06/02	18.79	20	\$376	
Transfer out long	Peoplesoft Incorporated	11/07/02	18.79	185	\$3,476	
Transfer out long	Peoplesoft Incorporated	11/07/02	18.79	185	\$3,476	
Transfer out long	Peoplesoft Incorporated	11/07/02	18.79	340	\$6,389	
Transfer out long	Peoplesoft Incorporated	11/07/02	18.79	115	\$2,161	
Transfer out long	Peoplesoft Incorporated	11/07/02	18.79	110	\$2,067	
Pepsico Inc.						\$146
Buy long	Pepsico Inc.	06/12/01	43.47	45	(\$1,956)	
Buy long	Pepsico Inc.	06/12/01	43.47	45	(\$1,956)	
Buy long	Pepsico Inc.	06/12/01	43.47	705	(\$30,646)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Pepsico Inc.	07/16/01	46.24	10	(\$462)	
Dividend	Pepsico Inc.	09/28/01			\$7	
Dividend	Pepsico Inc.	09/28/01			\$7	
Dividend	Pepsico Inc.	09/28/01			\$104	
Sell long	Pepsico Inc.	10/17/01	48.02	20	\$960	
Dividend	Pepsico Inc.	01/02/02			\$7	
Dividend	Pepsico Inc.	01/02/02			\$101	
Sell long	Pepsico Inc.	01/10/02	47.95	15	\$719	
Sell long	Pepsico Inc.	01/10/02	47.95	270	\$12,945	
Sell long	Pepsico Inc.	01/25/02	50.55	15	\$758	
Dividend	Pepsico Inc.	03/28/02			\$4	
Dividend	Pepsico Inc.	03/28/02			\$4	
Dividend	Pepsico Inc.	03/28/02			\$62	
Sell long	Pepsico Inc.	04/03/02	50.50	35	\$1,767	
Dividend	Pepsico Inc.	06/28/02			\$5	
Dividend	Pepsico Inc.	06/28/02			\$5	
Dividend	Pepsico Inc.	06/28/02			\$59	
Sell long	Pepsico Inc.	07/08/02	48.32	15	\$725	
Sell long	Pepsico Inc.	08/01/02	42.96	15	\$644	
Sell long	Pepsico Inc.	09/04/02	38.89	350	\$13,611	
Sell long	Pepsico Inc.	09/04/02	38.89	10	\$389	
Sell long	Pepsico Inc.	09/05/02	37.03	30	\$1,111	
Sell long	Pepsico Inc.	09/05/02	37.03	30	\$1,111	
Dividend	Pepsico Inc.	09/27/02			\$5	
Dividend	Pepsico Inc.	09/27/02			\$5	
Dividend	Pepsico Inc.	09/27/02			\$54	
Pfizer Corp						(\$9,495)
Buy long	Pfizer Corp	06/12/01	43.06	85	(\$3,660)	
Buy long	Pfizer Corp	06/12/01	43.06	90	(\$3,875)	
Buy long	Pfizer Corp	06/12/01	43.06	1,380	(\$59,423)	
Buy long	Pfizer Corp	07/16/01	37.95	15	(\$569)	
Dividend	Pfizer Corp	09/06/01			\$9	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Pfizer Corp	09/06/01			\$10	
Dividend	Pfizer Corp	09/06/01			\$153	
Sell long	Pfizer Corp	10/17/01	41.64	45	\$1,874	
Dividend	Pfizer Corp	12/06/01			\$9	
Dividend	Pfizer Corp	12/06/01			\$10	
Dividend	Pfizer Corp	12/06/01			\$149	
Dividend	Pfizer Corp	03/07/02			\$11	
Sell long	Pfizer Corp	03/07/02	39.96	10	\$400	
Dividend	Pfizer Corp	03/07/02			\$12	
Sell long	Pfizer Corp	03/07/02	39.96	15	\$599	
Dividend	Pfizer Corp	03/07/02			\$176	
Sell long	Pfizer Corp	03/07/02	39.96	260	\$10,390	
Sell long	Pfizer Corp	04/03/02	39.81	100	\$3,981	
Sell long	Pfizer Corp	05/01/02	37.41	30	\$1,122	
Dividend	Pfizer Corp	06/06/02			\$10	
Dividend	Pfizer Corp	06/06/02			\$10	
Dividend	Pfizer Corp	06/06/02			\$125	
Buy long	Pfizer Corp	07/17/02	29.10	15	(\$436)	
Buy long	Pfizer Corp	07/17/02	29.10	15	(\$436)	
Buy long	Pfizer Corp	07/17/02	29.10	315	(\$9,166)	
Buy long	Pfizer Corp	08/01/02	31.87	35	(\$1,115)	
Sell long	Pfizer Corp	09/04/02	31.77	50	\$1,588	
Dividend	Pfizer Corp	09/05/02			\$12	
Dividend	Pfizer Corp	09/05/02			\$12	
Dividend	Pfizer Corp	09/05/02			\$170	
Transfer out long	Pfizer Corp	11/06/02	33.58	75	\$2,519	
Transfer out long	Pfizer Corp	11/06/02	33.58	15	\$504	
Transfer out long	Pfizer Corp	11/06/02	33.58	75	\$2,519	
Transfer out long	Pfizer Corp	11/06/02	33.58	15	\$504	
Transfer out long	Pfizer Corp	11/07/02	33.58	895	\$30,054	
Transfer out long	Pfizer Corp	11/07/02	33.58	15	\$504	
Transfer out long	Pfizer Corp	11/07/02	33.58	315	\$10,578	
Transfer out long	Pfizer Corp	11/07/02	33.58	35	\$1,175	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Pharmerica Inc.						(\$6,214)
Buy long	Pharmerica Inc.	06/12/01	48.95	55	(\$2,692)	
Buy long	Pharmerica Inc.	06/12/01	48.95	55	(\$2,692)	
Buy long	Pharmerica Inc.	06/12/01	48.95	850	(\$41,608)	
Buy long	Pharmerica Inc.	07/16/01	42.95	10	(\$430)	
Sell long	Pharmerica Inc.	07/30/01	42.35	55	\$2,329	
Sell long	Pharmerica Inc.	07/30/01	42.35	55	\$2,329	
Sell long	Pharmerica Inc.	07/30/01	42.35	850	\$35,996	
Sell long	Pharmerica Inc.	07/30/01	42.35	10	\$423	
Dividend	Pharmerica Inc.	08/01/01			\$7	
Dividend	Pharmerica Inc.	08/01/01			\$7	
Dividend	Pharmerica Inc.	08/01/01			\$115	
Philip Morris (Altria Group Inc)						(\$1,304)
Buy long	Philip Morris (Altria Group Inc)	06/12/01	49.14	40	(\$1,966)	
Buy long	Philip Morris (Altria Group Inc)	06/12/01	49.14	40	(\$1,966)	
Buy long	Philip Morris (Altria Group Inc)	06/12/01	49.14	595	(\$29,238)	
Dividend	Philip Morris (Altria Group Inc)	07/10/01			\$21	
Dividend	Philip Morris (Altria Group Inc)	07/10/01			\$21	
Dividend	Philip Morris (Altria Group Inc)	07/10/01			\$315	
Buy long	Philip Morris (Altria Group Inc)	07/16/01	44.47	5	(\$222)	
Dividend	Philip Morris (Altria Group Inc)	10/10/01			\$23	
Dividend	Philip Morris (Altria Group Inc)	10/10/01			\$23	
Dividend	Philip Morris (Altria Group Inc)	10/10/01			\$348	
Sell long	Philip Morris (Altria Group Inc)	10/17/01	50.38	20	\$1,008	
Dividend	Philip Morris (Altria Group Inc)	01/10/02			\$23	
Dividend	Philip Morris (Altria Group Inc)	01/10/02			\$336	
Sell long	Philip Morris (Altria Group Inc)	04/03/02	53.41	20	\$1,068	
Dividend	Philip Morris (Altria Group Inc)	04/10/02			\$23	
Dividend	Philip Morris (Altria Group Inc)	04/10/02			\$23	
Dividend	Philip Morris (Altria Group Inc)	04/10/02			\$336	
Sell long	Philip Morris (Altria Group Inc)	07/08/02	47.16	20	\$943	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Philip Morris (Altria Group Inc)	07/10/02			\$23	
Dividend	Philip Morris (Altria Group Inc)	07/10/02			\$23	
Dividend	Philip Morris (Altria Group Inc)	07/10/02			\$325	
Sell long	Philip Morris (Altria Group Inc)	08/01/02	45.23	20	\$905	
Buy long	Philip Morris (Altria Group Inc)	09/04/02	48.02	10	(\$480)	
Sell long	Philip Morris (Altria Group Inc)	09/17/02	47.13	30	\$1,414	
Dividend	Philip Morris (Altria Group Inc)	10/10/02			\$26	
Dividend	Philip Morris (Altria Group Inc)	10/10/02			\$26	
Dividend	Philip Morris (Altria Group Inc)	10/10/02			\$339	
Transfer out long	Philip Morris (Altria Group Inc)	11/06/02	43.06	40	\$1,722	
Transfer out long	Philip Morris (Altria Group Inc)	11/06/02	43.06	40	\$1,722	
Transfer out long	Philip Morris (Altria Group Inc)	11/07/02	43.06	485	\$20,884	
Transfer out long	Philip Morris (Altria Group Inc)	11/07/02	43.06	5	\$215	
Transfer out long	Philip Morris (Altria Group Inc)	11/07/02	43.06	10	\$431	
Providian Corp						(\$10,056)
Buy long	Providian Corp	06/12/01	54.30	50	(\$2,715)	
Buy long	Providian Corp	06/12/01	54.30	50	(\$2,715)	
Buy long	Providian Corp	06/12/01	54.30	790	(\$42,897)	
Buy long	Providian Corp	07/16/01	58.35	5	(\$292)	
Sell long	Providian Corp	08/16/01	45.14	30	\$1,354	
Sell long	Providian Corp	08/16/01	45.14	30	\$1,354	
Sell long	Providian Corp	08/16/01	45.14	435	\$19,635	
Sell long	Providian Corp	08/27/01	40.55	20	\$811	
Sell long	Providian Corp	08/27/01	40.55	20	\$811	
Sell long	Providian Corp	08/27/01	40.55	355	\$14,395	
Sell long	Providian Corp	08/27/01	40.55	5	\$203	
Qualcomm Inc.						(\$886)
Buy long	Qualcomm Inc.	01/10/02	46.31	15	(\$695)	
Buy long	Qualcomm Inc.	01/10/02	46.31	15	(\$695)	
Buy long	Qualcomm Inc.	01/10/02	46.31	165	(\$7,642)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Qualcomm Inc.	04/03/02	38.46	285	(\$10,961)	
Buy long	Qualcomm Inc.	05/10/02	27.88	5	(\$139)	
Buy long	Qualcomm Inc.	05/10/02	27.88	5	(\$139)	
Buy long	Qualcomm Inc.	05/10/02	27.88	185	(\$5,158)	
Sell long	Qualcomm Inc.	07/08/02	27.08	50	\$1,354	
Buy long	Qualcomm Inc.	07/22/02	29.29	15	(\$439)	
Buy long	Qualcomm Inc.	07/22/02	29.29	20	(\$586)	
Buy long	Qualcomm Inc.	07/22/02	29.29	145	(\$4,248)	
Buy long	Qualcomm Inc.	08/01/02	25.62	60	(\$1,537)	
Sell long	Qualcomm Inc.	09/04/02	26.81	25	\$670	
Sell long	Qualcomm Inc.	09/17/02	27.28	5	\$136	
Transfer out long	Qualcomm Inc.	11/06/02	34.94	15	\$524	
Transfer out long	Qualcomm Inc.	11/06/02	34.94	5	\$175	
Transfer out long	Qualcomm Inc.	11/06/02	34.94	15	\$524	
Transfer out long	Qualcomm Inc.	11/06/02	36.14	15	\$542	
Transfer out long	Qualcomm Inc.	11/06/02	34.94	5	\$175	
Transfer out long	Qualcomm Inc.	11/06/02	34.94	20	\$699	
Transfer out long	Qualcomm Inc.	11/07/02	34.94	85	\$2,970	
Transfer out long	Qualcomm Inc.	11/07/02	34.94	285	\$9,958	
Transfer out long	Qualcomm Inc.	11/07/02	34.94	185	\$6,464	
Transfer out long	Qualcomm Inc.	11/07/02	34.94	145	\$5,066	
Transfer out long	Qualcomm Inc.	11/07/02	34.94	60	\$2,096	
SBC Communications Inc						\$348
Buy long	SBC Communications Inc	06/12/01	41.76	60	(\$2,506)	
Buy long	SBC Communications Inc	06/12/01	41.76	65	(\$2,714)	
Buy long	SBC Communications Inc	06/12/01	41.76	980	(\$40,925)	
Buy long	SBC Communications Inc	07/16/01	42.50	10	(\$425)	
Dividend	SBC Communications Inc	08/01/01			\$251	
Dividend	SBC Communications Inc	08/01/01			\$15	
Dividend	SBC Communications Inc	08/01/01			\$17	
Sell long	SBC Communications Inc	08/27/01	42.10	40	\$1,684	
Sell long	SBC Communications Inc	08/27/01	42.10	45	\$1,894	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	SBC Communications Inc	08/27/01	42.10	670	\$28,206	
Sell long	SBC Communications Inc	09/05/01	41.25	20	\$825	
Sell long	SBC Communications Inc	09/05/01	41.25	20	\$825	
Sell long	SBC Communications Inc	09/05/01	41.25	310	\$12,788	
Sell long	SBC Communications Inc	09/05/01	41.25	10	\$413	
Schering Plough						(\$929)
Buy long	Schering Plough	06/12/01	40.85	10	(\$409)	
Buy long	Schering Plough	06/12/01	40.85	10	(\$409)	
Buy long	Schering Plough	06/12/01	40.85	195	(\$7,966)	
Sell long	Schering Plough	07/03/01	36.53	10	\$365	
Sell long	Schering Plough	07/03/01	36.53	10	\$365	
Sell long	Schering Plough	07/03/01	36.53	195	\$7,123	
Schlumberger Limited						(\$954)
Buy long	Schlumberger Limited	06/12/01	60.65	5	(\$303)	
Buy long	Schlumberger Limited	06/12/01	60.65	5	(\$303)	
Buy long	Schlumberger Limited	06/12/01	60.65	95	(\$5,762)	
Sell long	Schlumberger Limited	07/09/01	51.56	5	\$258	
Sell long	Schlumberger Limited	07/09/01	51.56	5	\$258	
Sell long	Schlumberger Limited	07/09/01	51.56	95	\$4,898	
Solectron Corp.						(\$1,566)
Buy long	Solectron Corp.	06/12/01	20.46	20	(\$409)	
Buy long	Solectron Corp.	06/12/01	20.46	20	(\$409)	
Buy long	Solectron Corp.	06/12/01	20.46	335	(\$6,854)	
Sell long	Solectron Corp.	07/13/01	16.28	20	\$326	
Sell long	Solectron Corp.	07/13/01	16.28	20	\$326	
Sell long	Solectron Corp.	07/13/01	16.28	335	\$5,455	
Sprint Corp PCS Com						(\$28,595)

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Sprint Corp PCS Com	08/14/01	24.75	55	(\$1,361)	
Buy long	Sprint Corp PCS Com	08/14/01	24.75	55	(\$1,361)	
Buy long	Sprint Corp PCS Com	08/14/01	24.75	860	(\$21,281)	
Buy long	Sprint Corp PCS Com	09/20/01	24.21	30	(\$726)	
Buy long	Sprint Corp PCS Com	09/20/01	24.21	30	(\$726)	
Buy long	Sprint Corp PCS Com	09/20/01	24.21	450	(\$10,896)	
Buy long	Sprint Corp PCS Com	10/09/01	27.85	15	(\$418)	
Buy long	Sprint Corp PCS Com	10/09/01	27.85	20	(\$557)	
Buy long	Sprint Corp PCS Com	10/09/01	27.85	295	(\$8,217)	
Sell long	Sprint Corp PCS Com	10/17/01	26.46	105	\$2,778	
Buy long	Sprint Corp PCS Com	10/23/01	24.81	15	(\$372)	
Buy long	Sprint Corp PCS Com	10/23/01	24.81	15	(\$372)	
Buy long	Sprint Corp PCS Com	10/23/01	24.81	275	(\$6,824)	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	55	\$595	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	30	\$324	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	15	\$162	
Sell long	Sprint Corp PCS Com	02/05/02	10.82	15	\$162	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	55	\$595	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	30	\$324	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	20	\$216	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	15	\$162	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	755	\$8,165	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	450	\$4,867	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	295	\$3,190	
Sell long	Sprint Corp PCS Com	02/05/02	10.81	275	\$2,974	
Staples Inc. (Office Depot)						\$795
Buy long	Staples Inc. (Office Depot)	06/12/01	14.07	40	(\$563)	
Buy long	Staples Inc. (Office Depot)	06/12/01	14.07	40	(\$563)	
Buy long	Staples Inc. (Office Depot)	06/12/01	14.07	645	(\$9,075)	
Buy long	Staples Inc. (Office Depot)	07/16/01	13.97	5	(\$70)	
Sell long	Staples Inc. (Office Depot)	08/24/01	15.16	40	\$606	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Sell long	Staples Inc. (Office Depot)	08/24/01	15.16	40	\$606	
Sell long	Staples Inc. (Office Depot)	08/24/01	15.16	645	\$9,777	
Sell long	Staples Inc. (Office Depot)	08/24/01	15.16	5	\$76	
TXU Corp						\$2,278
Buy long	TXU Corp	06/12/01	47.54	40	(\$1,902)	
Buy long	TXU Corp	06/12/01	47.54	40	(\$1,902)	
Buy long	TXU Corp	06/12/01	47.54	625	(\$29,713)	
Buy long	TXU Corp	07/16/01	47.67	5	(\$238)	
Dividend	TXU Corp	10/01/01			\$24	
Dividend	TXU Corp	10/01/01			\$24	
Dividend	TXU Corp	10/01/01			\$378	
Sell long	TXU Corp	10/17/01	46.37	25	\$1,159	
Sell long	TXU Corp	12/19/01	45.98	20	\$920	
Sell long	TXU Corp	12/19/01	45.98	15	\$690	
Sell long	TXU Corp	12/19/01	45.98	265	\$12,184	
Dividend	TXU Corp	01/02/02			\$363	
Dividend	TXU Corp	01/02/02			\$24	
Dividend	TXU Corp	04/01/02			\$12	
Dividend	TXU Corp	04/01/02			\$15	
Dividend	TXU Corp	04/01/02			\$204	
Sell long	TXU Corp	04/03/02	54.86	25	\$1,371	
Sell long	TXU Corp	05/29/02	51.84	20	\$1,037	
Sell long	TXU Corp	05/29/02	51.84	25	\$1,296	
Sell long	TXU Corp	05/29/02	51.84	310	\$16,072	
Sell long	TXU Corp	05/29/02	51.84	5	\$259	
Target Corp						(\$3,092)
Buy long	Target Corp	06/12/01	36.52	15	(\$548)	
Buy long	Target Corp	06/12/01	36.52	15	(\$548)	
Buy long	Target Corp	06/12/01	36.52	225	(\$8,217)	
Buy long	Target Corp	07/27/01	36.49	15	(\$547)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Target Corp	07/27/01	36.49	15	(\$547)	
Buy long	Target Corp	07/27/01	36.49	205	(\$7,480)	
Buy long	Target Corp	08/06/01	38.05	20	(\$761)	
Buy long	Target Corp	08/06/01	38.05	20	(\$761)	
Buy long	Target Corp	08/06/01	38.05	390	(\$14,841)	
Dividend	Target Corp	09/10/01			\$3	
Dividend	Target Corp	09/10/01			\$3	
Dividend	Target Corp	09/10/01			\$45	
Dividend	Target Corp	12/10/01			\$3	
Dividend	Target Corp	12/10/01			\$3	
Dividend	Target Corp	12/10/01			\$45	
Sell long	Target Corp	03/07/02	43.12	5	\$216	
Sell long	Target Corp	03/07/02	43.12	120	\$5,175	
Dividend	Target Corp	03/11/02			\$3	
Dividend	Target Corp	03/11/02			\$3	
Dividend	Target Corp	03/11/02			\$49	
Sell long	Target Corp	04/03/02	41.60	65	\$2,704	
Dividend	Target Corp	06/10/02			\$3	
Dividend	Target Corp	06/10/02			\$3	
Dividend	Target Corp	06/10/02			\$38	
Sell long	Target Corp	07/08/02	38.82	25	\$970	
Sell long	Target Corp	08/01/02	31.66	15	\$475	
Sell long	Target Corp	08/01/02	31.66	10	\$317	
Dividend	Target Corp	09/10/02			\$3	
Dividend	Target Corp	09/10/02			\$3	
Dividend	Target Corp	09/10/02			\$35	
Sell long	Target Corp	09/17/02	36.01	20	\$720	
Transfer out long	Target Corp	11/06/02	30.82	10	\$308	
Transfer out long	Target Corp	11/06/02	30.82	15	\$462	
Transfer out long	Target Corp	11/06/02	30.82	20	\$616	
Transfer out long	Target Corp	11/06/02	30.82	15	\$462	
Transfer out long	Target Corp	11/06/02	30.82	15	\$462	
Transfer out long	Target Corp	11/06/02	30.82	20	\$616	
Transfer out long	Target Corp	11/07/02	30.82	175	\$5,394	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Transfer out long	Target Corp	11/07/02	30.82	390	\$12,020	
Tenet Healthcare Corp.						\$2,124
Buy long	Tenet Healthcare Corp.	06/12/01	49.69	40	(\$1,988)	
Buy long	Tenet Healthcare Corp.	06/12/01	49.69	40	(\$1,988)	
Buy long	Tenet Healthcare Corp.	06/12/01	49.69	595	(\$29,566)	
Buy long	Tenet Healthcare Corp.	07/16/01	53.72	5	(\$269)	
Sell long	Tenet Healthcare Corp.	10/17/01	61.04	20	\$1,221	
Sell long	Tenet Healthcare Corp.	11/21/01	59.99	10	\$600	
Sell long	Tenet Healthcare Corp.	11/21/01	59.99	10	\$600	
Sell long	Tenet Healthcare Corp.	11/21/01	59.99	160	\$9,599	
Sell long	Tenet Healthcare Corp.	04/03/02	67.05	20	\$1,341	
Sell long	Tenet Healthcare Corp.	05/01/02	73.64	20	\$1,473	
Sell long	Tenet Healthcare Corp.	06/27/02	72.51	5	\$363	
Sell long	Tenet Healthcare Corp.	06/27/02	72.51	5	\$363	
Sell long	Tenet Healthcare Corp.	06/27/02	72.51	55	\$3,988	
Sell long	Tenet Healthcare Corp.	07/10/02	48.12	1	\$24	
Sell long	Tenet Healthcare Corp.	07/10/02	48.12	1	\$24	
Sell long	Tenet Healthcare Corp.	07/10/02	48.12	1	\$24	
Sell long	Tenet Healthcare Corp.	08/01/02	47.34	15	\$710	
Sell long	Tenet Healthcare Corp.	09/17/02	50.86	15	\$763	
Transfer out long	Tenet Healthcare Corp.	11/06/02	27.95	37	\$1,034	
Transfer out long	Tenet Healthcare Corp.	11/06/02	27.95	37	\$1,034	
Transfer out long	Tenet Healthcare Corp.	11/07/02	27.95	450	\$12,564	
Transfer out long	Tenet Healthcare Corp.	11/07/02	27.95	8	\$210	
Texaco						(\$3,094)
Buy long	Texaco	06/12/01	73.08	25	(\$1,827)	
Buy long	Texaco	06/12/01	73.08	25	(\$1,827)	
Buy long	Texaco	06/12/01	73.08	370	(\$27,040)	
Buy long	Texaco	07/16/01	66.40	5	(\$332)	
Dividend	Texaco	09/10/01			\$11	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Texaco	09/10/01			\$11	
Dividend	Texaco	09/10/01			\$169	
Sell long	Texaco	10/10/01	67.30	25	\$1,682	
Sell long	Texaco	10/10/01	67.30	25	\$1,682	
Sell long	Texaco	10/10/01	65.00	370	\$24,050	
Sell long	Texaco	10/10/01	65.00	5	\$325	
Texas Instruments						(\$1,737)
Buy long	Texas Instruments	06/12/01	33.43	15	(\$501)	
Buy long	Texas Instruments	06/12/01	33.43	15	(\$501)	
Buy long	Texas Instruments	06/12/01	33.43	225	(\$7,522)	
Buy long	Texas Instruments	08/17/01	33.53	15	(\$503)	
Buy long	Texas Instruments	08/17/01	33.53	15	(\$503)	
Buy long	Texas Instruments	08/17/01	33.53	245	(\$8,215)	
Dividend	Texas Instruments	08/20/01			\$0	
Dividend	Texas Instruments	08/20/01			\$0	
Dividend	Texas Instruments	08/20/01			\$5	
Sell long	Texas Instruments	10/17/01	32.06	15	\$481	
Dividend	Texas Instruments	11/19/01			\$1	
Dividend	Texas Instruments	11/19/01			\$1	
Dividend	Texas Instruments	11/19/01			\$10	
Sell long	Texas Instruments	12/18/01	30.12	15	\$452	
Sell long	Texas Instruments	12/18/01	30.12	15	\$452	
Sell long	Texas Instruments	12/18/01	30.12	15	\$452	
Sell long	Texas Instruments	12/18/01	30.12	15	\$452	
Sell long	Texas Instruments	12/18/01	30.12	210	\$6,325	
Sell long	Texas Instruments	12/18/01	30.12	245	\$7,379	
Travelers Property Cas Crp A						\$2,169
Buy long	Travelers Property Cas Crp A	08/20/02	14.36	30	(\$431)	
Buy long	Travelers Property Cas Crp A	08/20/02	16.26	20	(\$325)	
Buy long	Travelers Property Cas Crp A	08/20/02	14.36	30	(\$431)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Travelers Property Cas Crp A	08/20/02	16.26	20	(\$325)	
Buy long	Travelers Property Cas Crp A	08/20/02	16.27	240	(\$3,904)	
Adjust cost long	Travelers Property Cas Crp A	08/21/02	5.01	285	\$1,429	
Buy long	Travelers Property Cas Crp A	08/27/02	14.36	420	(\$6,033)	
Sell long	Travelers Property Cas Crp A	08/28/02	15.20	7	\$106	
Sell long	Travelers Property Cas Crp A	08/28/02	14.43	7	\$106	
Sell long	Travelers Property Cas Crp A	09/17/02	13.81	10	\$138	
Transfer out long	Travelers Property Cas Crp A	11/06/02	14.81	29	\$424	
Transfer out long	Travelers Property Cas Crp A	11/06/02	14.81	24	\$352	
Transfer out long	Travelers Property Cas Crp A	11/06/02	14.81	28	\$418	
Transfer out long	Travelers Property Cas Crp A	11/06/02	14.81	24	\$352	
Transfer out long	Travelers Property Cas Crp A	11/07/02	14.81	275	\$4,073	
Transfer out long	Travelers Property Cas Crp A	11/07/02	14.81	420	\$6,220	
Travelers Property Cas Crp B						\$0
Buy long	Travelers Property Cas Crp B	08/21/02	15.20	94	(\$1,429)	
Sell long	Travelers Property Cas Crp B	08/28/02	15.20	94	\$1,429	
Tyco Int'l Ltd. New						(\$40,866)
Buy long	Tyco Int'l Ltd. New	06/12/01	55.62	50	(\$2,781)	
Buy long	Tyco Int'l Ltd. New	06/12/01	55.62	55	(\$3,059)	
Buy long	Tyco Int'l Ltd. New	06/12/01	55.62	820	(\$45,608)	
Buy long	Tyco Int'l Ltd. New	07/16/01	50.99	5	(\$255)	
Dividend	Tyco Int'l Ltd. New	08/01/01			\$1	
Dividend	Tyco Int'l Ltd. New	08/01/01			\$1	
Dividend	Tyco Int'l Ltd. New	08/01/01			\$10	
Sell long	Tyco Int'l Ltd. New	10/17/01	48.79	15	\$732	
Dividend	Tyco Int'l Ltd. New	11/02/01			\$1	
Dividend	Tyco Int'l Ltd. New	11/02/01			\$1	
Dividend	Tyco Int'l Ltd. New	11/02/01			\$10	
Dividend	Tyco Int'l Ltd. New	02/01/02			\$1	
Dividend	Tyco Int'l Ltd. New	02/01/02			\$1	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Tyco Int'l Ltd. New	02/01/02			\$10	
Sell long	Tyco Int'l Ltd. New	04/03/02	31.58	30	\$947	
Dividend	Tyco Int'l Ltd. New	05/01/02			\$1	
Dividend	Tyco Int'l Ltd. New	05/01/02			\$1	
Dividend	Tyco Int'l Ltd. New	05/01/02			\$10	
Sell long	Tyco Int'l Ltd. New	06/07/02	10.30	50	\$515	
Sell long	Tyco Int'l Ltd. New	06/07/02	10.30	55	\$566	
Sell long	Tyco Int'l Ltd. New	06/07/02	10.30	775	\$7,979	
Sell long	Tyco Int'l Ltd. New	06/07/02	10.30	5	\$51	
US Bancorp Delaware						(\$492)
Buy long	US Bancorp Delaware	06/12/01	22.60	75	(\$1,695)	
Buy long	US Bancorp Delaware	06/12/01	22.60	75	(\$1,695)	
Dividend	US Bancorp Delaware	07/16/01			\$14	
Dividend	US Bancorp Delaware	07/16/01			\$14	
Sell long	US Bancorp Delaware	09/21/01	19.13	75	\$1,435	
Sell long	US Bancorp Delaware	09/21/01	19.13	75	\$1,435	
US Bancorp Ore						(\$3,949)
Buy long	US Bancorp Ore	06/12/01	22.60	1,190	(\$26,894)	
Buy long	US Bancorp Ore	07/16/01	23.79	10	(\$238)	
Dividend	US Bancorp Ore	07/16/01			\$223	
Sell long	US Bancorp Ore	09/21/01	19.13	1,190	\$22,768	
Sell long	US Bancorp Ore	09/21/01	19.13	10	\$191	
Union Pacific Corp.						\$3,444
Buy long	Union Pacific Corp.	06/12/01	54.98	10	(\$550)	
Buy long	Union Pacific Corp.	06/12/01	54.98	10	(\$550)	
Buy long	Union Pacific Corp.	06/12/01	54.98	160	(\$8,797)	
Buy long	Union Pacific Corp.	07/25/01	52.57	5	(\$263)	
Buy long	Union Pacific Corp.	07/25/01	52.57	5	(\$263)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Union Pacific Corp.	07/25/01	52.57	95	(\$4,995)	
Dividend	Union Pacific Corp.	10/01/01			\$3	
Dividend	Union Pacific Corp.	10/01/01			\$3	
Dividend	Union Pacific Corp.	10/01/01			\$51	
Sell long	Union Pacific Corp.	10/17/01	48.91	15	\$734	
Buy long	Union Pacific Corp.	11/02/01	52.31	10	(\$523)	
Buy long	Union Pacific Corp.	11/02/01	52.31	10	(\$523)	
Buy long	Union Pacific Corp.	11/02/01	52.31	105	(\$5,492)	
Dividend	Union Pacific Corp.	01/02/02			\$5	
Dividend	Union Pacific Corp.	01/02/02			\$69	
Buy long	Union Pacific Corp.	03/18/02	59.86	10	(\$599)	
Buy long	Union Pacific Corp.	03/18/02	59.86	10	(\$599)	
Buy long	Union Pacific Corp.	03/18/02	59.86	140	(\$8,380)	
Dividend	Union Pacific Corp.	04/01/02			\$5	
Dividend	Union Pacific Corp.	04/01/02			\$5	
Dividend	Union Pacific Corp.	04/01/02			\$69	
Sell long	Union Pacific Corp.	04/03/02	58.03	35	\$2,031	
Buy long	Union Pacific Corp.	05/01/02	58.35	40	(\$2,334)	
Dividend	Union Pacific Corp.	07/01/02			\$7	
Dividend	Union Pacific Corp.	07/01/02			\$7	
Dividend	Union Pacific Corp.	07/01/02			\$98	
Sell long	Union Pacific Corp.	07/08/02	62.43	55	\$3,434	
Sell long	Union Pacific Corp.	08/01/02	58.20	20	\$1,164	
Sell long	Union Pacific Corp.	09/17/02	58.86	15	\$883	
Dividend	Union Pacific Corp.	10/01/02			\$7	
Dividend	Union Pacific Corp.	10/01/02			\$7	
Dividend	Union Pacific Corp.	10/01/02			\$83	
Transfer out long	Union Pacific Corp.	11/06/02	60.95	10	\$610	
Transfer out long	Union Pacific Corp.	11/06/02	60.95	5	\$305	
Transfer out long	Union Pacific Corp.	11/06/02	60.95	10	\$610	
Transfer out long	Union Pacific Corp.	11/06/02	60.95	10	\$610	
Transfer out long	Union Pacific Corp.	11/06/02	60.95	10	\$610	
Transfer out long	Union Pacific Corp.	11/06/02	60.95	5	\$305	
Transfer out long	Union Pacific Corp.	11/06/02	60.95	10	\$610	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Transfer out long	Union Pacific Corp.	11/06/02	60.95	10	\$610	
Transfer out long	Union Pacific Corp.	11/07/02	60.95	20	\$1,219	
Transfer out long	Union Pacific Corp.	11/07/02	60.95	95	\$5,790	
Transfer out long	Union Pacific Corp.	11/07/02	60.95	105	\$6,400	
Transfer out long	Union Pacific Corp.	11/07/02	60.95	140	\$8,533	
Transfer out long	Union Pacific Corp.	11/07/02	60.95	40	\$2,438	
United Health Group Inc.						\$335
Buy long	United Health Group Inc.	06/12/01	56.07	10	(\$561)	
Dividend	United Health Group Inc.	04/17/02			\$0	
Sell long	United Health Group Inc.	08/16/02	89.52	10	\$895	
United Healthcare Corp.						\$6,954
Buy long	United Healthcare Corp.	06/12/01	56.07	10	(\$561)	
Buy long	United Healthcare Corp.	06/12/01	56.07	210	(\$11,775)	
Sell long	United Healthcare Corp.	10/17/01	67.88	20	\$1,358	
Dividend	United Healthcare Corp.	04/17/02			\$0	
Dividend	United Healthcare Corp.	04/17/02			\$6	
Sell long	United Healthcare Corp.	05/01/02	89.52	15	\$1,343	
Sell long	United Healthcare Corp.	06/27/02	89.95	50	\$4,498	
Sell long	United Healthcare Corp.	08/16/02	89.52	10	\$895	
Sell long	United Healthcare Corp.	08/16/02	89.52	125	\$11,190	
United Technologies Corp.						(\$15,202)
Buy long	United Technologies Corp.	06/12/01	79.08	15	(\$1,186)	
Buy long	United Technologies Corp.	06/12/01	79.08	15	(\$1,186)	
Buy long	United Technologies Corp.	06/12/01	79.08	225	(\$17,793)	
Buy long	United Technologies Corp.	07/16/01	75.04	310	(\$23,262)	
Buy long	United Technologies Corp.	07/18/01	75.25	15	(\$1,129)	
Buy long	United Technologies Corp.	07/18/01	75.25	15	(\$1,129)	
Dividend	United Technologies Corp.	09/10/01			\$7	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	United Technologies Corp.	09/10/01			\$7	
Dividend	United Technologies Corp.	09/10/01			\$120	
Sell long	United Technologies Corp.	10/09/01	51.01	15	\$765	
Sell long	United Technologies Corp.	10/09/01	51.01	15	\$765	
Sell long	United Technologies Corp.	10/09/01	51.01	15	\$765	
Sell long	United Technologies Corp.	10/09/01	51.01	15	\$765	
Sell long	United Technologies Corp.	10/09/01	51.01	225	\$11,477	
Sell long	United Technologies Corp.	10/09/01	51.01	310	\$15,812	
Valero Energy Corp						(\$3,115)
Buy long	Valero Energy Corp	03/21/02	47.96	15	(\$719)	
Buy long	Valero Energy Corp	03/21/02	47.96	10	(\$480)	
Buy long	Valero Energy Corp	03/21/02	47.96	260	(\$12,469)	
Dividend	Valero Energy Corp	06/12/02			\$2	
Dividend	Valero Energy Corp	06/12/02			\$1	
Dividend	Valero Energy Corp	06/12/02			\$26	
Buy long	Valero Energy Corp	08/20/02	34.19	10	(\$342)	
Buy long	Valero Energy Corp	08/20/02	34.19	15	(\$513)	
Buy long	Valero Energy Corp	08/20/02	34.19	90	(\$3,077)	
Dividend	Valero Energy Corp	09/11/02			\$2	
Dividend	Valero Energy Corp	09/11/02			\$1	
Dividend	Valero Energy Corp	09/11/02			\$26	
Transfer out long	Valero Energy Corp	11/06/02	36.07	15	\$541	
Transfer out long	Valero Energy Corp	11/06/02	36.07	10	\$361	
Transfer out long	Valero Energy Corp	11/06/02	36.07	10	\$361	
Transfer out long	Valero Energy Corp	11/06/02	36.07	15	\$541	
Transfer out long	Valero Energy Corp	11/07/02	36.07	260	\$9,378	
Transfer out long	Valero Energy Corp	11/07/02	36.07	90	\$3,246	
Veritas Software Corp						(\$14,717)
Buy long	Veritas Software Corp	06/12/01	66.32	10	(\$663)	
Buy long	Veritas Software Corp	06/12/01	66.32	10	(\$663)	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Buy long	Veritas Software Corp	06/12/01	66.32	10	(\$663)	
Buy long	Veritas Software Corp	06/12/01	66.32	10	(\$663)	
Buy long	Veritas Software Corp	06/12/01	66.32	10	(\$663)	
Buy long	Veritas Software Corp	06/12/01	66.32	10	(\$663)	
Buy long	Veritas Software Corp	06/12/01	66.32	450	(\$29,844)	
Sell long	Veritas Software Corp	07/12/01	54.19	10	\$542	
Sell long	Veritas Software Corp	07/12/01	54.19	10	\$542	
Sell long	Veritas Software Corp	07/12/01	54.19	100	\$5,419	
Sell long	Veritas Software Corp	07/16/01	50.63	10	\$506	
Sell long	Veritas Software Corp	08/16/01	31.83	10	\$318	
Sell long	Veritas Software Corp	08/16/01	31.83	10	\$318	
Sell long	Veritas Software Corp	08/16/01	31.83	10	\$318	
Sell long	Veritas Software Corp	08/16/01	31.83	10	\$318	
Sell long	Veritas Software Corp	08/16/01	31.83	240	\$7,640	
Sell long	Veritas Software Corp	08/16/01	31.83	100	\$3,183	
Viacom Inc CI B						\$398
Buy long	Viacom Inc CI B	03/07/02	49.25	10	(\$493)	
Buy long	Viacom Inc CI B	03/07/02	49.25	10	(\$493)	
Buy long	Viacom Inc CI B	03/07/02	49.25	125	(\$6,156)	
Buy long	Viacom Inc CI B	04/03/02	47.72	130	(\$6,204)	
Sell long	Viacom Inc CI B	07/08/02	43.17	10	\$432	
Buy long	Viacom Inc CI B	07/22/02	34.37	15	(\$516)	
Buy long	Viacom Inc CI B	07/22/02	34.37	15	(\$516)	
Buy long	Viacom Inc CI B	07/22/02	34.37	120	(\$4,124)	
Buy long	Viacom Inc CI B	08/01/02	38.04	40	(\$1,522)	
Sell long	Viacom Inc CI B	09/17/02	41.85	15	\$628	
Transfer out long	Viacom Inc CI B	11/06/02	44.00	10	\$440	
Transfer out long	Viacom Inc CI B	11/06/02	44.00	15	\$660	
Transfer out long	Viacom Inc CI B	11/06/02	44.00	10	\$440	
Transfer out long	Viacom Inc CI B	11/06/02	44.00	15	\$660	
Transfer out long	Viacom Inc CI B	11/07/02	44.00	100	\$4,400	
Transfer out long	Viacom Inc CI B	11/07/02	44.00	130	\$5,720	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Transfer out long	Viacom Inc CI B	11/07/02	44.00	120	\$5,280	
Transfer out long	Viacom Inc CI B	11/07/02	44.00	40	\$1,760	
Washington Mutual Inc.						(\$26)
Buy long	Washington Mutual Inc.	10/08/01	37.91	40	(\$1,516)	
Buy long	Washington Mutual Inc.	10/08/01	37.91	40	(\$1,516)	
Buy long	Washington Mutual Inc.	10/08/01	37.91	645	(\$24,450)	
Buy long	Washington Mutual Inc.	10/17/01	35.09	15	(\$526)	
Buy long	Washington Mutual Inc.	10/17/01	35.09	15	(\$526)	
Buy long	Washington Mutual Inc.	10/17/01	35.38	190	(\$6,722)	
Dividend	Washington Mutual Inc.	11/15/01			\$13	
Dividend	Washington Mutual Inc.	11/15/01			\$13	
Dividend	Washington Mutual Inc.	11/15/01			\$200	
Dividend	Washington Mutual Inc.	02/15/02			\$14	
Dividend	Washington Mutual Inc.	02/15/02			\$14	
Dividend	Washington Mutual Inc.	02/15/02			\$209	
Sell long	Washington Mutual Inc.	04/03/02	33.37	30	\$1,001	
Sell long	Washington Mutual Inc.	05/10/02	37.60	10	\$376	
Sell long	Washington Mutual Inc.	05/10/02	37.60	10	\$376	
Sell long	Washington Mutual Inc.	05/10/02	37.60	150	\$5,640	
Dividend	Washington Mutual Inc.	05/15/02			\$14	
Dividend	Washington Mutual Inc.	05/15/02			\$14	
Dividend	Washington Mutual Inc.	05/15/02			\$209	
Sell long	Washington Mutual Inc.	07/08/02	37.19	35	\$1,302	
Sell long	Washington Mutual Inc.	08/01/02	36.61	20	\$732	
Dividend	Washington Mutual Inc.	08/15/02			\$12	
Dividend	Washington Mutual Inc.	08/15/02			\$12	
Dividend	Washington Mutual Inc.	08/15/02			\$167	
Sell long	Washington Mutual Inc.	09/17/02	33.29	25	\$832	
Transfer out long	Washington Mutual Inc.	11/06/02	35.93	30	\$1,078	
Transfer out long	Washington Mutual Inc.	11/06/02	35.93	15	\$539	
Transfer out long	Washington Mutual Inc.	11/06/02	35.93	30	\$1,078	
Transfer out long	Washington Mutual Inc.	11/06/02	35.93	15	\$539	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Transfer out long	Washington Mutual Inc.	11/07/02	35.93	385	\$13,833	
Transfer out long	Washington Mutual Inc.	11/07/02	35.93	190	\$6,827	
Dividend	Washington Mutual Inc.	11/15/02			\$13	
Dividend	Washington Mutual Inc.	11/15/02			\$13	
Dividend	Washington Mutual Inc.	11/15/02			\$161	
Watson Pharm Inc						(\$11,535)
Buy long	Watson Pharm Inc	08/02/01	64.36	255	(\$16,411)	
Buy long	Watson Pharm Inc	08/24/01	56.88	75	(\$4,266)	
Buy long	Watson Pharm Inc	10/17/01	50.00	10	(\$500)	
Sell long	Watson Pharm Inc	11/15/01	28.36	255	\$7,231	
Sell long	Watson Pharm Inc	11/15/01	28.36	75	\$2,127	
Sell long	Watson Pharm Inc	11/15/01	28.36	10	\$284	
Watson Pharmaceutical Incorporated						(\$1,365)
Buy long	Watson Pharmaceutical Incorporated	08/02/01	64.36	15	(\$965)	
Buy long	Watson Pharmaceutical Incorporated	08/02/01	64.36	15	(\$965)	
Buy long	Watson Pharmaceutical Incorporated	08/24/01	56.88	5	(\$284)	
Buy long	Watson Pharmaceutical Incorporated	08/24/01	56.88	5	(\$284)	
Sell long	Watson Pharmaceutical Incorporated	11/15/01	28.36	15	\$425	
Sell long	Watson Pharmaceutical Incorporated	11/15/01	28.36	5	\$142	
Sell long	Watson Pharmaceutical Incorporated	11/15/01	28.36	15	\$425	
Sell long	Watson Pharmaceutical Incorporated	11/15/01	28.36	5	\$142	
Wells Fargo & Co.						\$1,205
Buy long	Wells Fargo & Co.	06/12/01	45.00	40	(\$1,800)	
Buy long	Wells Fargo & Co.	06/12/01	45.00	40	(\$1,800)	
Buy long	Wells Fargo & Co.	06/12/01	45.00	525	(\$23,625)	
Buy long	Wells Fargo & Co.	07/16/01	46.10	5	(\$231)	
Dividend	Wells Fargo & Co.	09/04/01			\$10	
Dividend	Wells Fargo & Co.	09/04/01			\$10	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	Wells Fargo & Co.	09/04/01			\$164	
Sell long	Wells Fargo & Co.	10/03/01	44.64	5	\$223	
Sell long	Wells Fargo & Co.	10/03/01	44.64	95	\$4,241	
Sell long	Wells Fargo & Co.	10/17/01	38.85	35	\$1,360	
Sell long	Wells Fargo & Co.	10/17/01	38.85	35	\$1,360	
Sell long	Wells Fargo & Co.	10/17/01	39.80	5	\$199	
Sell long	Wells Fargo & Co.	10/17/01	39.80	525	\$20,894	
Sell long	Wells Fargo & Co.	10/17/01	39.80	5	\$199	
Wyeth						(\$22,199)
Buy long	Wyeth	03/11/02	65.65	40	(\$2,626)	
Buy long	Wyeth	03/11/02	65.65	40	(\$2,626)	
Buy long	Wyeth	03/11/02	65.65	580	(\$38,077)	
Sell long	Wyeth	05/01/02	59.33	20	\$1,187	
Dividend	Wyeth	06/03/02			\$9	
Dividend	Wyeth	06/03/02			\$9	
Dividend	Wyeth	06/03/02			\$129	
Sell long	Wyeth	07/17/02	30.93	40	\$1,237	
Sell long	Wyeth	07/17/02	30.93	40	\$1,237	
Sell long	Wyeth	07/17/02	30.93	560	\$17,322	
XI Capital Ltd Cl A						(\$3,809)
Buy long	XI Capital Ltd Cl A	10/17/01	94.20	10	(\$942)	
Buy long	XI Capital Ltd Cl A	10/17/01	94.20	10	(\$942)	
Buy long	XI Capital Ltd Cl A	10/17/01	94.01	125	(\$11,751)	
Buy long	XI Capital Ltd Cl A	11/19/01	92.99	5	(\$465)	
Buy long	XI Capital Ltd Cl A	11/19/01	92.99	5	(\$465)	
Buy long	XI Capital Ltd Cl A	11/19/01	92.99	80	(\$7,440)	
Dividend	XI Capital Ltd Cl A	12/14/01			\$7	
Dividend	XI Capital Ltd Cl A	12/14/01			\$7	
Dividend	XI Capital Ltd Cl A	12/14/01			\$94	
Dividend	XI Capital Ltd Cl A	02/19/02			\$7	

Claimant v. Respondent Matched Trade Analysis

Transaction Type	Security	Trade Date	Net Price	Quantity	Trade Amount	NOP
Dividend	XI Capital Ltd CI A	02/19/02			\$7	
Dividend	XI Capital Ltd CI A	02/19/02			\$96	
Buy long	XI Capital Ltd CI A	03/18/02	93.34	5	(\$467)	
Buy long	XI Capital Ltd CI A	03/18/02	93.34	5	(\$467)	
Buy long	XI Capital Ltd CI A	03/18/02	93.34	70	(\$6,534)	
Sell long	XI Capital Ltd CI A	04/03/02	94.01	20	\$1,880	
Dividend	XI Capital Ltd CI A	06/11/02			\$9	
Dividend	XI Capital Ltd CI A	06/11/02			\$9	
Dividend	XI Capital Ltd CI A	06/11/02			\$120	
Sell long	XI Capital Ltd CI A	07/08/02	81.02	10	\$810	
Buy long	XI Capital Ltd CI A	07/22/02	65.65	55	(\$3,611)	
Buy long	XI Capital Ltd CI A	08/01/02	73.24	25	(\$1,831)	
Dividend	XI Capital Ltd CI A	08/19/02			\$9	
Dividend	XI Capital Ltd CI A	08/19/02			\$9	
Dividend	XI Capital Ltd CI A	08/19/02			\$141	
Sell long	XI Capital Ltd CI A	09/17/02	74.01	10	\$740	
Transfer out long	XI Capital Ltd CI A	11/06/02	76.50	10	\$765	
Transfer out long	XI Capital Ltd CI A	11/06/02	76.50	5	\$383	
Transfer out long	XI Capital Ltd CI A	11/06/02	76.50	5	\$383	
Transfer out long	XI Capital Ltd CI A	11/06/02	76.50	10	\$765	
Transfer out long	XI Capital Ltd CI A	11/06/02	76.50	5	\$383	
Transfer out long	XI Capital Ltd CI A	11/06/02	76.50	5	\$383	
Transfer out long	XI Capital Ltd CI A	11/07/02	76.50	85	\$6,503	
Transfer out long	XI Capital Ltd CI A	11/07/02	76.50	80	\$6,120	
Transfer out long	XI Capital Ltd CI A	11/07/02	76.50	70	\$5,355	
Transfer out long	XI Capital Ltd CI A	11/07/02	76.50	55	\$4,208	
Transfer out long	XI Capital Ltd CI A	11/07/02	76.50	25	\$1,913	
Total Gain or Loss on Securities Purchased						(\$311,409)
Total NOP						(\$285,215)