

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Deposit	Cash	11-13-00	11-13-00		150,000.00
Buy long	Nortel Networks Corp New	11-15-00	11-20-00	115.00	4,420.31
Buy long	Pe Corp Pe Biosystems Group	11-15-00	11-20-00	30.00	3,159.38
Buy long	America Online (AOL Time Warner)	11-15-00	11-20-00	150.00	7,432.14
Buy long	JDS Uniphase Corp.	11-15-00	11-20-00	100.00	7,537.50
Buy long	Brocade Communications Sys	11-15-00	11-20-00	15.00	3,263.44
Buy long	Morgan Stanley Dean Witter & Co.	11-15-00	11-20-00	65.00	4,314.38
Buy long	BEA Systems Inc.	11-15-00	11-20-00	40.00	2,907.50
Buy long	Citigroup Inc.	11-15-00	11-20-00	145.00	7,304.38
Buy long	Verisign Inc	11-15-00	11-20-00	35.00	4,145.31
Buy long	Exodus Commns Inc.	11-15-00	11-20-00	150.00	4,609.89
Buy long	Genentech Inc New	11-15-00	11-20-00	60.00	4,561.37
Buy long	American International Group Inc.	11-15-00	11-20-00	75.00	7,448.44
Buy long	Cisco Systems Inc.	11-15-00	11-20-00	140.00	7,490.00
Buy long	Clear Channel Communications	11-15-00	11-20-00	85.00	4,520.94
Buy long	EMC Corp Mass	11-15-00	11-20-00	85.00	7,246.25
Buy long	Harley Davidson Inc.	11-15-00	11-20-00	65.00	3,018.44
Buy long	Home Depot Inc.	11-15-00	11-20-00	190.00	7,659.38
Buy long	Intel Corp	11-15-00	11-20-00	145.00	6,017.50
Buy long	Medtronic Inc.	11-15-00	11-20-00	135.00	7,399.69
Buy long	Microsoft Corp	11-15-00	11-20-00	65.00	4,513.44
Buy long	Nokia Corp	11-15-00	11-20-00	185.00	7,758.44
Buy long	Omnicom Group Inc.	11-15-00	11-20-00	35.00	2,830.63
Buy long	Pfizer Corp	11-15-00	11-20-00	175.00	7,514.06
Buy long	Soletron Corp.	11-15-00	11-20-00	130.00	4,533.75
Buy long	Sun Microsystems, Inc.	11-15-00	11-20-00	80.00	7,425.00
Buy long	Texas Instruments	11-15-00	11-20-00	65.00	3,099.69
Deposit	Inception Fee	11-17-00	11-17-00		410.87
Dividend	Cash	11-30-00	11-30-00		158.01
	Providian Corp split on 12-06-00 with a factor of 2.00				
	Sun Microsystems, Inc. split on 12-11-00 with a factor of 2.00				
	Ambac Financial Group Inc. split on 12-13-00 with a factor of 1.50				
	Cree Inc. split on 12-14-00 with a factor of 2.00				
Sell long	Exodus Commns Inc.	12-14-00	12-19-00	104.00	3,008.28
Buy long	Enron	12-14-00	12-19-00	38.00	2,854.69
Dividend	Home Depot Inc.	12-14-00	12-14-00		7.60
Dividend	American International Group Inc.	12-15-00	12-15-00		2.78

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Dividend	Medtronic Inc.	12-18-00	12-18-00		0.04
	Brocade Communications Sys split on 12-22-00 with a factor of 2.00				
Sell long	Exodus Commns Inc.	12-28-00	01-03-01	46.00	1,003.18
	United Healthcare Corp. split on 12-29-00 with a factor of 2.00				
	United Health Group Inc. split on 12-29-00 with a factor of 2.00				
Dividend	Nortel Networks Corp New	12-29-00	12-29-00		2.15
Deposit	Foreign Tax Withheld	12-29-00	12-29-00		0.32
Dividend	Cash	12-29-00	12-29-00		38.29
Dividend	Harley Davidson Inc.	12-29-00	12-29-00		1.63
Dividend	Pe Corp Pe Biosystems Group	01-02-01	01-02-01		1.28
Dividend	Omnicom Group Inc.	01-05-01	01-05-01		6.13
Deposit	Quarterly Fee	01-16-01	01-16-01		700.49
Dividend	Medtronic Inc.	01-26-01	01-26-01		6.75
Dividend	Morgan Stanley Dean Witter & Co.	01-30-01	01-30-01		14.95
Dividend	Cash	01-31-01	01-31-01		40.18
Adjust cost long	EMC Corp Mass	02-07-01	02-07-01	85.00	73.36
Buy long	McData Corp. Cl A	02-07-01	02-07-01	3.00	73.36
Dividend	McData Corp. Cl A	02-07-01	02-07-01		4.42
	Apollo Group A split on 02-09-01 with a factor of 1.50				
Dividend	Texas Instruments	02-12-01	02-12-01		1.38
Sell long	Nortel Networks Corp New	02-21-01	02-26-01	115.00	2,189.42
Sell long	McData Corp. Cl A	02-21-01	02-26-01	3.00	68.94
Dividend	Citigroup Inc.	02-23-01	02-23-01		20.30
Dividend	Cash	02-28-01	02-28-01		32.54
Dividend	Intel Corp	03-01-01	03-01-01		2.90
Withdraw	Cash	03-02-01	03-02-01		1,125.00
Dividend	Pfizer Corp	03-08-01	03-08-01		19.25
Dividend	American International Group Inc.	03-16-01	03-16-01		2.78
Dividend	Enron	03-20-01	03-20-01		4.75
Dividend	Home Depot Inc.	03-22-01	03-22-01		7.60
Dividend	Harley Davidson Inc.	03-23-01	03-23-01		1.63
Buy long	AES Corp	03-29-01	04-03-01	40.00	1,983.28
Dividend	Cash	03-30-01	03-30-01		37.80
Dividend	Pe Corp Pe Biosystems Group	04-02-01	04-02-01		1.28
Dividend	Omnicom Group Inc.	04-05-01	04-05-01		6.13
Dividend	Nokia Corp	04-10-01	04-10-01		45.91
Deposit	Foreign Tax Withheld	04-10-01	04-10-01		6.89
Deposit	Quarterly Fee	04-17-01	04-17-01		511.81
Transfer in long	Agilent Tech Inc.	04-20-01		19.00	767.60

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Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer in long	American Power Conversion Corp.	04-20-01		257.00	3,906.40
Transfer in long	America Online (AOL Time Warner)	04-20-01		100.00	4,869.00
Transfer in long	Applied Materials Inc.	04-20-01		50.00	2,815.50
Transfer in long	BT Fds Intl Equity	04-20-01		5,413.547	122,562.70
Transfer in long	Bio-Vascular Inc.	04-20-01		2,302.00	11,740.20
Transfer in long	Cisco Systems Inc.	04-20-01		200.00	3,830.00
Transfer in long	Cree Inc.	04-20-01		133.00	2,890.09
Transfer in long	EMC Corp Mass	04-20-01		200.00	9,020.00
Transfer in long	Exxon Mobil Corp	04-20-01		100.00	8,517.00
Transfer in long	Fidelity Capital Tr Capital Appr Fd	04-20-01		5,422.708	124,342.69
Transfer in long	Franklin Small Cap	04-20-01		1,993.990	67,337.04
Transfer in long	General Electric	04-20-01		300.00	14,430.00
Transfer in long	General Motors Corp	04-20-01		100.00	5,674.00
Transfer in long	Harbor Bond Fund	04-20-01		7,150.790	80,732.42
Transfer in long	Hewlett-Packard Company	04-20-01		100.00	2,843.00
Transfer in long	Home Depot Inc.	04-20-01		100.00	4,621.00
Transfer in long	Intel Corp	04-20-01		100.00	3,243.00
Transfer in long	Invesco Stock Fd.	04-20-01		6,757.166	132,237.74
Transfer in long	Invesco High Yield Bond Fund	04-20-01		12,878.337	62,975.07
Transfer in long	JP Morgan Chase & Co.	04-20-01		185.00	8,843.00
Transfer in long	Janus Worldwide Fund	04-20-01		2,232.729	113,601.25
Transfer in long	McData Corp. Cl A	04-20-01		7.00	155.75
Transfer in long	Medimmune Inc.	04-20-01		110.00	3,980.90
Transfer in long	Microsoft Corp	04-20-01		100.00	6,900.00
Transfer in long	Oracle Systems Corp.	04-20-01		200.00	3,950.00
Transfer in long	Putnam Convertible Fund	04-20-01		685.032	10,570.04
Transfer in long	Putnam International Growth Cl A	04-20-01		1,259.979	28,034.53
Transfer in long	Putnam Voyager Fund Cl A	04-20-01		746.222	15,334.86
Transfer in long	Rs Emerging Growth Fund	04-20-01		481.712	16,956.26
Transfer in long	Rowe T Price Science &	04-20-01		413.413	12,456.13
Transfer in long	Vanguard Growth & Inc	04-20-01		4,846.471	146,460.35
Transfer in long	Vanguard Index 500	04-20-01		1,257.134	144,268.70
Transfer in long	Wal Mart	04-20-01		100.00	5,171.00
Transfer in long	Wells Fargo & Co.	04-20-01		100.00	4,793.00
Deposit	Cash	04-20-01	04-20-01		69,095.26
Transfer in long	Dodge & Cox Balance Fd	04-23-01		233.938	15,147.49
Transfer in long	American High Income Trust Cl A	04-24-01		159.495	1,947.43

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Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer in long	Oppenheimer Strategic Inc Fd A	04-24-01		4,327.795	17,051.51
Transfer in long	Oppenheimer Main Street Funds Inc & Gr A	04-24-01		1,252.232	42,450.66
Transfer in long	Oppenheimer Quest Cap Value Fund Inc Cl A	04-24-01		135.692	2,233.49
Transfer in long	Oppenheimer Global Fund A	04-24-01		587.665	27,767.17
Deposit	Cash	04-24-01	04-24-01		(110.00)
	Cardinal Health Inc. split on 04-26-01 with a factor of 1.50				
Interest	Cash	04-27-01	04-27-01		18.46
Transfer in long	Harbor Cap Appreciation Fd	04-27-01		5,099.827	165,387.39
Dividend	Morgan Stanley Dean Witter & Co.	04-27-01	04-27-01		14.95
Dividend	Medtronic Inc.	04-27-01	04-27-01		6.75
Deposit	Cash	04-30-01	07-29-04		(110.00)
Transfer in long	Liberty Fds Tr III Newport Intl Eq Cl A	04-30-01		1,085.507	11,072.17
Transfer in long	Liberty Fds Tr VI Growth & Income Cl A	04-30-01		3,082.289	60,258.75
Transfer in long	Smallcap World Fund	04-30-01		175.524	4,575.91
Transfer in long	Liberty Fds Tr III Newport Intl Eq Cl A	04-30-01		2,534.735	21,595.94
Dividend	Cash	04-30-01	04-30-01		62.71
Dividend	Cash	04-30-01	04-30-01		26.24
Dividend	American High Income Trust Cl A	05-01-01	05-01-01		16.78
Buy long	American High Income Trust Cl A	05-01-01	05-01-01	1.371	16.78
Deposit	Cash	05-01-01	05-01-01		48.00
Transfer out long	AES Corp	05-01-01		40.00	1,910.00
Transfer out long	JDS Uniphase Corp.	05-01-01		100.00	2,225.00
Transfer out long	Brocade Communications Sys	05-01-01		30.00	1,320.00
Transfer out long	Morgan Stanley Dean Witter & Co.	05-01-01		65.00	4,346.87
Transfer out long	BEA Systems Inc.	05-01-01		40.00	1,315.00
Transfer out long	Citigroup Inc.	05-01-01		145.00	7,340.62
Transfer out long	Verisign Inc	05-01-01		35.00	1,942.50
Transfer out long	Pe Corp Pe Biosystems Group	05-01-01		30.00	990.00
Transfer out long	Genentech Inc New	05-01-01		60.00	3,142.50
Transfer out long	America Online (AOL Time Warner)	05-01-01		150.00	7,800.00

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Transfer out long	American International Group Inc.	05-01-01		75.00	6,309.37
Transfer out long	Cisco Systems Inc.	05-01-01		140.00	2,695.00
Transfer out long	Clear Channel Communications	05-01-01		85.00	4,675.00
Transfer out long	EMC Corp Mass	05-01-01		85.00	3,389.37
Transfer out long	Enron	05-01-01		38.00	2,204.00
Transfer out long	Harley Davidson Inc.	05-01-01		65.00	3,038.75
Transfer out long	Home Depot Inc.	05-01-01		190.00	9,310.00
Transfer out long	Intel Corp	05-01-01		145.00	4,513.12
Transfer out long	Medtronic Inc.	05-01-01		135.00	6,041.25
Transfer out long	Microsoft Corp	05-01-01		65.00	4,639.37
Transfer out long	Nokia Corp	05-01-01		185.00	6,081.87
Transfer out long	Omnicom Group Inc.	05-01-01		35.00	3,110.62
Transfer out long	Pfizer Corp	05-01-01		175.00	7,656.25
Transfer out long	Solectron Corp.	05-01-01		130.00	3,428.75
Transfer out long	Sun Microsystems, Inc.	05-01-01		160.00	3,120.00
Transfer out long	Texas Instruments	05-01-01		65.00	2,494.37
Dividend	Invesco High Yield Bond Fund	05-02-01	05-02-01		568.28
Buy long	Invesco High Yield Bond Fund	05-02-01	05-02-01	115.270	568.28
Transfer in long	AES Corp	05-05-01		40.00	1,910.00
Transfer in long	American International Group Inc.	05-05-01		75.00	6,309.37
Transfer in long	America Online (AOL Time Warner)	05-05-01		150.00	7,800.00
Transfer in long	Applera Corp	05-05-01		30.00	990.00
Transfer in long	BEA Systems Inc.	05-05-01		40.00	1,315.00
Transfer in long	Brocade Communications Sys	05-05-01		30.00	1,320.00
Transfer in long	Cisco Systems Inc.	05-05-01		140.00	2,695.00
Transfer in long	Citigroup Inc.	05-05-01		145.00	7,340.62
Transfer in long	Clear Channel Communications	05-05-01		85.00	4,675.00
Transfer in long	EMC Corp Mass	05-05-01		85.00	3,389.37
Transfer in long	Enron	05-05-01		38.00	2,204.00
Transfer in long	Genentech Inc New	05-05-01		60.00	3,142.50
Transfer in long	Harley Davidson Inc.	05-05-01		65.00	3,038.75
Transfer in long	Home Depot Inc.	05-05-01		190.00	9,310.00
Transfer in long	Intel Corp	05-05-01		145.00	4,513.12
Transfer in long	JDS Uniphase Corp.	05-05-01		100.00	2,225.00
Transfer in long	Medtronic Inc.	05-05-01		135.00	6,041.25
Transfer in long	Microsoft Corp	05-05-01		65.00	4,639.37

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Transfer in long	Morgan Stanley Dean Witter & Co.	05-05-01		65.00	4,346.87
Transfer in long	Nokia Corp	05-05-01		185.00	6,081.87
Transfer in long	Omnicom Group Inc.	05-05-01		35.00	3,110.62
Transfer in long	Pfizer Corp	05-05-01		175.00	7,656.25
Transfer in long	Solelectron Corp.	05-05-01		130.00	3,428.75
Transfer in long	Sun Microsystems, Inc.	05-05-01		160.00	3,120.00
Transfer in long	Texas Instruments	05-05-01		65.00	2,494.37
Transfer in long	Verisign Inc	05-05-01		35.00	1,942.50
Deposit	Cash	05-05-01	05-05-01		7,066.14
Dividend	Cash	05-07-01	05-07-01		4.52
Withdraw	Cash	05-07-01	05-07-01		7,066.14
Withdraw	Quarterly Fee	05-07-01	05-07-01		511.81
Deposit	Service Fee	05-07-01	05-07-01		209.37
Deposit	Cash	05-08-01	05-08-01		62.90
Withdraw	Cash	05-10-01	05-10-01		302.44
Deposit	Cash	05-10-01	05-10-01		302.44
Deposit	Cash	05-15-01	05-15-01		1.38
Deposit	Cash	05-17-01	05-17-01		7,757.66
Deposit	Cash	05-17-01	05-17-01		983,545.94
Sell long	Oppenheimer Quest Cap Value Fund Inc Cl A	05-20-01	05-23-01	135.692	2,280.98
Sell long	Oppenheimer Global Fund A	05-20-01	05-23-01	587.665	29,806.37
Sell long	Oppenheimer Main Street Funds Inc & Gr A	05-20-01	05-23-01	1,252.232	44,842.43
Sell long	Oppenheimer Strategic Inc Fd A	05-20-01	05-23-01	4,327.795	17,138.07
Sell long	American High Income Trust Cl A	05-20-01	05-23-01	159.495	1,972.95
Sell long	American High Income Trust Cl A	05-20-01	05-23-01	1.371	16.96
	Washington Mutual Inc. split on	05-21-01	with a factor of 1.50		
Dividend	Texas Instruments	05-21-01	05-21-01		1.38
Sell long	Liberty Fds Tr III Newport Intl Eq Cl A	05-23-01	05-29-01	1,085.507	11,072.17
Sell long	Liberty Fds Tr III Newport Intl Eq Cl A	05-23-01	05-29-01	2,534.735	21,595.94
Sell long	Liberty Fds Tr VI Growth & Income Cl A	05-23-01	05-29-01	3,082.289	60,258.75
Sell long	Smallcap World Fund	05-23-01	05-29-01	175.524	4,575.91
Sell long	BT Fds Intl Equity	05-23-01	05-24-01	5,413.547	123,916.09

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Sell long	Fidelity Capital Tr Capital Appr Fd	05-23-01	05-29-01	5,422.708	126,999.82
Sell long	Franklin Small Cap	05-23-01	05-29-01	1,993.990	71,564.30
Sell long	Invesco Stock Fd.	05-23-01	05-29-01	6,757.166	136,765.04
Sell long	Invesco High Yield Bond Fund	05-23-01	05-29-01	12,878.337	62,846.28
Sell long	Invesco High Yield Bond Fund	05-23-01	05-29-01	115.270	562.52
Sell long	Janus Worldwide Fund	05-23-01	05-29-01	2,232.729	118,669.55
Sell long	Putnam Convertible Fund	05-23-01	05-29-01	685.032	10,898.86
Sell long	Putnam International Growth CI A	05-23-01	05-29-01	1,259.979	28,387.33
Sell long	Putnam Voyager Fund CI A	05-23-01	05-29-01	746.222	15,872.14
Sell long	Rs Emerging Growth Fund	05-23-01	05-29-01	481.712	18,126.82
Sell long	Rowe T Price Science &	05-23-01	05-29-01	413.413	12,625.63
Buy long	Archer Daniels Midland Debenture 7.125% Due 03-01-13	05-23-01	05-29-01	150,000	160,534.00
Buy long	Bank of America Corp 7.400% Due 01-15-11	05-23-01	05-29-01	150,000	161,602.50
Buy long	Ford Motor Co Bond 6.500% Due 08-01-18	05-23-01	05-29-01	150,000	147,191.33
Buy long	Ford Mtr Credit Co 7.200% Due 06-15-07	05-23-01	05-29-01	150,000	162,468.00
Buy long	Liberty Financial Co 6.750% Due 11-15-08	05-23-01	05-29-01	150,000	152,387.25
Transfer out long	Archer Daniels Midland Debenture 7.125% Due 03-01-13	05-23-01		150,000	160,534.00
Sold Accrued Interes	Archer Daniels Midland Debenture 7.125% Due 03-01-13	05-23-01	05-23-01		2,434.37
Transfer out long	Bank of America Corp 7.400% Due 01-15-11	05-23-01		150,000	161,602.50
Sold Accrued Interes	Bank of America Corp 7.400% Due 01-15-11	05-23-01	05-23-01		3,946.67
Transfer out long	Ford Motor Co Bond 6.500% Due 08-01-18	05-23-01		150,000	147,191.33
Sold Accrued Interes	Ford Motor Co Bond 6.500% Due 08-01-18	05-23-01	05-23-01		3,033.33
Transfer out long	Ford Mtr Credit Co 7.200% Due 06-15-07	05-23-01		150,000	162,468.00
Sold Accrued Interes	Ford Mtr Credit Co 7.200% Due 06-15-07	05-23-01	05-23-01		4,740.00

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Transfer out long	Liberty Financial Co 6.750% Due 11-15-08	05-23-01		150,000	152,387.25
Sold Accrued Interes	Liberty Financial Co 6.750% Due 11-15-08	05-23-01	05-23-01		225.00
Journalled to account W15514914					
Dividend	Cash	05-23-01	05-23-01		638.50
Withdraw	Cash	05-23-01	05-23-01		1.38
Sell long	Harbor Bond Fund	05-24-01	05-30-01	7,150.790	81,018.45
Sell long	Harbor Cap Appreciation Fd	05-24-01	05-30-01	5,099.827	173,547.11
Sell long	Vanguard Growth & Inc	05-24-01	05-30-01	4,846.471	152,663.84
Sell long	Vanguard Index 500	05-24-01	05-30-01	1,257.134	149,812.66
Buy long	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	05-25-01	05-31-01	150,000	159,378.50
Dividend	Citigroup Inc.	05-25-01	05-25-01		20.30
Dividend	American High Income Trust CI A	05-29-01	05-29-01		16.84
Dividend	Invesco High Yield Bond Fund	05-29-01	05-29-01		596.02
Deposit	Wrap Fee	05-29-01	05-29-01		302.44
Dividend	Cash	05-30-01	05-30-01		27.97
Dividend	Oppenheimer Strategic Inc Fd A	05-30-01	05-30-01		147.15
Interest	Cash	05-30-01	05-30-01		132.53
Transfer in long	Ford Mtr Credit Co 7.200% Due 06-15-07	05-30-01		150,000	162,468.00
Transfer in long	Liberty Financial Co 6.750% Due 11-15-08	05-30-01		150,000	152,387.25
Transfer in long	Bank of America Corp 7.400% Due 01-15-11	05-30-01		150,000	161,602.50
Transfer in long	Archer Daniels Midland Debenture 7.125% Due 03-01-13	05-30-01		150,000	160,534.00
Transfer in long	Ford Motor Co Bond 6.500% Due 08-01-18	05-30-01		150,000	147,191.33
Journalled in from account W15514912					
Dividend	Cash	05-31-01	05-31-01		28.37
Dividend	Cash	05-31-01	05-31-01		1,596.06
Dividend	Cash	05-31-01	05-31-01		18.87
Dividend	Intel Corp	06-01-01	06-01-01		2.00
Dividend	Wells Fargo & Co.	06-01-01	06-01-01		24.00

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	06-01-01		150,000	154,066.50
Sold Accrued Interes	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	06-01-01	06-01-01		1,812.50
Transfer in long	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	06-01-01		150,000	154,066.50
Purchased Accrued In	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	06-01-01	06-01-01		1,812.50
Dividend	Intel Corp	06-01-01	06-01-01		2.90
Buy long	CVS Corp. Delaware Baxter International Inc split on	06-04-01	06-07-01	40.00	2,273.67
Dividend	Pfizer Corp	06-07-01	06-07-01		19.25
Deposit	Service Fee	06-11-01	06-11-01		127.21
Deposit	Service Fee	06-11-01	06-11-01		128.91
Dividend	Exxon Mobil Corp	06-11-01	06-11-01		44.00
Dividend	General Motors Corp	06-11-01	06-11-01		50.00
Deposit	Wrap Fee	06-11-01	06-11-01		1,673.10
Buy long	Amdocs Ltd Ordinany	06-12-01	06-15-01	10.00	620.40
Buy long	AES Corp	06-12-01	06-15-01	45.00	1,904.85
Buy long	America Online (AOL Time Warner)	06-12-01	06-15-01	75.00	3,841.50
Buy long	Alcoa Inc	06-12-01	06-15-01	30.00	1,199.70
Buy long	American International Group Inc.	06-12-01	06-15-01	35.00	2,802.45
Buy long	Avon Products	06-12-01	06-15-01	20.00	920.00
Buy long	Cisco Systems Inc.	06-12-01	06-15-01	50.00	978.50
Buy long	Citigroup Inc.	06-12-01	06-15-01	65.00	3,214.90
Buy long	Colgate Palmolive Co.	06-12-01	06-15-01	15.00	874.20
Buy long	Cox Communication Inc New A	06-12-01	06-15-01	20.00	828.60
Buy long	Dow Chemical	06-12-01	06-15-01	20.00	708.20
Buy long	Dynegey Inc. Cl A	06-12-01	06-15-01	25.00	1,172.75
Buy long	Electronic Data Systems	06-12-01	06-15-01	10.00	608.10
Buy long	Enron	06-12-01	06-15-01	10.00	506.40
Buy long	Exxon Mobil Corp	06-12-01	06-15-01	35.00	3,148.25
Buy long	Federal Home Loan Mtg	06-12-01	06-15-01	35.00	2,366.00
Buy long	Federated Dept Stores	06-12-01	06-15-01	30.00	1,256.10
Buy long	General Electric	06-12-01	06-15-01	65.00	3,060.20

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Home Depot Inc.	06-12-01	06-15-01	35.00	1,744.40
Buy long	Honeywell Inc.	06-12-01	06-15-01	30.00	1,273.20
Buy long	Intel Corp	06-12-01	06-15-01	60.00	1,753.80
Buy long	IBM	06-12-01	06-15-01	15.00	1,740.30
Buy long	JP Morgan Chase & Co.	06-12-01	06-15-01	30.00	1,287.00
Buy long	Johnson & Johnson	06-12-01	06-15-01	5.00	505.35
Buy long	Johnson Controls	06-12-01	06-15-01	20.00	1,398.00
Buy long	Keycorp New	06-12-01	06-15-01	25.00	624.45
Buy long	Kohls Corp	06-12-01	06-15-01	25.00	1,516.75
Buy long	Kroger Company	06-12-01	06-15-01	90.00	2,261.70
Buy long	Mcgraw Hill Inc.	06-12-01	06-15-01	15.00	997.20
Buy long	Microsoft Corp	06-12-01	06-15-01	55.00	3,906.10
Buy long	Morgan Stanley Dean Witter & Co.	06-12-01	06-15-01	10.00	598.20
Buy long	Oracle Systems Corp.	06-12-01	06-15-01	55.00	848.10
Buy long	Pepsico Inc.	06-12-01	06-15-01	45.00	1,956.15
Buy long	Pfizer Corp	06-12-01	06-15-01	85.00	3,660.10
Buy long	Philip Morris (Altria Group Inc)	06-12-01	06-15-01	40.00	1,965.60
Buy long	Providian Corp	06-12-01	06-15-01	50.00	2,715.00
Buy long	SBC Communications Inc	06-12-01	06-15-01	60.00	2,505.60
Buy long	Staples Inc. (Office Depot)	06-12-01	06-15-01	40.00	562.80
Buy long	TXU Corp	06-12-01	06-15-01	40.00	1,901.60
Buy long	Target Corp	06-12-01	06-15-01	15.00	547.80
Buy long	Tenet Healthcare Corp.	06-12-01	06-15-01	40.00	1,987.60
Buy long	Texaco	06-12-01	06-15-01	25.00	1,827.00
Buy long	Texas Instruments	06-12-01	06-15-01	15.00	501.45
Buy long	Tyco Int'l Ltd. New	06-12-01	06-15-01	50.00	2,781.00
Buy long	US Bancorp Delaware	06-12-01	06-15-01	75.00	1,695.00
Buy long	Union Pacific Corp.	06-12-01	06-15-01	10.00	549.80
Buy long	United Technologies Corp.	06-12-01	06-15-01	15.00	1,186.20
Buy long	United Healthcare Corp.	06-12-01	06-15-01	10.00	560.70
Buy long	Veritas Software Corp	06-12-01	06-15-01	10.00	663.20
Buy long	Veritas Software Corp	06-12-01	06-15-01	10.00	663.20
Buy long	Wells Fargo & Co.	06-12-01	06-15-01	40.00	1,800.00
Buy long	Bank One Corp	06-12-01	06-15-01	40.00	1,475.60
Buy long	Schering Plough	06-12-01	06-15-01	10.00	408.50
Buy long	Schlumberger Limited	06-12-01	06-15-01	5.00	303.25
Buy long	Ford Motor Corp	06-12-01	06-15-01	40.00	984.00
Buy long	General Electric	06-12-01	06-15-01	10.00	470.80
Buy long	Network Appliance Inc.	06-12-01	06-15-01	10.00	172.20
Buy long	Veritas Software Corp	06-12-01	06-15-01	10.00	663.20

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	BEA Systems Inc.	06-12-01	06-15-01	15.00	524.18
Buy long	Juniper Networks Inc.	06-12-01	06-15-01	15.00	513.75
Buy long	Solelectron Corp.	06-12-01	06-15-01	20.00	409.20
Buy long	Amdocs Ltd Ordinary	06-12-01	06-15-01	10.00	620.40
Buy long	EMC Corp Mass	06-12-01	06-15-01	20.00	619.80
Buy long	Cox Communication Inc New A	06-12-01	06-15-01	10.00	414.30
Buy long	Gap Inc.	06-12-01	06-15-01	20.00	610.60
Buy long	Merck & Co Inc	06-12-01	06-15-01	15.00	1,078.35
Buy long	Pharmerica Inc.	06-12-01	06-15-01	55.00	2,692.25
Buy long	Amdocs Ltd Ordinary	06-12-01	06-15-01	10.00	620.40
Buy long	AES Corp	06-12-01	06-15-01	45.00	1,904.85
Buy long	America Online (AOL Time Warner)	06-12-01	06-15-01	75.00	3,841.50
Buy long	Alcoa Inc	06-12-01	06-15-01	30.00	1,199.70
Buy long	American International Group Inc.	06-12-01	06-15-01	35.00	2,802.45
Buy long	Avon Products	06-12-01	06-15-01	20.00	920.00
Buy long	British Pete Plc Adr	06-12-01	06-15-01	15.00	789.67
Buy long	Cisco Systems Inc.	06-12-01	06-15-01	55.00	1,076.35
Buy long	Citigroup Inc.	06-12-01	06-15-01	65.00	3,214.90
Buy long	Colgate Palmolive Co.	06-12-01	06-15-01	15.00	874.20
Buy long	Cox Communication Inc New A	06-12-01	06-15-01	20.00	828.60
Buy long	Dow Chemical	06-12-01	06-15-01	20.00	708.20
Buy long	Dynegy Inc. Cl A	06-12-01	06-15-01	25.00	1,172.75
Buy long	Electronic Data Systems	06-12-01	06-15-01	10.00	608.10
Buy long	Enron	06-12-01	06-15-01	10.00	506.40
Buy long	Exxon Mobil Corp	06-12-01	06-15-01	40.00	3,598.00
Buy long	Federal Home Loan Mtg	06-12-01	06-15-01	35.00	2,366.00
Buy long	Federated Dept Stores	06-12-01	06-15-01	30.00	1,256.10
Buy long	General Electric	06-12-01	06-15-01	65.00	3,060.20
Buy long	Home Depot Inc.	06-12-01	06-15-01	35.00	1,744.40
Buy long	Honeywell Inc.	06-12-01	06-15-01	30.00	1,273.20
Buy long	Intel Corp	06-12-01	06-15-01	60.00	1,753.80
Buy long	IBM	06-12-01	06-15-01	15.00	1,740.30
Buy long	JP Morgan Chase & Co.	06-12-01	06-15-01	30.00	1,287.00
Buy long	Johnson & Johnson	06-12-01	06-15-01	5.00	505.35
Buy long	Johnson Controls	06-12-01	06-15-01	20.00	1,398.00
Buy long	Kohls Corp	06-12-01	06-15-01	25.00	1,516.75
Buy long	Kroger Company	06-12-01	06-15-01	90.00	2,261.70
Buy long	Mcgraw Hill Inc.	06-12-01	06-15-01	15.00	997.20

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Microsoft Corp	06-12-01	06-15-01	55.00	3,906.10
Buy long	Morgan Stanley Dean Witter & Co.	06-12-01	06-15-01	10.00	598.20
Buy long	Oracle Systems Corp.	06-12-01	06-15-01	60.00	925.20
Buy long	Pfizer Corp	06-12-01	06-15-01	90.00	3,875.40
Buy long	Philip Morris (Altria Group Inc)	06-12-01	06-15-01	40.00	1,965.60
Buy long	Providian Corp	06-12-01	06-15-01	50.00	2,715.00
Buy long	SBC Communications Inc	06-12-01	06-15-01	65.00	2,714.40
Buy long	Staples Inc. (Office Depot)	06-12-01	06-15-01	40.00	562.80
Buy long	TXU Corp	06-12-01	06-15-01	40.00	1,901.60
Buy long	Target Corp	06-12-01	06-15-01	15.00	547.80
Buy long	Tenet Healthcare Corp.	06-12-01	06-15-01	40.00	1,987.60
Buy long	Texaco	06-12-01	06-15-01	25.00	1,827.00
Buy long	Texas Instruments	06-12-01	06-15-01	15.00	501.45
Buy long	Tyco Int'l Ltd. New	06-12-01	06-15-01	55.00	3,059.10
Buy long	US Bancorp Delaware	06-12-01	06-15-01	75.00	1,695.00
Buy long	Union Pacific Corp.	06-12-01	06-15-01	10.00	549.80
Buy long	United Technologies Corp.	06-12-01	06-15-01	15.00	1,186.20
Buy long	United Health Group Inc.	06-12-01	06-15-01	10.00	560.70
Buy long	Veritas Software Corp	06-12-01	06-15-01	10.00	663.20
Buy long	Veritas Software Corp	06-12-01	06-15-01	10.00	663.20
Buy long	Wells Fargo & Co.	06-12-01	06-15-01	40.00	1,800.00
Buy long	Schering Plough	06-12-01	06-15-01	10.00	408.50
Buy long	Bank One Corp	06-12-01	06-15-01	40.00	1,475.60
Buy long	Schlumberger Limited	06-12-01	06-15-01	5.00	303.25
Buy long	Ford Motor Corp	06-12-01	06-15-01	40.00	984.00
Buy long	Network Appliance Inc.	06-12-01	06-15-01	10.00	172.20
Buy long	BEA Systems Inc.	06-12-01	06-15-01	15.00	524.18
Buy long	Juniper Networks Inc.	06-12-01	06-15-01	15.00	513.75
Buy long	Soletron Corp.	06-12-01	06-15-01	20.00	409.20
Buy long	Amdocs Ltd Ordinary	06-12-01	06-15-01	10.00	620.40
Buy long	EMC Corp Mass	06-12-01	06-15-01	20.00	619.80
Buy long	Cox Communication Inc New A	06-12-01	06-15-01	10.00	414.30
Buy long	Gap Inc.	06-12-01	06-15-01	20.00	610.60
Buy long	Merck & Co Inc	06-12-01	06-15-01	15.00	1,078.35
Buy long	Pharmerica Inc.	06-12-01	06-15-01	55.00	2,692.25
Buy long	General Electric	06-12-01	06-15-01	10.00	470.80
Buy long	Pepsico Inc.	06-12-01	06-15-01	45.00	1,956.15
Buy long	Veritas Software Corp	06-12-01	06-15-01	10.00	663.20
Buy long	Amdocs Ltd Ordinary	06-12-01	06-15-01	290.00	17,991.60

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	AES Corp	06-12-01	06-15-01	690.00	29,207.70
Buy long	America Online (AOL Time Warner)	06-12-01	06-15-01	1,090.00	55,829.80
Buy long	Alcoa Inc	06-12-01	06-15-01	500.00	19,995.00
Buy long	American International Group Inc.	06-12-01	06-15-01	515.00	41,236.05
Buy long	Avon Products	06-12-01	06-15-01	335.00	15,410.00
Buy long	Cisco Systems Inc.	06-12-01	06-15-01	620.00	12,133.40
Buy long	Citigroup Inc.	06-12-01	06-15-01	1,045.00	51,685.70
Buy long	Colgate Palmolive Co.	06-12-01	06-15-01	240.00	13,987.20
Buy long	Cox Communication Inc New A	06-12-01	06-15-01	480.00	19,886.40
Buy long	Dow Chemical	06-12-01	06-15-01	320.00	11,331.20
Buy long	Dynegy Inc. Cl A	06-12-01	06-15-01	385.00	18,060.35
Buy long	Electronic Data Systems	06-12-01	06-15-01	175.00	10,641.75
Buy long	Enron	06-12-01	06-15-01	175.00	8,862.00
Buy long	Exxon Mobil Corp	06-12-01	06-15-01	495.00	44,525.25
Buy long	Federal Home Loan Mtg	06-12-01	06-15-01	580.00	39,208.00
Buy long	Federated Dept Stores	06-12-01	06-15-01	480.00	20,097.60
Buy long	General Electric	06-12-01	06-15-01	905.00	42,607.40
Buy long	Home Depot Inc.	06-12-01	06-15-01	480.00	23,923.20
Buy long	Honeywell Inc.	06-12-01	06-15-01	500.00	21,220.00
Buy long	Intel Corp	06-12-01	06-15-01	865.00	25,283.95
Buy long	IBM	06-12-01	06-15-01	225.00	26,104.50
Buy long	JP Morgan Chase & Co.	06-12-01	06-15-01	280.00	12,012.00
Buy long	Johnson & Johnson	06-12-01	06-15-01	65.00	6,569.55
Buy long	Johnson Controls	06-12-01	06-15-01	340.00	23,766.00
Buy long	Kohls Corp	06-12-01	06-15-01	370.00	22,447.90
Buy long	Kroger Company	06-12-01	06-15-01	1,400.00	35,182.00
Buy long	Mcgraw Hill Inc.	06-12-01	06-15-01	210.00	13,960.80
Buy long	Microsoft Corp	06-12-01	06-15-01	735.00	52,199.70
Buy long	Morgan Stanley Dean Witter & Co.	06-12-01	06-15-01	195.00	11,664.90
Buy long	Oracle Systems Corp.	06-12-01	06-15-01	700.00	10,794.00
Buy long	Pepsico Inc.	06-12-01	06-15-01	705.00	30,646.35
Buy long	Pfizer Corp	06-12-01	06-15-01	1,380.00	59,422.80
Buy long	Philip Morris (Altria Group Inc)	06-12-01	06-15-01	595.00	29,238.30
Buy long	Providian Corp	06-12-01	06-15-01	790.00	42,897.00
Buy long	SBC Communications Inc	06-12-01	06-15-01	980.00	40,924.80
Buy long	Staples Inc. (Office Depot)	06-12-01	06-15-01	645.00	9,075.15
Buy long	TXU Corp	06-12-01	06-15-01	625.00	29,712.50

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
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Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Target Corp	06-12-01	06-15-01	225.00	8,217.00
Buy long	Tenet Healthcare Corp.	06-12-01	06-15-01	595.00	29,565.55
Buy long	Texaco	06-12-01	06-15-01	370.00	27,039.60
Buy long	Texas Instruments	06-12-01	06-15-01	225.00	7,521.75
Buy long	Tyco Int'l Ltd. New	06-12-01	06-15-01	820.00	45,608.40
Buy long	US Bancorp Ore	06-12-01	06-15-01	1,190.00	26,894.00
Buy long	Union Pacific Corp.	06-12-01	06-15-01	160.00	8,796.80
Buy long	United Technologies Corp.	06-12-01	06-15-01	225.00	17,793.00
Buy long	United Healthcare Corp.	06-12-01	06-15-01	210.00	11,774.70
Buy long	Veritas Software Corp	06-12-01	06-15-01	450.00	29,844.00
Buy long	Wells Fargo & Co.	06-12-01	06-15-01	525.00	23,625.00
Buy long	Schering Plough	06-12-01	06-15-01	195.00	7,965.75
Buy long	Bank One Corp	06-12-01	06-15-01	595.00	21,949.55
Buy long	Schlumberger Limited	06-12-01	06-15-01	95.00	5,761.75
Buy long	Ford Motor Corp	06-12-01	06-15-01	625.00	15,375.00
Buy long	Network Appliance Inc.	06-12-01	06-15-01	160.00	2,755.20
Buy long	BEA Systems Inc.	06-12-01	06-15-01	255.00	8,910.98
Buy long	Juniper Networks Inc.	06-12-01	06-15-01	210.00	7,192.50
Buy long	Solectron Corp.	06-12-01	06-15-01	335.00	6,854.10
Buy long	EMC Corp Mass	06-12-01	06-15-01	90.00	2,789.10
Buy long	Gap Inc.	06-12-01	06-15-01	305.00	9,311.65
Buy long	Merck & Co Inc	06-12-01	06-15-01	255.00	18,331.95
Buy long	Pharmerica Inc.	06-12-01	06-15-01	850.00	41,607.50
Sell long	Agilent Tech Inc.	06-12-01	06-15-01	19.00	618.80
Sell long	American Power Conversion Corp.	06-12-01	06-15-01	257.00	3,853.11
Sell long	Applied Materials Inc.	06-12-01	06-15-01	50.00	2,624.91
Sell long	Bio-Vascular Inc.	06-12-01	06-15-01	2,302.00	14,947.40
Sell long	Cree Inc.	06-12-01	06-15-01	133.00	3,477.83
Sell long	General Motors Corp	06-12-01	06-15-01	100.00	5,893.80
Sell long	Hewlett-Packard Company	06-12-01	06-15-01	100.00	2,737.90
Sell long	McData Corp. Cl A	06-12-01	06-15-01	7.00	175.13
Sell long	Medimmune Inc.	06-12-01	06-15-01	110.00	4,395.45
Sell long	Wal Mart	06-12-01	06-15-01	100.00	4,965.83
Dividend	American International Group Inc.	06-15-01	06-15-01		2.78
	Johnson & Johnson split on 06-18-01 with a factor of 2.00				
Sell long	Ford Motor Corp	06-20-01	06-25-01	20.00	503.40
Sell long	Bank One Corp	06-20-01	06-25-01	25.00	902.74
Sell long	Ford Motor Corp	06-20-01	06-25-01	20.00	503.40
Sell long	Bank One Corp	06-20-01	06-25-01	25.00	902.74
Sell long	Ford Motor Corp	06-20-01	06-25-01	280.00	7,047.60

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Enron	06-20-01	06-20-01		4.75
Dividend	Harley Davidson Inc.	06-22-01	06-22-01		1.95
Buy long	British Pete Plc Adr	06-25-01	06-28-01	15.00	789.67
Buy long	Keycorp New	06-25-01	06-28-01	25.00	624.45
Buy long	British Pete Plc Adr	06-25-01	06-28-01	220.00	11,581.77
Buy long	Keycorp New	06-25-01	06-28-01	405.00	10,116.15
Sell long	Bank One Corp	06-25-01	06-28-01	395.00	14,263.44
Dividend	Home Depot Inc.	06-28-01	06-28-01		4.00
Interest	Cash	06-28-01	06-28-01		1.20
Dividend	Home Depot Inc.	06-28-01	06-28-01		7.60
Dividend	Cash	06-29-01	06-29-01		133.05
Dividend	Johnson Controls	06-29-01	06-29-01		6.20
Dividend	Cash	06-29-01	06-29-01		134.65
Dividend	Johnson Controls	06-29-01	06-29-01		6.20
Dividend	Cash	06-29-01	06-29-01		2,265.79
Dividend	Johnson Controls	06-29-01	06-29-01		105.40
Dividend	Cash	06-29-01	06-29-01		5.98
Dividend	Cash	06-29-01	06-29-01		15.52
Interest	Ford Mtr Credit Co	06-30-01	06-30-01		5,400.00
	7.200% Due 06-15-07				
Dividend	Bank One Corp	07-02-01	07-02-01		8.40
Dividend	Bank One Corp	07-02-01	07-02-01		8.40
Dividend	Dodge & Cox Balance Fd	07-02-01	07-02-01		126.33
Buy long	Dodge & Cox Balance Fd	07-02-01	07-02-01	1.920	126.33
Dividend	Bank One Corp	07-02-01	07-02-01		124.95
Dividend	Applera Corp	07-02-01	07-02-01		1.28
Sell long	Schering Plough	07-03-01	07-09-01	10.00	365.30
Sell long	Schering Plough	07-03-01	07-09-01	10.00	365.30
Sell long	Schering Plough	07-03-01	07-09-01	195.00	7,123.46
Dividend	Omnicom Group Inc.	07-05-01	07-05-01		7.00
Buy long	British Pete Plc Adr	07-09-01	07-12-01	5.00	242.48
Buy long	Dow Chemical	07-09-01	07-12-01	15.00	509.24
Sell long	Bank One Corp	07-09-01	07-12-01	15.00	526.95
Sell long	Schlumberger Limited	07-09-01	07-12-01	5.00	257.81
Buy long	Dow Chemical	07-09-01	07-12-01	15.00	509.24
Sell long	Bank One Corp	07-09-01	07-12-01	15.00	526.95
Sell long	Schlumberger Limited	07-09-01	07-12-01	5.00	257.81
Buy long	British Pete Plc Adr	07-09-01	07-12-01	5.00	242.48
Buy long	British Pete Plc Adr	07-09-01	07-12-01	120.00	5,819.62
Buy long	Dow Chemical	07-09-01	07-12-01	255.00	8,657.02
Sell long	Bank One Corp	07-09-01	07-12-01	200.00	7,025.99
Sell long	Schlumberger Limited	07-09-01	07-12-01	95.00	4,898.43

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Philip Morris (Altria Group Inc)	07-10-01	07-10-01		21.20
Sell long	Ford Motor Corp	07-10-01	07-13-01	20.00	496.94
Sell long	Ford Motor Corp	07-10-01	07-13-01	20.00	496.94
Dividend	Philip Morris (Altria Group Inc)	07-10-01	07-10-01		21.20
Sell long	Ford Motor Corp	07-10-01	07-13-01	345.00	8,572.19
Dividend	Philip Morris (Altria Group Inc)	07-10-01	07-10-01		315.35
Buy long	Keycorp New	07-11-01	07-16-01	15.00	381.03
Dividend	Exxon Mobil Corp	07-11-01	07-11-01		0.70
Buy long	Keycorp New	07-11-01	07-16-01	15.00	381.03
Dividend	Exxon Mobil Corp	07-11-01	07-11-01		0.80
Buy long	Keycorp New	07-11-01	07-16-01	245.00	6,223.46
Dividend	Exxon Mobil Corp	07-11-01	07-11-01		11.90
Buy long	Exxon Mobil Corp	07-11-01	07-16-01	5.00	434.25
Sell long	General Electric	07-12-01	07-17-01	10.00	462.68
Sell long	Network Appliance Inc.	07-12-01	07-17-01	10.00	113.20
Sell long	Veritas Software Corp	07-12-01	07-18-01	10.00	541.95
Deposit	Wrap Fee	07-12-01	07-12-01		413.25
Sell long	General Electric	07-12-01	07-17-01	10.00	462.68
Sell long	Network Appliance Inc.	07-12-01	07-17-01	10.00	113.20
Sell long	Veritas Software Corp	07-12-01	07-17-01	10.00	541.95
Deposit	Wrap Fee	07-12-01	07-12-01		418.62
Sell long	General Electric	07-12-01	07-17-01	185.00	8,559.66
Sell long	Network Appliance Inc.	07-12-01	07-17-01	160.00	1,811.26
Deposit	Wrap Fee	07-12-01	07-12-01		6,522.69
Sell long	Veritas Software Corp	07-12-01	07-17-01	100.00	5,419.49
Deposit	Wrap Fee	07-12-01	07-12-01		554.43
Sell long	BEA Systems Inc.	07-13-01	07-18-01	15.00	388.32
Sell long	Juniper Networks Inc.	07-13-01	07-18-01	15.00	440.95
Sell long	Solectron Corp.	07-13-01	07-18-01	20.00	325.67
Sell long	BEA Systems Inc.	07-13-01	07-18-01	15.00	388.32
Sell long	Juniper Networks Inc.	07-13-01	07-18-01	15.00	440.95
Sell long	Solectron Corp.	07-13-01	07-18-01	20.00	325.67
Sell long	BEA Systems Inc.	07-13-01	07-18-01	255.00	6,601.59
Sell long	Juniper Networks Inc.	07-13-01	07-18-01	210.00	6,173.32
Sell long	Solectron Corp.	07-13-01	07-18-01	335.00	5,455.10
Buy long	Peoplesoft Incorporated	07-16-01	07-19-01	20.00	829.01
Dividend	US Bancorp Delaware	07-16-01	07-16-01		14.06
Buy long	Peoplesoft Incorporated	07-16-01	07-19-01	20.00	829.01
Dividend	US Bancorp Delaware	07-16-01	07-16-01		14.06

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	AES Corp	07-16-01	07-19-01	5.00	194.65
Buy long	America Online (AOL Time Warner)	07-16-01	07-19-01	10.00	495.70
Buy long	Alcoa Inc	07-16-01	07-19-01	5.00	199.00
Buy long	American International Group Inc.	07-16-01	07-19-01	5.00	425.05
Buy long	Avon Products	07-16-01	07-19-01	5.00	214.55
Buy long	British Pete Plc Adr	07-16-01	07-19-01	15.00	723.30
Buy long	Cisco Systems Inc.	07-16-01	07-19-01	5.00	89.85
Buy long	Citigroup Inc.	07-16-01	07-19-01	10.00	497.10
Buy long	Colgate Palmolive Co.	07-16-01	07-19-01	5.00	281.20
Buy long	Cox Communication Inc New A	07-16-01	07-19-01	5.00	210.80
Buy long	Dow Chemical	07-16-01	07-19-01	25.00	858.00
Buy long	Dynegy Inc. Cl A	07-16-01	07-19-01	5.00	245.00
Buy long	Electronic Data Systems	07-16-01	07-19-01	5.00	317.00
Buy long	Enron	07-16-01	07-19-01	5.00	246.95
Buy long	Federal Home Loan Mtg	07-16-01	07-19-01	5.00	342.25
Buy long	Federated Dept Stores	07-16-01	07-19-01	5.00	194.35
Buy long	General Electric	07-16-01	07-19-01	20.00	926.80
Buy long	Home Depot Inc.	07-16-01	07-19-01	5.00	245.75
Buy long	Intel Corp	07-16-01	07-19-01	10.00	304.20
Buy long	JP Morgan Chase & Co.	07-16-01	07-19-01	5.00	209.10
Buy long	Keycorp New	07-16-01	07-19-01	15.00	392.70
Buy long	Kohls Corp	07-16-01	07-19-01	5.00	290.05
Buy long	Kroger Company	07-16-01	07-19-01	10.00	239.10
Buy long	Microsoft Corp	07-16-01	07-19-01	10.00	716.20
Buy long	Oracle Systems Corp.	07-16-01	07-19-01	10.00	190.00
Buy long	Peoplesoft Incorporated	07-16-01	07-19-01	340.00	13,984.20
Buy long	Pepsico Inc.	07-16-01	07-19-01	10.00	462.40
Buy long	Pfizer Corp	07-16-01	07-19-01	15.00	569.25
Buy long	Philip Morris (Altria Group Inc)	07-16-01	07-19-01	5.00	222.35
Buy long	Providian Corp	07-16-01	07-19-01	5.00	291.75
Buy long	SBC Communications Inc	07-16-01	07-19-01	10.00	425.00
Buy long	Staples Inc. (Office Depot)	07-16-01	07-19-01	5.00	69.85
Buy long	TXU Corp	07-16-01	07-19-01	5.00	238.35
Buy long	Tenet Healthcare Corp.	07-16-01	07-19-01	5.00	268.60
Buy long	Texaco	07-16-01	07-19-01	5.00	332.00
Buy long	Tyco Int'l Ltd. New	07-16-01	07-19-01	5.00	254.95
Buy long	US Bancorp Ore	07-16-01	07-19-01	10.00	237.90
Buy long	United Technologies Corp.	07-16-01	07-19-01	310.00	23,262.40

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Wells Fargo & Co.	07-16-01	07-19-01	5.00	230.50
Buy long	Gap Inc.	07-16-01	07-19-01	5.00	144.65
Buy long	Merck & Co Inc	07-16-01	07-19-01	5.00	311.45
Buy long	Pharmerica Inc.	07-16-01	07-19-01	10.00	429.50
Dividend	US Bancorp Ore	07-16-01	07-16-01		223.13
Sell long	Veritas Software Corp	07-16-01	07-19-01	10.00	506.28
Interest	Bank of America Corp 7.400% Due 01-15-11	07-16-01	07-16-01		5,303.33
Buy long	United Technologies Corp.	07-18-01	07-23-01	15.00	1,128.77
Buy long	United Technologies Corp.	07-18-01	07-23-01	15.00	1,128.77
	Exxon Mobil Corp split on 07-24-01 with a factor of 2.00				
Buy long	Union Pacific Corp.	07-25-01	07-30-01	5.00	262.87
Dividend	General Electric	07-25-01	07-25-01		12.00
Dividend	Honeywell Inc.	07-25-01	07-25-01		5.63
Sell long	Amdocs Ltd Ordinany	07-25-01	07-30-01	10.00	420.28
Sell long	EMC Corp Mass	07-25-01	07-30-01	20.00	355.56
Buy long	Union Pacific Corp.	07-25-01	07-30-01	5.00	262.87
Sell long	Amdocs Ltd Ordinany	07-25-01	07-30-01	10.00	420.28
Sell long	EMC Corp Mass	07-25-01	07-30-01	20.00	355.56
Dividend	General Electric	07-25-01	07-25-01		12.00
Dividend	Honeywell Inc.	07-25-01	07-25-01		5.63
Buy long	Union Pacific Corp.	07-25-01	07-30-01	95.00	4,994.51
Sell long	EMC Corp Mass	07-25-01	07-30-01	200.00	3,555.69
Sell long	EMC Corp Mass	07-25-01	07-30-01	90.00	1,600.07
Dividend	General Electric	07-25-01	07-25-01		192.80
Dividend	Honeywell Inc.	07-25-01	07-25-01		93.75
Sell long	Amdocs Ltd Ordinany	07-25-01	07-30-01	110.00	4,623.14
Sell long	Cox Communication Inc New A	07-26-01	07-31-01	10.00	399.05
Sell long	Gap Inc.	07-26-01	07-31-01	20.00	536.78
Sell long	Cox Communication Inc New A	07-26-01	07-31-01	10.00	399.05
Sell long	Gap Inc.	07-26-01	07-31-01	20.00	536.78
Sell long	Gap Inc.	07-26-01	07-31-01	305.00	8,185.92
Sell long	Gap Inc.	07-26-01	07-31-01	5.00	134.20
Sell long	Cox Communication Inc New A	07-26-01	07-31-01	130.00	5,187.71
Dividend	Morgan Stanley Dean Witter & Co.	07-27-01	07-27-01		2.30
Buy long	Johnson & Johnson	07-27-01	08-01-01	15.00	797.26
Buy long	Target Corp	07-27-01	08-01-01	15.00	547.32
Buy long	Johnson & Johnson	07-27-01	08-01-01	15.00	797.26

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Target Corp	07-27-01	08-01-01	15.00	547.32
Dividend	Morgan Stanley Dean Witter & Co.	07-27-01	07-27-01		2.30
Dividend	Morgan Stanley Dean Witter & Co.	07-27-01	07-27-01		44.85
Buy long	Johnson & Johnson	07-27-01	08-01-01	285.00	15,147.99
Buy long	Target Corp	07-27-01	08-01-01	205.00	7,480.09
Dividend	Medtronic Inc.	07-27-01	07-27-01		7.76
Dividend	Morgan Stanley Dean Witter & Co.	07-27-01	07-27-01		14.95
Dividend	Dow Chemical	07-30-01	07-30-01		6.70
Sell long	Merck & Co Inc	07-30-01	08-02-01	15.00	975.26
Sell long	Pharmerica Inc.	07-30-01	08-02-01	55.00	2,329.17
Sell long	Merck & Co Inc	07-30-01	08-02-01	15.00	975.26
Sell long	Pharmerica Inc.	07-30-01	08-02-01	55.00	2,329.17
Dividend	Dow Chemical	07-30-01	07-30-01		6.70
Dividend	Dow Chemical	07-30-01	07-30-01		107.20
Sell long	Pharmerica Inc.	07-30-01	08-02-01	850.00	35,996.29
Sell long	Pharmerica Inc.	07-30-01	08-02-01	10.00	423.49
Sell long	Merck & Co Inc	07-30-01	08-02-01	255.00	16,579.54
Sell long	Merck & Co Inc	07-30-01	08-02-01	5.00	325.09
Dividend	Cash	07-31-01	07-31-01		13.83
Dividend	JP Morgan Chase & Co.	07-31-01	07-31-01		10.20
Dividend	JP Morgan Chase & Co.	07-31-01	07-31-01		10.20
Dividend	Cash	07-31-01	07-31-01		13.67
Dividend	JP Morgan Chase & Co.	07-31-01	07-31-01		158.10
Dividend	Cash	07-31-01	07-31-01		199.18
Dividend	Cash	07-31-01	07-31-01		22.12
Dividend	Cash	07-31-01	07-31-01		12.88
Buy long	Cardinal Health Inc.	08-01-01	08-06-01	15.00	1,118.68
Dividend	Pharmerica Inc.	08-01-01	08-01-01		7.43
Dividend	SBC Communications Inc	08-01-01	08-01-01		15.38
Dividend	Tyco Int'l Ltd. New	08-01-01	08-01-01		0.63
Buy long	Cardinal Health Inc.	08-01-01	08-06-01	15.00	1,118.68
Dividend	Pharmerica Inc.	08-01-01	08-01-01		7.43
Dividend	SBC Communications Inc	08-01-01	08-01-01		16.66
Dividend	Tyco Int'l Ltd. New	08-01-01	08-01-01		0.69
Buy long	Cardinal Health Inc.	08-01-01	08-06-01	260.00	19,390.46
Dividend	Pharmerica Inc.	08-01-01	08-01-01		114.75
Dividend	SBC Communications Inc	08-01-01	08-01-01		251.13
Dividend	Tyco Int'l Ltd. New	08-01-01	08-01-01		10.25

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Interest	Ford Motor Co Bond 6.500% Due 08-01-18	08-01-01	08-01-01		4,875.00
Buy long	Watson Pharmaceutical Incorporated	08-02-01	08-07-01	15.00	965.33
Buy long	Watson Pharmaceutical Incorporated	08-02-01	08-07-01	15.00	965.33
Buy long	Watson Pharmaceutical Incorporated	08-02-01	08-07-01	255.00	16,410.57
Sell long	Colgate Palmolive Co.	08-03-01	08-08-01	15.00	796.61
Sell long	Exxon Mobil Corp	08-03-01	08-08-01	25.00	1,024.58
Sell long	Colgate Palmolive Co.	08-03-01	08-08-01	15.00	796.61
Sell long	Exxon Mobil Corp	08-03-01	08-08-01	35.00	1,434.42
Sell long	Colgate Palmolive Co.	08-03-01	08-08-01	240.00	12,745.77
Sell long	Colgate Palmolive Co.	08-03-01	08-08-01	5.00	265.54
Sell long	Exxon Mobil Corp	08-03-01	08-08-01	200.00	8,196.69
Sell long	Exxon Mobil Corp	08-03-01	08-08-01	300.00	12,295.03
Dividend	CVS Corp. Delaware	08-03-01	08-03-01		2.30
Buy long	Cendant Corp.	08-06-01	08-09-01	45.00	885.92
Buy long	Masco Corporation	08-06-01	08-09-01	30.00	784.01
Buy long	Target Corp	08-06-01	08-09-01	20.00	761.07
Buy long	Cendant Corp.	08-06-01	08-09-01	45.00	885.92
Buy long	Masco Corporation	08-06-01	08-09-01	30.00	784.01
Buy long	Target Corp	08-06-01	08-09-01	20.00	761.07
Buy long	Cendant Corp.	08-06-01	08-09-01	715.00	14,076.35
Buy long	Masco Corporation	08-06-01	08-09-01	520.00	13,589.58
Buy long	Target Corp	08-06-01	08-09-01	390.00	14,840.79
Buy long	Danaher Corp.	08-08-01	08-13-01	20.00	1,154.31
Buy long	Danaher Corp.	08-08-01	08-13-01	20.00	1,154.31
Buy long	Danaher Corp.	08-08-01	08-13-01	305.00	17,603.17
Sell long	Honeywell Inc.	08-09-01	08-14-01	30.00	1,086.65
Sell long	Honeywell Inc.	08-09-01	08-14-01	30.00	1,086.65
Sell long	Honeywell Inc.	08-09-01	08-14-01	500.00	18,110.89
Sell long	AES Corp	08-14-01	08-17-01	20.00	702.98
Buy long	Sprint Corp PCS Com	08-14-01	08-17-01	55.00	1,360.99
Sell long	AES Corp	08-14-01	08-17-01	20.00	702.98
Buy long	Sprint Corp PCS Com	08-14-01	08-17-01	55.00	1,360.99
Sell long	AES Corp	08-14-01	08-17-01	305.00	10,720.60
Buy long	Sprint Corp PCS Com	08-14-01	08-17-01	860.00	21,280.87
Dividend	Colgate Palmolive Co.	08-15-01	08-15-01		2.70
Dividend	Colgate Palmolive Co.	08-15-01	08-15-01		2.70
Dividend	Colgate Palmolive Co.	08-15-01	08-15-01		44.10
Buy long	Cendant Corp.	08-16-01	08-21-01	15.00	304.35

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	Enron	08-16-01	08-21-01	10.00	382.72
Buy long	Household Intl Inc	08-16-01	08-21-01	20.00	1,339.54
Buy long	Peoplesoft Incorporated	08-16-01	08-21-01	10.00	381.16
Sell long	Providian Corp	08-16-01	08-21-01	30.00	1,354.11
Sell long	Veritas Software Corp	08-16-01	08-21-01	10.00	318.33
Sell long	Veritas Software Corp	08-16-01	08-21-01	10.00	318.33
Buy long	Cendant Corp.	08-16-01	08-21-01	20.00	405.80
Sell long	Enron	08-16-01	08-21-01	10.00	382.72
Buy long	Household Intl Inc	08-16-01	08-21-01	20.00	1,339.54
Buy long	Peoplesoft Incorporated	08-16-01	08-21-01	15.00	571.74
Sell long	Providian Corp	08-16-01	08-21-01	30.00	1,354.11
Sell long	Veritas Software Corp	08-16-01	08-21-01	10.00	318.33
Sell long	Veritas Software Corp	08-16-01	08-21-01	10.00	318.33
Buy long	Cendant Corp.	08-16-01	08-21-01	295.00	5,985.55
Sell long	Enron	08-16-01	08-21-01	175.00	6,697.75
Sell long	Enron	08-16-01	08-21-01	5.00	191.36
Buy long	Household Intl Inc	08-16-01	08-21-01	295.00	19,758.19
Buy long	Peoplesoft Incorporated	08-16-01	08-21-01	185.00	7,051.46
Sell long	Providian Corp	08-16-01	08-21-01	435.00	19,634.63
Sell long	Veritas Software Corp	08-16-01	08-21-01	240.00	7,640.02
Sell long	Veritas Software Corp	08-16-01	08-21-01	100.00	3,183.34
Sell long	Amdocs Ltd Ordinary	08-17-01	08-22-01	10.00	388.48
Buy long	Texas Instruments	08-17-01	08-22-01	15.00	502.98
Sell long	Amdocs Ltd Ordinary	08-17-01	08-22-01	10.00	388.48
Buy long	Texas Instruments	08-17-01	08-22-01	15.00	502.98
Buy long	Texas Instruments	08-17-01	08-22-01	245.00	8,215.29
Sell long	Amdocs Ltd Ordinary	08-17-01	08-22-01	180.00	6,992.80
Dividend	Texas Instruments	08-20-01	08-20-01		0.32
Dividend	Texas Instruments	08-20-01	08-20-01		0.32
Dividend	Texas Instruments	08-20-01	08-20-01		4.78
Dividend	Texas Instruments	08-20-01	08-20-01		1.38
Sell long	JDS Uniphase Corp.	08-20-01	08-23-01	100.00	737.42
Dividend	Citigroup Inc.	08-24-01	08-24-01		10.40
Buy long	Johnson & Johnson	08-24-01	08-29-01	10.00	546.22
Buy long	Masco Corporation	08-24-01	08-29-01	25.00	640.00
Sell long	Staples Inc. (Office Depot)	08-24-01	08-29-01	40.00	606.34
Buy long	Watson Pharmaceutical Incorporated	08-24-01	08-29-01	5.00	284.38
Dividend	Citigroup Inc.	08-24-01	08-24-01		10.40
Buy long	Johnson & Johnson	08-24-01	08-29-01	10.00	546.22
Buy long	Masco Corporation	08-24-01	08-29-01	25.00	640.00
Sell long	Staples Inc. (Office Depot)	08-24-01	08-29-01	40.00	606.34

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Watson Pharmaceutical Incorporated	08-24-01	08-29-01	5.00	284.38
Dividend	Citigroup Inc.	08-24-01	08-24-01		168.80
Buy long	Masco Corporation	08-24-01	08-29-01	370.00	9,472.00
Sell long	Staples Inc. (Office Depot)	08-24-01	08-29-01	645.00	9,777.36
Sell long	Staples Inc. (Office Depot)	08-24-01	08-29-01	5.00	75.79
Buy long	Watson Pharmaceutical Incorporated	08-24-01	08-29-01	75.00	4,265.75
Dividend	Citigroup Inc.	08-24-01	08-24-01		23.20
Sell long	Providian Corp	08-27-01	08-30-01	20.00	810.97
Sell long	SBC Communications Inc	08-27-01	08-30-01	40.00	1,683.94
Dividend	Alcoa Inc	08-27-01	08-27-01		4.50
Dividend	Alcoa Inc	08-27-01	08-27-01		4.50
Sell long	Providian Corp	08-27-01	08-30-01	20.00	810.97
Sell long	SBC Communications Inc	08-27-01	08-30-01	45.00	1,894.43
Dividend	Alcoa Inc	08-27-01	08-27-01		75.75
Sell long	Providian Corp	08-27-01	08-30-01	355.00	14,394.77
Sell long	Providian Corp	08-27-01	08-30-01	5.00	202.74
Sell long	SBC Communications Inc	08-27-01	08-30-01	670.00	28,206.05
Dividend	Cash	08-31-01	08-31-01		14.98
Sell long	Exxon Mobil Corp	08-31-01	09-06-01	45.00	1,813.43
Sell long	Exxon Mobil Corp	08-31-01	09-06-01	45.00	1,813.43
Dividend	Cash	08-31-01	08-31-01		15.46
Dividend	Cash	08-31-01	08-31-01		181.42
Sell long	Exxon Mobil Corp	08-31-01	09-06-01	690.00	27,806.06
Sell long	Exxon Mobil Corp	08-31-01	09-06-01	10.00	402.99
Dividend	Cash	08-31-01	08-31-01		39.56
Dividend	Cash	08-31-01	08-31-01		11.49
Dividend	Avon Products	09-04-01	09-04-01		3.80
Buy long	Baker Hughes Inc.	09-04-01	09-07-01	35.00	1,206.77
Dividend	Intel Corp	09-04-01	09-04-01		1.20
Buy long	Lockheed Martin Corp.	09-04-01	09-07-01	20.00	809.75
Dividend	Wells Fargo & Co.	09-04-01	09-04-01		10.40
Dividend	Avon Products	09-04-01	09-04-01		3.80
Buy long	Baker Hughes Inc.	09-04-01	09-07-01	40.00	1,379.16
Dividend	Intel Corp	09-04-01	09-04-01		1.20
Buy long	Lockheed Martin Corp.	09-04-01	09-07-01	20.00	809.75
Dividend	Wells Fargo & Co.	09-04-01	09-04-01		10.40
Dividend	Avon Products	09-04-01	09-04-01		64.60
Dividend	Intel Corp	09-04-01	09-04-01		19.50
Buy long	Baker Hughes Inc.	09-04-01	09-07-01	590.00	20,342.67
Buy long	Lockheed Martin Corp.	09-04-01	09-07-01	330.00	13,360.91

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Wells Fargo & Co.	09-04-01	09-04-01		163.80
Interest	Archer Daniels Midland Debenture 7.125% Due 03-01-13	09-04-01	09-04-01		5,343.75
Dividend	Intel Corp	09-04-01	09-04-01		2.90
Sell long	AES Corp	09-05-01	09-10-01	25.00	753.28
Sell long	Federated Dept Stores	09-05-01	09-10-01	30.00	1,044.57
Sell long	Oracle Systems Corp.	09-05-01	09-10-01	55.00	657.76
Buy long	Peoplesoft Incorporated	09-05-01	09-10-01	25.00	780.41
Sell long	SBC Communications Inc	09-05-01	09-10-01	20.00	825.01
Sell long	AES Corp	09-05-01	09-10-01	25.00	753.28
Sell long	Federated Dept Stores	09-05-01	09-10-01	30.00	1,044.57
Sell long	Oracle Systems Corp.	09-05-01	09-10-01	60.00	717.56
Buy long	Peoplesoft Incorporated	09-05-01	09-10-01	20.00	624.33
Sell long	SBC Communications Inc	09-05-01	09-10-01	20.00	825.01
Sell long	AES Corp	09-05-01	09-10-01	385.00	11,600.62
Sell long	AES Corp	09-05-01	09-10-01	5.00	150.66
Sell long	Federated Dept Stores	09-05-01	09-10-01	480.00	16,713.13
Sell long	Federated Dept Stores	09-05-01	09-10-01	5.00	174.10
Sell long	Oracle Systems Corp.	09-05-01	09-10-01	200.00	2,391.90
Sell long	Oracle Systems Corp.	09-05-01	09-10-01	700.00	8,371.65
Sell long	Oracle Systems Corp.	09-05-01	09-10-01	10.00	119.59
Buy long	Peoplesoft Incorporated	09-05-01	09-10-01	340.00	10,613.54
Sell long	SBC Communications Inc	09-05-01	09-10-01	310.00	12,787.68
Sell long	SBC Communications Inc	09-05-01	09-10-01	10.00	412.51
Dividend	Pfizer Corp	09-06-01	09-06-01		9.35
Dividend	Pfizer Corp	09-06-01	09-06-01		9.90
Dividend	Pfizer Corp	09-06-01	09-06-01		153.45
Dividend	Pfizer Corp	09-06-01	09-06-01		19.25
Dividend	British Pete Plc Adr	09-10-01	09-10-01		7.33
Dividend	Electronic Data Systems	09-10-01	09-10-01		1.50
Dividend	Exxon Mobil Corp	09-10-01	09-10-01		10.35
Dividend	IBM	09-10-01	09-10-01		2.10
Dividend	Target Corp	09-10-01	09-10-01		2.75
Dividend	Texaco	09-10-01	09-10-01		11.25
Dividend	United Technologies Corp.	09-10-01	09-10-01		6.75
Deposit	Foreign Tax Withheld	09-10-01	09-10-01		0.73
Dividend	British Pete Plc Adr	09-10-01	09-10-01		7.33
Dividend	Electronic Data Systems	09-10-01	09-10-01		1.50
Dividend	Exxon Mobil Corp	09-10-01	09-10-01		10.35
Dividend	IBM	09-10-01	09-10-01		2.10
Dividend	Target Corp	09-10-01	09-10-01		2.75

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Texaco	09-10-01	09-10-01		11.25
Dividend	United Technologies Corp.	09-10-01	09-10-01		6.75
Deposit	Foreign Tax Withheld	09-10-01	09-10-01		0.73
Dividend	British Pete Plc Adr	09-10-01	09-10-01		130.17
Dividend	Electronic Data Systems	09-10-01	09-10-01		27.00
Dividend	Exxon Mobil Corp	09-10-01	09-10-01		161.00
Dividend	IBM	09-10-01	09-10-01		31.50
Dividend	Target Corp	09-10-01	09-10-01		45.10
Dividend	Texaco	09-10-01	09-10-01		168.75
Dividend	United Technologies Corp.	09-10-01	09-10-01		120.38
Deposit	Foreign Tax Withheld	09-10-01	09-10-01		13.02
Dividend	Johnson & Johnson	09-11-01	09-11-01		4.50
Dividend	Johnson & Johnson	09-11-01	09-11-01		4.50
Dividend	Johnson & Johnson	09-11-01	09-11-01		74.70
Dividend	Mcgraw Hill Inc.	09-12-01	09-12-01		3.68
Dividend	Mcgraw Hill Inc.	09-12-01	09-12-01		3.68
Dividend	Mcgraw Hill Inc.	09-12-01	09-12-01		51.45
Buy long	American Power Conversion Corp.	09-12-01	09-17-01	100.00	1,197.00
Dividend	Home Depot Inc.	09-13-01	09-13-01		1.40
Dividend	Home Depot Inc.	09-13-01	09-13-01		1.40
Dividend	Home Depot Inc.	09-13-01	09-13-01		23.40
Dividend	Home Depot Inc.	09-13-01	09-13-01		7.60
Dividend	American International Group Inc.	09-14-01	09-14-01		1.47
Dividend	American International Group Inc.	09-14-01	09-14-01		1.47
Dividend	American International Group Inc.	09-14-01	09-14-01		21.84
Dividend	American International Group Inc.	09-14-01	09-14-01		3.15
Dividend	Dynegy Inc. Cl A	09-17-01	09-17-01		1.88
Dividend	Keycorp New	09-17-01	09-17-01		11.80
Dividend	Dynegy Inc. Cl A	09-17-01	09-17-01		1.88
Dividend	Keycorp New	09-17-01	09-17-01		11.80
Dividend	Dynegy Inc. Cl A	09-17-01	09-17-01		29.25
Dividend	Keycorp New	09-17-01	09-17-01		196.18
Buy long	Household Intl Inc	09-18-01	09-21-01	10.00	528.00
Buy long	Household Intl Inc	09-18-01	09-21-01	10.00	528.00
Buy long	Household Intl Inc	09-18-01	09-21-01	155.00	8,184.00
Buy long	Electronic Data Systems	09-19-01	09-24-01	10.00	579.36
Buy long	Electronic Data Systems	09-19-01	09-24-01	10.00	579.36

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Electronic Data Systems	09-19-01	09-24-01	120.00	6,952.26
Buy long	Sprint Corp PCS Com	09-20-01	09-25-01	30.00	726.40
Buy long	Sprint Corp PCS Com	09-20-01	09-25-01	30.00	726.40
Buy long	Sprint Corp PCS Com	09-20-01	09-25-01	450.00	10,896.03
Dividend	Enron	09-20-01	09-20-01		4.75
Buy long	Dupont E.I. De Nemours & Co.	09-21-01	09-26-01	20.00	661.56
Sell long	US Bancorp Delaware	09-21-01	09-26-01	75.00	1,434.96
Buy long	Dupont E.I. De Nemours & Co.	09-21-01	09-26-01	20.00	661.56
Sell long	US Bancorp Delaware	09-21-01	09-26-01	75.00	1,434.96
Buy long	Dupont E.I. De Nemours & Co.	09-21-01	09-26-01	330.00	10,915.81
Sell long	US Bancorp Ore	09-21-01	09-26-01	1,190.00	22,767.98
Sell long	US Bancorp Ore	09-21-01	09-26-01	10.00	191.33
Sell long	Federal Home Loan Mtg	09-26-01	10-01-01	10.00	627.53
Sell long	Federal Home Loan Mtg	09-26-01	10-01-01	10.00	627.53
Sell long	Federal Home Loan Mtg	09-26-01	10-01-01	180.00	11,295.47
Dividend	Harley Davidson Inc.	09-26-01	09-26-01		1.95
Buy long	Cisco Systems Inc.	09-27-01	10-02-01	40.00	456.60
Buy long	Cisco Systems Inc.	09-27-01	10-02-01	35.00	399.53
Buy long	Cisco Systems Inc.	09-27-01	10-02-01	595.00	6,791.98
Buy long	Bank Of America Corp.	09-28-01	10-03-01	15.00	868.64
Buy long	Cendant Corp.	09-28-01	10-03-01	45.00	582.72
Dividend	Federal Home Loan Mtg	09-28-01	09-28-01		7.00
Buy long	JP Morgan Chase & Co.	09-28-01	10-03-01	15.00	521.22
Dividend	Johnson Controls	09-28-01	09-28-01		6.20
Buy long	Lockheed Martin Corp.	09-28-01	10-03-01	20.00	884.37
Dividend	Pepsico Inc.	09-28-01	09-28-01		6.53
Dividend	Cash	09-28-01	09-28-01		17.43
Buy long	Bank Of America Corp.	09-28-01	10-03-01	15.00	868.64
Buy long	Cendant Corp.	09-28-01	10-03-01	40.00	517.97
Dividend	Federal Home Loan Mtg	09-28-01	09-28-01		7.00
Buy long	JP Morgan Chase & Co.	09-28-01	10-03-01	15.00	521.22
Dividend	Johnson Controls	09-28-01	09-28-01		6.20
Buy long	Lockheed Martin Corp.	09-28-01	10-03-01	20.00	884.37
Dividend	Pepsico Inc.	09-28-01	09-28-01		6.53
Dividend	Cash	09-28-01	09-28-01		18.05
Dividend	Federal Home Loan Mtg	09-28-01	09-28-01		117.00
Dividend	Pepsico Inc.	09-28-01	09-28-01		103.68
Buy long	Bank Of America Corp.	09-28-01	10-03-01	205.00	11,871.41
Buy long	Cendant Corp.	09-28-01	10-03-01	625.00	8,093.31

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	JP Morgan Chase & Co.	09-28-01	10-03-01	200.00	6,949.58
Dividend	Johnson Controls	09-28-01	09-28-01		105.40
Buy long	Lockheed Martin Corp.	09-28-01	10-03-01	290.00	12,823.37
Dividend	Cash	09-28-01	09-28-01		280.44
Dividend	Cash	09-28-01	09-28-01		42.67
Dividend	Cash	09-28-01	09-28-01		11.12
Dividend	TXU Corp	10-01-01	10-01-01		24.00
Dividend	Union Pacific Corp.	10-01-01	10-01-01		3.00
Dividend	TXU Corp	10-01-01	10-01-01		24.00
Dividend	Union Pacific Corp.	10-01-01	10-01-01		3.00
Dividend	Dodge & Cox Balance Fd	10-01-01	10-01-01		127.36
Buy long	Dodge & Cox Balance Fd	10-01-01	10-01-01	2.092	127.36
Dividend	TXU Corp	10-01-01	10-01-01		378.00
Dividend	Union Pacific Corp.	10-01-01	10-01-01		51.00
Interest	Citigroup Inc Subordinate Notes	10-01-01	10-01-01		5,437.50
	7.250% Due 10-01-10				
Dividend	Applera Corp	10-01-01	10-01-01		1.28
Buy long	International Paper	10-02-01	10-05-01	20.00	697.92
Buy long	International Paper	10-02-01	10-05-01	20.00	697.92
Buy long	International Paper	10-02-01	10-05-01	350.00	12,213.57
Sell long	Wells Fargo & Co.	10-03-01	10-09-01	5.00	223.19
Sell long	Wells Fargo & Co.	10-03-01	10-09-01	5.00	223.19
Sell long	Citigroup Inc.	10-03-01	10-09-01	55.00	2,304.49
Sell long	Wells Fargo & Co.	10-03-01	10-09-01	95.00	4,240.66
Deposit	Wrap Fee	10-04-01	10-04-01		356.72
Deposit	Wrap Fee	10-04-01	10-04-01		361.27
Deposit	Wrap Fee	10-04-01	10-04-01		5,606.64
Deposit	Wrap Fee	10-04-01	10-04-01		414.11
Dividend	Omnicom Group Inc.	10-05-01	10-05-01		7.00
Buy long	Federal Home Loan Mortgage (Freddie Mac)	10-07-01	10-11-01	25.00	1,614.23
Sell long	American International Group Inc.	10-08-01	10-11-01	10.00	780.01
Buy long	Washington Mutual Inc.	10-08-01	10-11-01	40.00	1,516.26
Sell long	American International Group Inc.	10-08-01	10-11-01	10.00	780.01
Buy long	Washington Mutual Inc.	10-08-01	10-11-01	40.00	1,516.26
Sell long	American International Group Inc.	10-08-01	10-11-01	145.00	11,310.17
Buy long	Washington Mutual Inc.	10-08-01	10-11-01	645.00	24,449.76
Buy long	Alcoa Inc	10-09-01	10-12-01	10.00	317.87

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	America Online (AOL Time Warner)	10-09-01	10-12-01	15.00	487.88
Buy long	Bank Of America Corp.	10-09-01	10-12-01	10.00	531.61
Buy long	Danaher Corp.	10-09-01	10-12-01	10.00	485.88
Buy long	Dupont E.I. De Nemours & Co.	10-09-01	10-12-01	10.00	379.55
Buy long	International Paper	10-09-01	10-12-01	10.00	352.96
Sell long	Keycorp New	10-09-01	10-12-01	25.00	538.77
Sell long	Keycorp New	10-09-01	10-12-01	15.00	323.27
Buy long	Morgan Stanley Dean Witter & Co.	10-09-01	10-12-01	10.00	488.22
Buy long	Sprint Corp PCS Com	10-09-01	10-12-01	15.00	417.80
Sell long	United Technologies Corp.	10-09-01	10-12-01	15.00	765.12
Sell long	United Technologies Corp.	10-09-01	10-12-01	15.00	765.12
Buy long	Alcoa Inc	10-09-01	10-12-01	10.00	317.87
Sell long	America Online (AOL Time Warner)	10-09-01	10-12-01	15.00	487.88
Buy long	Bank Of America Corp.	10-09-01	10-12-01	10.00	531.61
Buy long	Danaher Corp.	10-09-01	10-12-01	10.00	485.88
Buy long	Dupont E.I. De Nemours & Co.	10-09-01	10-12-01	10.00	379.55
Buy long	International Paper	10-09-01	10-12-01	10.00	352.96
Sell long	Keycorp New	10-09-01	10-12-01	25.00	538.77
Sell long	Keycorp New	10-09-01	10-12-01	15.00	323.27
Buy long	Morgan Stanley Dean Witter & Co.	10-09-01	10-12-01	10.00	488.22
Buy long	Sprint Corp PCS Com	10-09-01	10-12-01	20.00	557.07
Sell long	United Technologies Corp.	10-09-01	10-12-01	15.00	765.12
Sell long	United Technologies Corp.	10-09-01	10-12-01	15.00	765.12
Buy long	Alcoa Inc	10-09-01	10-12-01	105.00	3,337.60
Sell long	America Online (AOL Time Warner)	10-09-01	10-12-01	100.00	3,252.54
Sell long	America Online (AOL Time Warner)	10-09-01	10-12-01	135.00	4,390.94
Buy long	Bank Of America Corp.	10-09-01	10-12-01	200.00	10,632.24
Buy long	Danaher Corp.	10-09-01	10-12-01	150.00	7,288.26
Buy long	Dupont E.I. De Nemours & Co.	10-09-01	10-12-01	155.00	5,882.96
Buy long	International Paper	10-09-01	10-12-01	140.00	4,941.38
Sell long	Keycorp New	10-09-01	10-12-01	405.00	8,728.15
Sell long	Keycorp New	10-09-01	10-12-01	245.00	5,279.99
Sell long	Keycorp New	10-09-01	10-12-01	15.00	323.26

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Morgan Stanley Dean Witter & Co.	10-09-01	10-12-01	140.00	6,835.14
Buy long	Sprint Corp PCS Com	10-09-01	10-12-01	295.00	8,216.75
Sell long	United Technologies Corp.	10-09-01	10-12-01	225.00	11,476.78
Sell long	United Technologies Corp.	10-09-01	10-12-01	310.00	15,812.45
Sell long	Texaco	10-10-01	10-10-01	25.00	1,682.45
Buy long	Chevrontexaco Corp	10-10-01	10-10-01	19.00	1,682.45
merger					
Dividend	Philip Morris (Altria Group Inc)	10-10-01	10-10-01		23.20
Dividend	Cash	10-10-01	10-10-01		23.00
Buy long	Chevrontexaco Corp	10-10-01	10-10-01	19.00	1,682.45
Sell long	Texaco	10-10-01	10-10-01	25.00	1,682.45
merger					
Dividend	Philip Morris (Altria Group Inc)	10-10-01	10-10-01		23.20
Dividend	Chevrontexaco Corp	10-10-01	10-10-01		23.00
Sell long	Texaco	10-10-01	10-10-01	370.00	24,050.00
Sell long	Texaco	10-10-01	10-10-01	5.00	325.00
Buy long	Chevrontexaco Corp	10-10-01	10-10-01	288.00	24,375.00
Dividend	Philip Morris (Altria Group Inc)	10-10-01	10-10-01		348.00
Dividend	Chevrontexaco Corp	10-10-01	10-10-01		69.00
Sell long	Mcgraw Hill Inc.	10-11-01	10-16-01	15.00	742.81
Sell long	Mcgraw Hill Inc.	10-11-01	10-16-01	15.00	742.81
Sell long	Mcgraw Hill Inc.	10-11-01	10-16-01	210.00	10,399.33
Buy long	Federal Home Loan Mortgage (Freddie Mac)	10-14-01	10-17-01	5.00	335.06
Dividend	Cardinal Health Inc.	10-15-01	10-15-01		0.38
Dividend	Household Intl Inc	10-15-01	10-15-01		6.60
Dividend	Cardinal Health Inc.	10-15-01	10-15-01		0.38
Dividend	Household Intl Inc	10-15-01	10-15-01		6.60
Dividend	Cardinal Health Inc.	10-15-01	10-15-01		6.50
Dividend	Household Intl Inc	10-15-01	10-15-01		99.00
Withdraw	Cash	10-15-01	10-15-01		50,000.00
Buy long	Washington Mutual Inc.	10-17-01	10-22-01	15.00	526.35
Sell long	Wells Fargo & Co.	10-17-01	10-22-01	35.00	1,359.70
Buy long	XI Capital Ltd Cl A	10-17-01	10-22-01	10.00	942.00
Buy long	Washington Mutual Inc.	10-17-01	10-22-01	15.00	526.35
Sell long	Wells Fargo & Co.	10-17-01	10-22-01	35.00	1,359.70
Buy long	XI Capital Ltd Cl A	10-17-01	10-22-01	10.00	942.00
Sell long	Alcoa Inc	10-17-01	10-22-01	40.00	1,319.96

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	American International Group Inc.	10-17-01	10-22-01	20.00	1,708.94
Sell long	America Online (AOL Time Warner)	10-17-01	10-22-01	55.00	1,749.49
Sell long	Avon Products	10-17-01	10-22-01	25.00	1,108.21
Sell long	British Pete Plc Adr	10-17-01	10-22-01	15.00	775.17
Sell long	Baker Hughes Inc.	10-17-01	10-22-01	10.00	323.59
Sell long	Bank Of America Corp.	10-17-01	10-22-01	25.00	1,446.95
Sell long	Cardinal Health Inc.	10-17-01	10-22-01	10.00	739.98
Sell long	Cendant Corp.	10-17-01	10-22-01	110.00	1,440.95
Sell long	Chevrontexaco Corp	10-17-01	10-22-01	5.00	450.38
Sell long	Cisco Systems Inc.	10-17-01	10-22-01	110.00	1,886.44
Sell long	Citigroup Inc.	10-17-01	10-22-01	40.00	1,889.94
Sell long	Danaher Corp.	10-17-01	10-22-01	25.00	1,362.70
Sell long	Dow Chemical	10-17-01	10-22-01	30.00	1,025.07
Sell long	Dupont E.I. De Nemours & Co.	10-17-01	10-22-01	30.00	1,235.96
Sell long	Dynegy Inc. Cl A	10-17-01	10-22-01	10.00	450.98
Buy long	Electronic Data Systems	10-17-01	10-22-01	15.00	930.00
Sell long	Federal Home Loan Mtg	10-17-01	10-22-01	15.00	1,001.37
Sell long	General Electric	10-17-01	10-22-01	95.00	3,640.28
Sell long	Home Depot Inc.	10-17-01	10-22-01	30.00	1,245.56
Sell long	Household Intl Inc	10-17-01	10-22-01	35.00	2,030.28
Sell long	Intel Corp	10-17-01	10-22-01	30.00	772.17
Sell long	IBM	10-17-01	10-22-01	25.00	2,643.66
Sell long	International Paper	10-17-01	10-22-01	35.00	1,307.21
Sell long	JP Morgan Chase & Co.	10-17-01	10-22-01	25.00	905.72
Buy long	Johnson & Johnson	10-17-01	10-22-01	140.00	8,096.20
Sell long	Johnson Controls	10-17-01	10-22-01	25.00	1,815.44
Sell long	Kohls Corp	10-17-01	10-22-01	35.00	1,967.98
Sell long	Kroger Company	10-17-01	10-22-01	45.00	1,124.06
Sell long	Lockheed Martin Corp.	10-17-01	10-22-01	15.00	713.98
Sell long	Masco Corporation	10-17-01	10-22-01	45.00	972.42
Sell long	Microsoft Corp	10-17-01	10-22-01	25.00	1,474.45
Sell long	Morgan Stanley Dean Witter & Co.	10-17-01	10-22-01	20.00	1,080.56
Sell long	Peoplesoft Incorporated	10-17-01	10-22-01	55.00	1,437.10
Sell long	Pepsico Inc.	10-17-01	10-22-01	20.00	960.37
Sell long	Pfizer Corp	10-17-01	10-22-01	45.00	1,873.74
Sell long	Philip Morris (Altria Group Inc)	10-17-01	10-22-01	20.00	1,007.57
Sell long	Tenet Healthcare Corp.	10-17-01	10-22-01	20.00	1,220.76

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	Texas Instruments	10-17-01	10-22-01	15.00	480.88
Sell long	TXU Corp	10-17-01	10-22-01	25.00	1,159.21
Sell long	Tyco Int'l Ltd. New	10-17-01	10-22-01	15.00	731.83
Sell long	Union Pacific Corp.	10-17-01	10-22-01	15.00	733.63
Sell long	United Healthcare Corp.	10-17-01	10-22-01	20.00	1,357.55
Buy long	Washington Mutual Inc.	10-17-01	10-22-01	190.00	6,722.20
Buy long	Watson Pharmaceutical Incorporated	10-17-01	10-22-01	10.00	500.00
Sell long	Wells Fargo & Co.	10-17-01	10-22-01	5.00	198.99
Sell long	Wells Fargo & Co.	10-17-01	10-22-01	525.00	20,894.30
Sell long	Wells Fargo & Co.	10-17-01	10-22-01	5.00	199.00
Buy long	XI Capital Ltd Cl A	10-17-01	10-22-01	125.00	11,751.25
Sell long	Sprint Corp PCS Com	10-17-01	10-22-01	105.00	2,778.21
Sell long	Cox Communication Inc New A	10-17-01	10-22-01	40.00	1,593.55
Sell long	America Online (AOL Time Warner)	10-23-01	10-26-01	25.00	815.22
Sell long	General Electric	10-23-01	10-26-01	10.00	369.49
Buy long	Sprint Corp PCS Com	10-23-01	10-26-01	15.00	372.21
Sell long	America Online (AOL Time Warner)	10-23-01	10-26-01	25.00	815.22
Sell long	General Electric	10-23-01	10-26-01	10.00	369.49
Buy long	Sprint Corp PCS Com	10-23-01	10-26-01	15.00	372.21
Sell long	America Online (AOL Time Warner)	10-23-01	10-26-01	375.00	12,228.34
Sell long	General Electric	10-23-01	10-26-01	20.00	738.98
Sell long	General Electric	10-23-01	10-26-01	120.00	4,433.85
Buy long	Sprint Corp PCS Com	10-23-01	10-26-01	275.00	6,823.80
Buy long	Ebay Inc.	10-25-01	10-30-01	15.00	833.10
Dividend	General Electric	10-25-01	10-25-01		10.40
Buy long	Ebay Inc.	10-25-01	10-30-01	15.00	833.10
Dividend	General Electric	10-25-01	10-25-01		10.40
Buy long	Ebay Inc.	10-25-01	10-30-01	210.00	11,663.40
Dividend	General Electric	10-25-01	10-25-01		166.40
Dividend	Morgan Stanley Dean Witter & Co.	10-26-01	10-26-01		2.30
Dividend	Morgan Stanley Dean Witter & Co.	10-26-01	10-26-01		2.30
Dividend	Morgan Stanley Dean Witter & Co.	10-26-01	10-26-01		44.85
Dividend	Medtronic Inc.	10-26-01	10-26-01		7.76

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Morgan Stanley Dean Witter & Co.	10-26-01	10-26-01		14.95
Dividend	Dow Chemical	10-30-01	10-30-01		11.73
Dividend	Dow Chemical	10-30-01	10-30-01		11.73
Dividend	Dow Chemical	10-30-01	10-30-01		201.00
Dividend	Danaher Corp.	10-31-01	10-31-01		0.40
Dividend	JP Morgan Chase & Co.	10-31-01	10-31-01		15.30
Dividend	Cash	10-31-01	10-31-01		10.76
Dividend	Danaher Corp.	10-31-01	10-31-01		0.40
Dividend	JP Morgan Chase & Co.	10-31-01	10-31-01		15.30
Dividend	Cash	10-31-01	10-31-01		11.51
Dividend	Danaher Corp.	10-31-01	10-31-01		6.10
Dividend	JP Morgan Chase & Co.	10-31-01	10-31-01		227.80
Dividend	Cash	10-31-01	10-31-01		156.45
Dividend	Cash	10-31-01	10-31-01		52.56
Dividend	Cash	10-31-01	10-31-01		8.58
Sell long	CVS Corp. Delaware	11-01-01	11-06-01	40.00	933.70
Dividend	Tyco Int'l Ltd. New	11-02-01	11-02-01		0.63
Buy long	Union Pacific Corp.	11-02-01	11-07-01	10.00	523.08
Dividend	Tyco Int'l Ltd. New	11-02-01	11-02-01		0.69
Buy long	Union Pacific Corp.	11-02-01	11-07-01	10.00	523.08
Dividend	Tyco Int'l Ltd. New	11-02-01	11-02-01		10.31
Buy long	Union Pacific Corp.	11-02-01	11-07-01	105.00	5,492.30
Dividend	CVS Corp. Delaware	11-02-01	11-02-01		2.30
Sell long	AES Corp	11-02-01	11-07-01	40.00	533.59
Buy long	General Dynamics	11-05-01	11-08-01	10.00	844.79
Buy long	General Dynamics	11-05-01	11-08-01	10.00	844.79
Buy long	General Dynamics	11-05-01	11-08-01	140.00	11,826.99
Sell long	Dynegy Inc. Cl A	11-06-01	11-09-01	25.00	868.82
Sell long	Dynegy Inc. Cl A	11-06-01	11-09-01	25.00	868.82
Sell long	Dynegy Inc. Cl A	11-06-01	11-09-01	375.00	13,032.31
Sell long	Dynegy Inc. Cl A	11-06-01	11-09-01	5.00	173.76
Sell long	Enron	11-07-01	11-13-01	38.00	351.48
Buy long	Cardinal Health Inc.	11-15-01	11-20-01	10.00	667.89
Dividend	Washington Mutual Inc.	11-15-01	11-15-01		13.20
Sell long	Watson Pharmaceutical Incorporated	11-15-01	11-20-01	15.00	425.33
Sell long	Watson Pharmaceutical Incorporated	11-15-01	11-20-01	5.00	141.78
Buy long	Cardinal Health Inc.	11-15-01	11-20-01	10.00	667.89
Dividend	Washington Mutual Inc.	11-15-01	11-15-01		13.20

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	Watson Pharmaceutical Incorporated	11-15-01	11-20-01	15.00	425.33
Sell long	Watson Pharmaceutical Incorporated	11-15-01	11-20-01	5.00	141.78
Dividend	Washington Mutual Inc.	11-15-01	11-15-01		200.40
Buy long	Cardinal Health Inc.	11-15-01	11-20-01	155.00	10,352.31
Sell long	Watson Pharmaceutical Incorporated	11-15-01	11-20-01	255.00	7,230.68
Sell long	Watson Pharmaceutical Incorporated	11-15-01	11-20-01	75.00	2,126.67
Sell long	Watson Pharmaceutical Incorporated	11-15-01	11-20-01	10.00	283.56
Interest	Liberty Financial Co 6.750% Due 11-15-08	11-15-01	11-15-01		5,062.50
Sell long	Cisco Systems Inc.	11-19-01	11-23-01	30.00	611.15
Buy long	Ebay Inc.	11-19-01	11-23-01	10.00	611.51
Buy long	General Dynamics	11-19-01	11-23-01	5.00	410.85
Sell long	General Electric	11-19-01	11-23-01	20.00	820.17
Sell long	Kohls Corp	11-19-01	11-23-01	10.00	663.36
Dividend	Masco Corporation	11-19-01	11-19-01		7.43
Dividend	Texas Instruments	11-19-01	11-19-01		0.64
Buy long	XI Capital Ltd Cl A	11-19-01	11-23-01	5.00	464.97
Sell long	Cisco Systems Inc.	11-19-01	11-23-01	25.00	509.30
Buy long	Ebay Inc.	11-19-01	11-23-01	10.00	611.51
Buy long	General Dynamics	11-19-01	11-23-01	5.00	410.85
Sell long	General Electric	11-19-01	11-23-01	20.00	820.17
Sell long	Kohls Corp	11-19-01	11-23-01	10.00	663.36
Dividend	Masco Corporation	11-19-01	11-19-01		7.43
Dividend	Texas Instruments	11-19-01	11-19-01		0.64
Buy long	XI Capital Ltd Cl A	11-19-01	11-23-01	5.00	464.97
Dividend	Masco Corporation	11-19-01	11-19-01		114.08
Dividend	Texas Instruments	11-19-01	11-19-01		9.67
Sell long	Cisco Systems Inc.	11-19-01	11-23-01	90.00	1,833.48
Sell long	Cisco Systems Inc.	11-19-01	11-23-01	280.00	5,704.16
Buy long	Ebay Inc.	11-19-01	11-23-01	145.00	8,866.82
Buy long	General Dynamics	11-19-01	11-23-01	95.00	7,806.15
Sell long	General Electric	11-19-01	11-23-01	250.00	10,252.15
Sell long	Kohls Corp	11-19-01	11-23-01	110.00	7,297.00
Buy long	XI Capital Ltd Cl A	11-19-01	11-23-01	80.00	7,439.54
Dividend	Texas Instruments	11-19-01	11-19-01		1.38
Buy long	American Home Products Corp.	11-21-01	11-27-01	30.00	1,761.86

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Citigroup Inc.	11-21-01	11-21-01		10.40
Sell long	Tenet Healthcare Corp.	11-21-01	11-27-01	10.00	599.92
Buy long	American Home Products Corp.	11-21-01	11-27-01	30.00	1,761.86
Dividend	Citigroup Inc.	11-21-01	11-21-01		10.40
Sell long	Tenet Healthcare Corp.	11-21-01	11-27-01	10.00	599.92
Dividend	Citigroup Inc.	11-21-01	11-21-01		153.60
Buy long	American Home Products Corp.	11-21-01	11-27-01	395.00	23,197.84
Sell long	Tenet Healthcare Corp.	11-21-01	11-27-01	160.00	9,598.74
Dividend	Citigroup Inc.	11-21-01	11-21-01		23.20
Buy long	Federal Home Loan Mortgage (Freddie Mac)	11-21-01	11-27-01	15.00	1,021.93
Sell long	Home Depot Inc.	11-21-01	11-27-01	70.00	3,017.59
Dividend	Baker Hughes Inc.	11-23-01	11-23-01		4.03
Dividend	Baker Hughes Inc.	11-23-01	11-23-01		4.60
Dividend	Baker Hughes Inc.	11-23-01	11-23-01		66.70
Dividend	Alcoa Inc	11-26-01	11-26-01		6.00
Dividend	Alcoa Inc	11-26-01	11-26-01		6.00
Dividend	Alcoa Inc	11-26-01	11-26-01		85.50
Sell long	Kroger Company	11-27-01	11-30-01	40.00	1,000.53
Sell long	Microsoft Corp	11-27-01	11-30-01	10.00	633.98
Dividend	Cash	11-30-01	11-30-01		6.41
Dividend	Cash	11-30-01	11-30-01		6.90
Dividend	Cash	11-30-01	11-30-01		115.60
Dividend	Cash	11-30-01	11-30-01		43.77
Dividend	Cash	11-30-01	11-30-01		6.41
Dividend	Avon Products	12-03-01	12-03-01		3.80
Dividend	Intel Corp	12-03-01	12-03-01		1.20
Dividend	Avon Products	12-03-01	12-03-01		3.80
Dividend	Intel Corp	12-03-01	12-03-01		1.20
Dividend	Avon Products	12-03-01	12-03-01		59.85
Dividend	Intel Corp	12-03-01	12-03-01		18.90
Dividend	Intel Corp	12-03-01	12-03-01		2.90
Dividend	Pfizer Corp	12-06-01	12-06-01		9.35
Dividend	Pfizer Corp	12-06-01	12-06-01		9.90
Dividend	Pfizer Corp	12-06-01	12-06-01		148.50
Dividend	Pfizer Corp	12-06-01	12-06-01		19.25
Dividend	British Pete Plc Adr	12-10-01	12-10-01		7.33
Dividend	Chevrontexaco Corp	12-10-01	12-10-01		13.30
Dividend	Electronic Data Systems	12-10-01	12-10-01		3.00
Dividend	IBM	12-10-01	12-10-01		2.10

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Target Corp	12-10-01	12-10-01		2.75
Deposit	Foreign Tax Withheld	12-10-01	12-10-01		0.73
Dividend	British Pete Plc Adr	12-10-01	12-10-01		7.33
Dividend	Chevrontexaco Corp	12-10-01	12-10-01		13.30
Dividend	Electronic Data Systems	12-10-01	12-10-01		3.00
Dividend	IBM	12-10-01	12-10-01		2.10
Dividend	Target Corp	12-10-01	12-10-01		2.75
Deposit	Foreign Tax Withheld	12-10-01	12-10-01		0.73
Dividend	British Pete Plc Adr	12-10-01	12-10-01		124.67
Dividend	Chevrontexaco Corp	12-10-01	12-10-01		198.10
Dividend	Electronic Data Systems	12-10-01	12-10-01		47.25
Dividend	IBM	12-10-01	12-10-01		28.00
Dividend	Target Corp	12-10-01	12-10-01		45.10
Deposit	Foreign Tax Withheld	12-10-01	12-10-01		12.47
Dividend	Johnson & Johnson	12-11-01	12-11-01		6.30
Dividend	Johnson & Johnson	12-11-01	12-11-01		6.30
Dividend	Johnson & Johnson	12-11-01	12-11-01		99.90
Buy long	Avon Products	12-13-01	12-18-01	10.00	497.25
Dividend	Home Depot Inc.	12-13-01	12-13-01		1.75
Sell long	Kroger Company	12-13-01	12-18-01	90.00	1,799.94
Buy long	Avon Products	12-13-01	12-18-01	10.00	497.25
Dividend	Home Depot Inc.	12-13-01	12-13-01		1.75
Sell long	Kroger Company	12-13-01	12-18-01	90.00	1,799.94
Buy long	Avon Products	12-13-01	12-18-01	120.00	5,966.98
Dividend	Home Depot Inc.	12-13-01	12-13-01		27.75
Sell long	Kroger Company	12-13-01	12-18-01	1,315.00	26,299.12
Sell long	Kroger Company	12-13-01	12-18-01	10.00	199.99
Dividend	Home Depot Inc.	12-13-01	12-13-01		6.00
Buy long	Dell Computer Corp.	12-14-01	12-19-01	30.00	838.28
Dividend	Dupont E.I. De Nemours & Co.	12-14-01	12-14-01		10.50
Buy long	IBM	12-14-01	12-19-01	5.00	604.21
Dividend	International Paper	12-14-01	12-14-01		7.50
Buy long	Intuit Inc.	12-14-01	12-19-01	20.00	848.39
Sell long	JP Morgan Chase & Co.	12-14-01	12-19-01	25.00	898.74
Dividend	XI Capital Ltd Cl A	12-14-01	12-14-01		6.90
Buy long	Dell Computer Corp.	12-14-01	12-19-01	30.00	838.28
Dividend	Dupont E.I. De Nemours & Co.	12-14-01	12-14-01		10.50
Buy long	IBM	12-14-01	12-19-01	5.00	604.20
Dividend	International Paper	12-14-01	12-14-01		7.50
Buy long	Intuit Inc.	12-14-01	12-19-01	20.00	848.39

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	JP Morgan Chase & Co.	12-14-01	12-19-01	25.00	898.74
Dividend	XI Capital Ltd Cl A	12-14-01	12-14-01		6.90
Buy long	Dell Computer Corp.	12-14-01	12-19-01	435.00	12,154.99
Dividend	Dupont E.I. De Nemours & Co.	12-14-01	12-14-01		159.25
Buy long	IBM	12-14-01	12-19-01	85.00	10,271.49
Dividend	International Paper	12-14-01	12-14-01		113.75
Buy long	Intuit Inc.	12-14-01	12-19-01	290.00	12,301.60
Sell long	JP Morgan Chase & Co.	12-14-01	12-19-01	160.00	5,751.93
Sell long	JP Morgan Chase & Co.	12-14-01	12-19-01	150.00	5,392.44
Dividend	XI Capital Ltd Cl A	12-14-01	12-14-01		94.30
Interest	Ford Mtr Credit Co 7.200% Due 06-15-07	12-17-01	12-17-01		5,400.00
Buy long	Apache Corp.	12-18-01	12-21-01	15.00	727.24
Buy long	Applera Corp	12-18-01	12-21-01	25.00	878.25
Sell long	Chevrontexaco Corp	12-18-01	12-21-01	19.00	1,620.80
Sell long	JP Morgan Chase & Co.	12-18-01	12-21-01	5.00	184.89
Sell long	JP Morgan Chase & Co.	12-18-01	12-21-01	15.00	554.68
Sell long	Texas Instruments	12-18-01	12-21-01	15.00	451.78
Sell long	Texas Instruments	12-18-01	12-21-01	15.00	451.78
Buy long	Apache Corp.	12-18-01	12-21-01	15.00	727.24
Buy long	Applera Corp	12-18-01	12-21-01	25.00	878.25
Sell long	Chevrontexaco Corp	12-18-01	12-21-01	19.00	1,620.80
Sell long	JP Morgan Chase & Co.	12-18-01	12-21-01	5.00	184.89
Sell long	JP Morgan Chase & Co.	12-18-01	12-21-01	15.00	554.68
Sell long	Texas Instruments	12-18-01	12-21-01	15.00	451.78
Sell long	Texas Instruments	12-18-01	12-21-01	15.00	451.78
Buy long	Apache Corp.	12-18-01	12-21-01	260.00	12,605.45
Buy long	Applera Corp	12-18-01	12-21-01	400.00	14,052.00
Sell long	Chevrontexaco Corp	12-18-01	12-21-01	283.00	24,141.44
Sell long	JP Morgan Chase & Co.	12-18-01	12-21-01	130.00	4,807.24
Sell long	JP Morgan Chase & Co.	12-18-01	12-21-01	5.00	184.89
Sell long	JP Morgan Chase & Co.	12-18-01	12-21-01	200.00	7,395.75
Sell long	Texas Instruments	12-18-01	12-21-01	210.00	6,324.99
Sell long	Texas Instruments	12-18-01	12-21-01	245.00	7,379.15
Buy long	Household Intl Inc	12-19-01	12-24-01	10.00	564.43
Buy long	Illinois Tool Works	12-19-01	12-24-01	15.00	984.89
Sell long	TXU Corp	12-19-01	12-24-01	20.00	919.56
Buy long	Household Intl Inc	12-19-01	12-24-01	10.00	564.43
Buy long	Illinois Tool Works	12-19-01	12-24-01	15.00	984.89
Sell long	TXU Corp	12-19-01	12-24-01	15.00	689.67
Buy long	Household Intl Inc	12-19-01	12-24-01	205.00	11,570.77

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Illinois Tool Works	12-19-01	12-24-01	195.00	12,803.58
Sell long	TXU Corp	12-19-01	12-24-01	265.00	12,184.29
Dividend	American International Group Inc.	12-21-01	12-21-01		1.05
Dividend	American International Group Inc.	12-21-01	12-21-01		1.05
Dividend	American International Group Inc.	12-21-01	12-21-01		14.91
Dividend	American International Group Inc.	12-21-01	12-21-01		3.15
Withdraw	Cash	12-26-01	12-26-01		29,650.00
Buy long	Dupont E.I. De Nemours & Co.	12-27-01	01-02-02	15.00	640.11
Buy long	Dupont E.I. De Nemours & Co.	12-27-01	01-02-02	15.00	640.11
Buy long	Dupont E.I. De Nemours & Co.	12-27-01	01-02-02	235.00	10,028.32
Dividend	Bank Of America Corp.	12-28-01	12-28-01		15.00
Buy long	International Paper	12-28-01	01-03-02	15.00	609.84
Dividend	Bank Of America Corp.	12-28-01	12-28-01		15.00
Buy long	International Paper	12-28-01	01-03-02	15.00	609.84
Dividend	Bank Of America Corp.	12-28-01	12-28-01		228.00
Buy long	International Paper	12-28-01	01-03-02	200.00	8,131.24
Dividend	Harley Davidson Inc.	12-28-01	12-28-01		1.95
Sell long	Dow Chemical	12-31-01	01-04-02	20.00	685.00
Sell long	Dow Chemical	12-31-01	01-04-02	15.00	513.75
Dividend	Federal Home Loan Mtg	12-31-01	12-31-01		5.00
Dividend	Lockheed Martin Corp.	12-31-01	12-31-01		4.40
Dividend	Cash	12-31-01	12-31-01		5.11
Sell long	Dow Chemical	12-31-01	01-04-02	20.00	685.00
Sell long	Dow Chemical	12-31-01	01-04-02	15.00	513.75
Dividend	Federal Home Loan Mtg	12-31-01	12-31-01		5.00
Dividend	Lockheed Martin Corp.	12-31-01	12-31-01		4.40
Dividend	Cash	12-31-01	12-31-01		5.30
Dividend	Cash	12-31-01	12-31-01		91.65
Sell long	Dow Chemical	12-31-01	01-04-02	290.00	9,932.55
Sell long	Dow Chemical	12-31-01	01-04-02	255.00	8,733.79
Sell long	Dow Chemical	12-31-01	01-04-02	25.00	856.26
Dividend	Federal Home Loan Mtg	12-31-01	12-31-01		78.00
Dividend	Lockheed Martin Corp.	12-31-01	12-31-01		66.55
Dividend	Cash	12-31-01	12-31-01		36.27

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Federal Home Loan Mortgage (Freddie Mac)	12-31-01	12-31-01		9.00
Dividend	Cash	12-31-01	12-31-01		8.95
Dividend	Johnson Controls	01-02-02	01-02-02		6.60
Dividend	Pepsico Inc.	01-02-02	01-02-02		6.53
Dividend	TXU Corp	01-02-02	01-02-02		24.00
Dividend	Union Pacific Corp.	01-02-02	01-02-02		5.00
Dividend	Dodge & Cox Balance Fd	01-02-02	01-02-02		290.30
Buy long	Dodge & Cox Balance Fd	01-02-02	01-02-02	4.452	290.30
Dividend	Dodge & Cox Balance Fd	01-02-02	01-02-02		118.98
Buy long	Dodge & Cox Balance Fd	01-02-02	01-02-02	1.825	118.98
Dividend	Dodge & Cox Balance Fd	01-02-02	01-02-02		57.11
Buy long	Dodge & Cox Balance Fd	01-02-02	01-02-02	0.876	57.11
Dividend	Johnson Controls	01-02-02	01-02-02		103.95
Dividend	Pepsico Inc.	01-02-02	01-02-02		100.78
Dividend	Texas Instruments	01-02-02	01-02-02		363.00
Dividend	Union Pacific Corp.	01-02-02	01-02-02		69.00
Dividend	Applera Corp	01-02-02	01-02-02		1.28
Dividend	Omnicom Group Inc.	01-04-02	01-04-02		7.00
Buy long	Qualcomm Inc.	01-10-02	01-15-02	15.00	694.71
Sell long	America Online (AOL Time Warner)	01-10-02	01-15-02	35.00	1,088.91
Sell long	Pepsico Inc.	01-10-02	01-15-02	15.00	719.18
Dividend	Philip Morris (Altria Group Inc)	01-10-02	01-10-02		23.20
Buy long	Qualcomm Inc.	01-10-02	01-15-02	15.00	694.71
Sell long	America Online (AOL Time Warner)	01-10-02	01-15-02	525.00	16,333.66
Sell long	America Online (AOL Time Warner)	01-10-02	01-15-02	10.00	311.12
Sell long	Pepsico Inc.	01-10-02	01-15-02	270.00	12,945.46
Dividend	Philip Morris (Altria Group Inc)	01-10-02	01-10-02		336.40
Buy long	Qualcomm Inc.	01-10-02	01-15-02	165.00	7,641.79
Buy long	Masco Corporation	01-11-02	01-16-02	15.00	377.90
Buy long	Masco Corporation	01-11-02	01-16-02	20.00	503.87
Buy long	Masco Corporation	01-11-02	01-16-02	250.00	6,298.33
Sell long	Sun Microsystems, Inc.	01-14-02	01-17-02	160.00	1,937.54
Dividend	Cardinal Health Inc.	01-15-02	01-15-02		0.63
Dividend	Household Intl Inc	01-15-02	01-15-02		8.80
Dividend	Cardinal Health Inc.	01-15-02	01-15-02		10.13
Dividend	Household Intl Inc	01-15-02	01-15-02		136.40

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Interest	Bank of America Corp 7.400% Due 01-15-11	01-15-02	01-15-02		5,550.00
Deposit	Wrap Fee	01-16-02	01-16-02		386.08
Deposit	Wrap Fee	01-16-02	01-16-02		5,768.12
Deposit	Wrap Fee	01-16-02	01-16-02		477.16
	Apache Corp. split on 01-22-02 with a factor of 1.10				
Sell long	Apache Corp.	01-22-02	01-25-02	0.50	24.94
Sell long	America Online (AOL Time Warner)	01-25-02	01-30-02	35.00	1,123.50
Missing statement - assumed					
Sell long	Pepsico Inc.	01-25-02	01-28-02	15.00	758.25
Assumed					
Sell long	Alcoa Inc	01-25-02	01-30-02	30.00	1,058.84
Sell long	Alcoa Inc	01-25-02	01-30-02	10.00	352.95
Dividend	General Electric	01-25-02	01-25-02		6.30
Dividend	Illinois Tool Works	01-25-02	01-25-02		3.30
Dividend	Morgan Stanley Dean Witter & Co.	01-25-02	01-25-02		4.60
Sell long	Alcoa Inc	01-25-02	01-30-02	460.00	16,235.64
Sell long	Alcoa Inc	01-25-02	01-30-02	5.00	176.47
Sell long	Alcoa Inc	01-25-02	01-30-02	105.00	3,705.96
Dividend	General Electric	01-25-02	01-25-02		99.90
Dividend	Illinois Tool Works	01-25-02	01-25-02		42.90
Dividend	Morgan Stanley Dean Witter & Co.	01-25-02	01-25-02		72.45
Dividend	Medtronic Inc.	01-25-02	01-25-02		7.76
Dividend	Morgan Stanley Dean Witter & Co.	01-25-02	01-25-02		14.95
Buy long	Newell Company (Newell Rubbermaid)	01-28-02	01-31-02	30.00	827.77
Buy long	Newell Company (Newell Rubbermaid)	01-28-02	01-31-02	30.00	827.77
Buy long	Newell Company (Newell Rubbermaid)	01-28-02	01-31-02	450.00	12,416.49
Sell long	Applera Corp	01-28-02	01-31-02	30.00	672.39
Buy long	Baxter International Inc	01-28-02	01-31-02	35.00	1,932.00
Sell long	Alcoa Inc	01-30-02	01-30-02	30.00	1,066.50
Sell long	Alcoa Inc	01-30-02	01-30-02	10.00	355.50
Assumed					
Dividend	Cash Adjustment (Missing Statement)	01-30-02	01-30-02		(333.49)
Dividend	Dow Chemical	01-30-02	01-30-02		11.73

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Dow Chemical	01-30-02	01-30-02		190.95
Dividend	Danaher Corp.	01-31-02	01-31-02		0.60
Dividend	Cash	01-31-02	01-31-02		5.35
Dividend	Danaher Corp.	01-31-02	01-31-02		8.60
Dividend	Cash	01-31-02	01-31-02		100.46
Withdraw	Cash	01-31-02	01-31-02		15,000.00
Dividend	Cash	01-31-02	01-31-02		10.55
Dividend	Cash	01-31-02	01-31-02		8.33
Dividend	Tyco Int'l Ltd. New	02-01-02	02-01-02		0.63
Dividend	Tyco Int'l Ltd. New	02-01-02	02-01-02		0.69
Dividend	Tyco Int'l Ltd. New	02-01-02	02-01-02		10.13
Interest	Ford Motor Co Bond 6.500% Due 08-01-18	02-01-02	02-01-02		4,875.00
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	55.00	594.82
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	30.00	324.45
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	15.00	162.22
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	15.00	162.23
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	55.00	594.82
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	30.00	324.45
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	20.00	216.30
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	15.00	162.22
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	755.00	8,165.28
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	450.00	4,866.72
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	295.00	3,190.41
Sell long	Sprint Corp PCS Com	02-05-02	02-08-02	275.00	2,974.10
Buy long	American Home Products Corp.	02-06-02	02-11-02	10.00	642.22
Buy long	British Pete Plc Adr	02-06-02	02-11-02	20.00	933.31
Buy long	American Home Products Corp.	02-06-02	02-11-02	10.00	642.22
Buy long	British Pete Plc Adr	02-06-02	02-11-02	20.00	933.31
Buy long	American Home Products Corp.	02-06-02	02-11-02	185.00	11,881.01
Buy long	British Pete Plc Adr	02-06-02	02-11-02	240.00	11,199.72
Buy long	Illinois Tool Works	02-08-02	02-08-02	5.00	344.81
Dividend	General Dynamics	02-08-02	02-08-02		4.20
Dividend	General Dynamics	02-08-02	02-08-02		4.20
Buy long	Illinois Tool Works	02-08-02	02-13-02	5.00	344.81
Dividend	General Dynamics	02-08-02	02-08-02		65.80
Buy long	Illinois Tool Works	02-08-02	02-13-02	75.00	5,172.17
Dividend	Masco Corporation	02-11-02	02-11-02		7.43
Dividend	Masco Corporation	02-11-02	02-11-02		7.43

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Masco Corporation	02-11-02	02-11-02		114.08
Dividend	Texas Instruments	02-11-02	02-11-02		1.38
Buy long	Electr Data Sys Corp New	02-11-02	02-14-02	30.00	1,828.81
Dividend	Washington Mutual Inc.	02-15-02	02-15-02		13.75
Dividend	Washington Mutual Inc.	02-15-02	02-15-02		13.75
Dividend	Washington Mutual Inc.	02-15-02	02-15-02		208.75
Dividend	Xl Capital Ltd Cl A	02-19-02	02-19-02		7.05
Dividend	Xl Capital Ltd Cl A	02-19-02	02-19-02		7.05
Dividend	Xl Capital Ltd Cl A	02-19-02	02-19-02		96.35
Sell long	Home Depot Inc.	02-19-02	02-22-02	10.00	498.99
Sell long	Medtronic Inc.	02-19-02	02-22-02	30.00	1,357.52
Dividend	Apache Corp.	02-22-02	02-22-02		1.60
Dividend	Citigroup Inc.	02-22-02	02-22-02		10.40
Dividend	Apache Corp.	02-22-02	02-22-02		1.60
Dividend	Citigroup Inc.	02-22-02	02-22-02		10.40
Dividend	Apache Corp.	02-22-02	02-22-02		28.60
Dividend	Citigroup Inc.	02-22-02	02-22-02		153.60
Withdraw	Cash	02-22-02	02-22-02		5,000.00
Dividend	Citigroup Inc.	02-22-02	02-22-02		23.20
Dividend	Baker Hughes Inc.	02-25-02	02-25-02		4.03
Dividend	Baker Hughes Inc.	02-25-02	02-25-02		4.60
Dividend	Baker Hughes Inc.	02-25-02	02-25-02		66.70
Sell long	Johnson Controls	02-26-02	03-01-02	45.00	3,971.19
Sell long	Clear Channel Communications	02-27-02	03-04-02	40.00	1,957.81
Sell long	Cox Communication Inc New A	02-28-02	03-05-02	10.00	362.57
Sell long	Cox Communication Inc New A	02-28-02	03-05-02	10.00	362.57
Buy long	Morgan Stanley Dean Witter & Co.	02-28-02	03-05-02	20.00	994.75
Dividend	Cash	02-28-02	02-28-02		5.20
Sell long	Cox Communication Inc New A	02-28-02	03-05-02	10.00	362.57
Sell long	Cox Communication Inc New A	02-28-02	03-05-02	10.00	362.57
Buy long	Morgan Stanley Dean Witter & Co.	02-28-02	03-05-02	20.00	994.75
Dividend	Cash	02-28-02	02-28-02		5.03
Buy long	Morgan Stanley Dean Witter & Co.	02-28-02	03-05-02	205.00	10,196.15
Dividend	Cash	02-28-02	02-28-02		78.13

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Withdraw	Cash	02-28-02	02-28-02		15,000.00
Sell long	Cox Communication Inc New A	02-28-02	03-05-02	310.00	11,239.86
Sell long	Cox Communication Inc New A	02-28-02	03-05-02	5.00	181.29
Dividend	Cash	02-28-02	02-28-02		14.91
Dividend	Cash	02-28-02	02-28-02		6.73
Dividend	American Home Products Corp.	03-01-02	03-01-02		9.20
Dividend	Avon Products	03-01-02	03-01-02		6.00
Dividend	Intel Corp	03-01-02	03-01-02		1.20
Buy long	Morgan Stanley Dean Witter & Co.	03-01-02	03-06-02	20.00	995.71
Sell long	Morgan Stanley Dean Witter & Co.	03-01-02	03-06-02	10.00	497.37
Sell long	Morgan Stanley Dean Witter & Co.	03-01-02	03-06-02	10.00	497.38
Cancel buy					
Dividend	American Home Products Corp.	03-01-02	03-01-02		9.20
Dividend	Avon Products	03-01-02	03-01-02		6.00
Dividend	Intel Corp	03-01-02	03-01-02		1.20
Buy long	Morgan Stanley Dean Witter & Co.	03-01-02	03-06-02	20.00	995.71
Sell long	Morgan Stanley Dean Witter & Co.	03-01-02	03-06-02	10.00	497.37
Sell long	Morgan Stanley Dean Witter & Co.	03-01-02	03-06-02	10.00	497.38
buy cancelled					
Dividend	American Home Products Corp.	03-01-02	03-01-02		133.40
Dividend	Avon Products	03-01-02	03-01-02		87.00
Dividend	Intel Corp	03-01-02	03-01-02		18.90
Sell long	Morgan Stanley Dean Witter & Co.	03-01-02	03-01-02	175.00	8,704.03
Sell long	Morgan Stanley Dean Witter & Co.	03-01-02	03-01-02	30.00	1,492.12
Buy cancelled					
Buy long	Morgan Stanley Dean Witter & Co.	03-01-02	03-06-02	205.00	10,205.99

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Interest	Archer Daniels Midland Debenture 7.125% Due 03-01-13	03-01-02	03-01-02		5,343.75
Dividend	Intel Corp	03-01-02	03-01-02		2.90
Sell long	Danaher Corp.	03-04-02	03-07-02	10.00	695.32
Sell long	Danaher Corp.	03-04-02	03-07-02	10.00	695.32
Sell long	Danaher Corp.	03-04-02	03-07-02	165.00	11,472.91
Buy long	Johnson & Johnson	03-04-02	03-07-02	15.00	957.60
Buy long	Citigroup Inc.	03-06-02	03-11-02	15.00	729.40
Buy long	Newell Company (Newell Rubbermaid)	03-06-02	03-11-02	10.00	320.41
Buy long	Citigroup Inc.	03-06-02	03-11-02	15.00	729.40
Buy long	Newell Company (Newell Rubbermaid)	03-06-02	03-11-02	10.00	320.41
Buy long	America Online (AOL Time Warner)	03-06-02	03-11-02	10.00	271.70
Buy long	Brocade Communications Sys	03-06-02	03-11-02	40.00	1,177.60
Buy long	Genentech Inc New	03-06-02	03-11-02	10.00	505.40
Buy long	Texas Instruments	03-06-02	03-11-02	10.00	344.60
Sell long	Lockheed Martin Corp.	03-07-02	03-12-02	5.00	284.14
Dividend	Pfizer Corp	03-07-02	03-07-02		11.05
Sell long	Pfizer Corp	03-07-02	03-12-02	10.00	399.62
Sell long	Target Corp	03-07-02	03-12-02	5.00	215.61
Buy long	Viacom Inc Cl B	03-07-02	03-12-02	10.00	492.50
Sell long	Lockheed Martin Corp.	03-07-02	03-12-02	5.00	284.14
Dividend	Pfizer Corp	03-07-02	03-07-02		11.70
Sell long	Pfizer Corp	03-07-02	03-12-02	15.00	599.44
Buy long	Viacom Inc Cl B	03-07-02	03-12-02	10.00	492.50
Sell long	Lockheed Martin Corp.	03-07-02	03-12-02	115.00	6,535.35
Dividend	Pfizer Corp	03-07-02	03-07-02		175.50
Sell long	Pfizer Corp	03-07-02	03-12-02	260.00	10,390.27
Sell long	Target Corp	03-07-02	03-12-02	120.00	5,174.82
Buy long	Viacom Inc Cl B	03-07-02	03-12-02	125.00	6,156.25
Dividend	Pfizer Corp	03-07-02	03-07-02		22.75
Sell long	American Home Products Corp.	03-11-02	03-11-02	30.00	1,969.50
Sell long	American Home Products Corp.	03-11-02	03-11-02	10.00	656.50
Buy long	Wyeth	03-11-02	03-11-02	40.00	2,626.00
name change					
Dividend	Electronic Data Systems	03-11-02	03-11-02		3.00
Dividend	IBM	03-11-02	03-11-02		2.80

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Newell Company (Newell Rubbermaid)	03-11-02	03-11-02		6.30
Dividend	Target Corp	03-11-02	03-11-02		3.00
Sell long	American Home Products Corp.	03-11-02	03-11-02	30.00	1,969.50
Sell long	American Home Products Corp.	03-11-02	03-11-02	10.00	656.50
Buy long	Wyeth	03-11-02	03-11-02	40.00	2,626.00
name change					
Dividend	Electronic Data Systems	03-11-02	03-11-02		3.00
Dividend	IBM	03-11-02	03-11-02		2.80
Dividend	Newell Company (Newell Rubbermaid)	03-11-02	03-11-02		6.30
Dividend	Target Corp	03-11-02	03-11-02		3.00
Sell long	American Home Products Corp.	03-11-02	03-11-02	395.00	25,931.75
Sell long	American Home Products Corp.	03-11-02	03-11-02	185.00	12,145.25
Buy long	Wyeth	03-11-02	03-11-02	580.00	38,077.00
name change					
Dividend	Electronic Data Systems	03-11-02	03-11-02		47.25
Dividend	IBM	03-11-02	03-11-02		39.90
Dividend	Newell Company (Newell Rubbermaid)	03-11-02	03-11-02		94.50
Dividend	Target Corp	03-11-02	03-11-02		49.20
Dividend	Electr Data Sys Corp New	03-11-02	03-11-02		4.50
Dividend	Johnson & Johnson	03-12-02	03-12-02		6.30
Dividend	Johnson & Johnson	03-12-02	03-12-02		6.30
Dividend	Johnson & Johnson	03-12-02	03-12-02		99.90
Dividend	Dupont E.I. De Nemours & Co.	03-14-02	03-14-02		15.75
Dividend	Dupont E.I. De Nemours & Co.	03-14-02	03-14-02		15.75
Dividend	Dupont E.I. De Nemours & Co.	03-14-02	03-14-02		241.50
Dividend	American International Group Inc.	03-15-02	03-15-02		1.05
Dividend	International Paper	03-15-02	03-15-02		11.25
Dividend	American International Group Inc.	03-15-02	03-15-02		1.05
Dividend	International Paper	03-15-02	03-15-02		11.25

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	American International Group Inc.	03-15-02	03-15-02		14.91
Dividend	International Paper	03-15-02	03-15-02		163.75
Dividend	American International Group Inc.	03-15-02	03-15-02		3.15
Dividend	British Pete Plc Adr	03-18-02	03-18-02		15.33
Buy long	Dell Computer Corp.	03-18-02	03-21-02	20.00	524.71
Sell long	Federal Home Loan Mtg	03-18-02	03-21-02	25.00	1,625.58
Buy long	Union Pacific Corp.	03-18-02	03-21-02	10.00	598.57
Buy long	XI Capital Ltd Cl A	03-18-02	03-21-02	5.00	466.72
Deposit	Foreign Tax Withheld	03-18-02	03-18-02		1.53
Dividend	British Pete Plc Adr	03-18-02	03-18-02		15.33
Buy long	Dell Computer Corp.	03-18-02	03-21-02	20.00	524.71
Sell long	Federal Home Loan Mtg	03-18-02	03-21-02	25.00	1,625.58
Buy long	Union Pacific Corp.	03-18-02	03-21-02	10.00	598.57
Buy long	XI Capital Ltd Cl A	03-18-02	03-21-02	5.00	466.72
Deposit	Foreign Tax Withheld	03-18-02	03-18-02		1.53
Dividend	British Pete Plc Adr	03-18-02	03-18-02		222.33
Buy long	Dell Computer Corp.	03-18-02	03-21-02	285.00	7,477.09
Sell long	Federal Home Loan Mtg	03-18-02	03-21-02	385.00	25,034.05
Sell long	Federal Home Loan Mtg	03-18-02	03-21-02	5.00	325.12
Buy long	Union Pacific Corp.	03-18-02	03-21-02	140.00	8,380.04
Buy long	XI Capital Ltd Cl A	03-18-02	03-21-02	70.00	6,534.07
Deposit	Foreign Tax Withheld	03-18-02	03-18-02		22.23
Buy long	Valero Energy Corp	03-21-02	03-26-02	15.00	719.38
Buy long	Valero Energy Corp	03-21-02	03-26-02	10.00	479.59
Buy long	Valero Energy Corp	03-21-02	03-26-02	260.00	12,469.26
Dividend	Bank Of America Corp.	03-22-02	03-22-02		15.00
Buy long	Micron Technologies	03-22-02	03-27-02	10.00	336.01
Dividend	Bank Of America Corp.	03-22-02	03-22-02		15.00
Buy long	Micron Technologies	03-22-02	03-27-02	15.00	504.01
Dividend	Bank Of America Corp.	03-22-02	03-22-02		228.00
Buy long	Micron Technologies	03-22-02	03-27-02	355.00	11,928.25
Dividend	Harley Davidson Inc.	03-22-02	03-22-02		1.95
Sell long	Solectron Corp.	03-22-02	03-27-02	130.00	925.61
Dividend	Federal Home Loan Mtg	03-28-02	03-28-02		5.50
Dividend	Home Depot Inc.	03-28-02	03-28-02		1.75
Dividend	Johnson Controls	03-28-02	03-28-02		6.60
Dividend	Lockheed Martin Corp.	03-28-02	03-28-02		4.40
Dividend	Pepsico Inc.	03-28-02	03-28-02		4.35
Dividend	Cash	03-28-02	03-28-02		4.36
Dividend	Federal Home Loan Mtg	03-28-02	03-28-02		5.50

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Home Depot Inc.	03-28-02	03-28-02		1.75
Dividend	Johnson Controls	03-28-02	03-28-02		6.60
Dividend	Lockheed Martin Corp.	03-28-02	03-28-02		4.40
Dividend	Pepsico Inc.	03-28-02	03-28-02		4.35
Dividend	Cash	03-28-02	03-28-02		4.27
Dividend	Federal Home Loan Mtg	03-28-02	03-28-02		85.80
Dividend	Home Depot Inc.	03-28-02	03-28-02		27.75
Dividend	Johnson Controls	03-28-02	03-28-02		89.10
Dividend	Lockheed Martin Corp.	03-28-02	03-28-02		66.55
Dividend	Pepsico Inc.	03-28-02	03-28-02		61.63
Dividend	Cash	03-28-02	03-28-02		78.34
Withdraw	Cash	03-28-02	03-28-02		15,000.00
Dividend	Cash	03-28-02	03-28-02		15.32
Dividend	Federal Home Loan Mortgage (Freddie Mac)	03-28-02	03-28-02		9.90
Dividend	Home Depot Inc.	03-28-02	03-28-02		5.50
Dividend	Cash	03-28-02	03-28-02		6.36
Dividend	Applera Corp	04-01-02	04-01-02		1.06
Dividend	TXU Corp	04-01-02	04-01-02		12.00
Dividend	Union Pacific Corp.	04-01-02	04-01-02		5.00
Dividend	Applera Corp	04-01-02	04-01-02		1.06
Dividend	TXU Corp	04-01-02	04-01-02		15.00
Dividend	Union Pacific Corp.	04-01-02	04-01-02		5.00
Dividend	Applera Corp	04-01-02	04-01-02		17.00
Dividend	TXU Corp	04-01-02	04-01-02		204.00
Dividend	Union Pacific Corp.	04-01-02	04-01-02		69.00
Interest	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	04-01-02	04-01-02		5,437.50
Sell long	Apache Corp.	04-03-02	04-08-02	20.00	1,163.56
Sell long	Applera Corp	04-03-02	04-08-02	35.00	720.97
Sell long	Avon Products	04-03-02	04-08-02	20.00	1,079.16
Sell long	British Pete Plc Adr	04-03-02	04-08-02	20.00	1,076.96
Sell long	Baker Hughes Inc.	04-03-02	04-08-02	20.00	719.97
Sell long	Cardinal Health Inc.	04-03-02	04-08-02	15.00	1,071.26
Sell long	Cisco Systems Inc.	04-03-02	04-08-02	75.00	1,241.96
Buy long	Citigroup Inc.	04-03-02	04-08-02	185.00	9,113.10
Sell long	Danaher Corp.	04-03-02	04-08-02	20.00	1,389.75
Sell long	Dell Computer Corp.	04-03-02	04-08-02	35.00	921.52
Sell long	Dupont E.I. De Nemours & Co.	04-03-02	04-08-02	55.00	2,611.87
Sell long	Ebay Inc.	04-03-02	04-08-02	25.00	1,352.95

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	General Electric	04-03-02	04-08-02	45.00	1,651.45
Sell long	Home Depot Inc.	04-03-02	04-08-02	20.00	950.37
Sell long	Household Intl Inc	04-03-02	04-08-02	45.00	2,535.67
Sell long	Intel Corp	04-03-02	04-08-02	30.00	895.77
Sell long	Intuit Inc.	04-03-02	04-08-02	20.00	750.37
Sell long	Kohls Corp	04-03-02	04-08-02	25.00	1,693.69
Sell long	Lockheed Martin Corp.	04-03-02	04-08-02	25.00	1,463.20
Sell long	Masco Corporation	04-03-02	04-08-02	70.00	1,843.74
Buy long	Newell Company (Newell Rubbermaid)	04-03-02	04-08-02	125.00	3,917.50
Sell long	Peoplesoft Incorporated	04-03-02	04-08-02	30.00	733.77
Sell long	Pepsico Inc.	04-03-02	04-08-02	35.00	1,767.44
Sell long	Pfizer Corp	04-03-02	04-08-02	100.00	3,980.88
Sell long	Philip Morris (Altria Group Inc)	04-03-02	04-08-02	20.00	1,068.16
Buy long	Qualcomm Inc.	04-03-02	04-08-02	285.00	10,961.10
Sell long	Target Corp	04-03-02	04-08-02	65.00	2,703.91
Sell long	Tenet Healthcare Corp.	04-03-02	04-08-02	20.00	1,340.95
Sell long	TXU Corp	04-03-02	04-08-02	25.00	1,371.45
Sell long	Tyco Int'l Ltd. New	04-03-02	04-08-02	30.00	947.37
Sell long	Union Pacific Corp.	04-03-02	04-08-02	35.00	2,030.98
Buy long	Viacom Inc Cl B	04-03-02	04-08-02	130.00	6,203.60
Sell long	Washington Mutual Inc.	04-03-02	04-08-02	30.00	1,001.06
Sell long	XI Capital Ltd Cl A	04-03-02	04-08-02	20.00	1,880.14
Sell long	Johnson & Johnson	04-03-02	04-08-02	20.00	1,281.16
Buy long	Target Corp	04-03-02	04-08-02	40.00	1,693.64
Dividend	Omnicom Group Inc.	04-04-02	04-04-02		7.00
Withdraw	Cash	04-08-02	04-08-02		7,500.00
Dividend	Philip Morris (Altria Group Inc)	04-10-02	04-10-02		23.20
Dividend	Philip Morris (Altria Group Inc)	04-10-02	04-10-02		23.20
Dividend	Philip Morris (Altria Group Inc)	04-10-02	04-10-02		336.40
Sell long	BEA Systems Inc.	04-11-02	04-16-02	40.00	534.72
Dividend	Cardinal Health Inc.	04-15-02	04-15-02		0.63
Dividend	Household Intl Inc	04-15-02	04-15-02		8.80
Deposit	Wrap Fee	04-15-02	04-15-02		383.65
Dividend	Cardinal Health Inc.	04-15-02	04-15-02		0.63
Dividend	Household Intl Inc	04-15-02	04-15-02		8.80
Deposit	Wrap Fee	04-15-02	04-15-02		387.74
Dividend	Cardinal Health Inc.	04-15-02	04-15-02		10.13

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Household Intl Inc	04-15-02	04-15-02		136.40
Deposit	Wrap Fee	04-15-02	04-15-02		5,596.18
Deposit	Wrap Fee	04-15-02	04-15-02		448.72
Dividend	Nokia Corp	04-16-02	04-16-02		43.75
Deposit	Foreign Tax Withheld	04-16-02	04-16-02		6.56
	Applied Materials Inc. split on 04-17-02 with a factor of 2.00				
Sell long	Kohls Corp	04-17-02	04-22-02	5.00	375.44
Buy long	Micron Technologies	04-17-02	04-22-02	10.00	311.44
Dividend	United Healthcare Corp.	04-17-02	04-17-02		0.30
Sell long	Kohls Corp	04-17-02	04-22-02	5.00	375.44
Buy long	Micron Technologies	04-17-02	04-22-02	5.00	155.72
Dividend	United Health Group Inc.	04-17-02	04-17-02		0.30
Sell long	Apache Corp.	04-17-02	04-22-02	50.00	2,812.41
Sell long	Kohls Corp	04-17-02	04-22-02	45.00	3,379.03
Buy long	Micron Technologies	04-17-02	04-22-02	190.00	5,917.31
Dividend	United Healthcare Corp.	04-17-02	04-17-02		5.70
Dividend	Illinois Tool Works	04-19-02	04-19-02		4.40
Dividend	Illinois Tool Works	04-19-02	04-19-02		4.40
Dividend	Illinois Tool Works	04-19-02	04-19-02		59.40
Dividend	General Electric	04-25-02	04-25-02		6.30
Dividend	General Electric	04-25-02	04-25-02		6.30
Dividend	General Electric	04-25-02	04-25-02		99.90
Buy long	Baxter International Inc	04-25-02	04-30-02	10.00	568.06
Buy long	Johnson & Johnson	04-25-02	04-30-02	25.00	1,598.04
Dividend	Morgan Stanley Dean Witter & Co.	04-26-02	04-26-02		9.20
Dividend	Morgan Stanley Dean Witter & Co.	04-26-02	04-26-02		9.20
Dividend	Morgan Stanley Dean Witter & Co.	04-26-02	04-26-02		119.60
Dividend	Medtronic Inc.	04-26-02	04-26-02		6.04
Dividend	Morgan Stanley Dean Witter & Co.	04-26-02	04-26-02		14.95
Dividend	Danaher Corp.	04-30-02	04-30-02		0.40
Dividend	Cash	04-30-02	04-30-02		3.89
Dividend	Danaher Corp.	04-30-02	04-30-02		0.40
Dividend	Cash	04-30-02	04-30-02		3.89
Dividend	Dodge & Cox Balance Fd	04-30-02	04-30-02		135.42
Buy long	Dodge & Cox Balance Fd	04-30-02	04-30-02	2.026	135.42
Deposit	Cash	04-30-02	04-30-02		7,505.63
Deposit	Retirement Account Fee	04-30-02	04-30-02		30.00

April 2002 statement missing

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Danaher Corp.	04-30-02	04-30-02		5.30
Dividend	Cash	04-30-02	04-30-02		67.03
Withdraw	Cash	04-30-02	04-30-02		15,000.00
Dividend	Cash	04-30-02	04-30-02		17.91
Dividend	Cash	04-30-02	04-30-02		6.09
	Apollo Group A split on 05-01-02 with a factor of 1.50				
Dividend	Tyco Int'l Ltd. New	05-01-02	05-01-02		0.63
Dividend	Tyco Int'l Ltd. New	05-01-02	05-01-02		0.69
Sell long	American International Group Inc.	05-01-02	05-06-02	20.00	1,408.95
Sell long	British Pete Plc ADR	05-01-02	05-06-02	25.00	1,269.46
Sell long	Bank Of America Corp.	05-01-02	05-06-02	20.00	1,452.95
Sell long	Cardinal Health Inc.	05-01-02	05-06-02	30.00	2,106.23
Sell long	Cendant Corp.	05-01-02	05-06-02	70.00	1,254.36
Sell long	Citigroup Inc.	05-01-02	05-06-02	25.00	1,099.96
Buy long	Dell Computer Corp.	05-01-02	05-06-02	55.00	1,462.45
Sell long	Electronic Data Systems	05-01-02	05-06-02	25.00	1,372.45
Sell long	General Dynamics	05-01-02	05-06-02	15.00	1,476.10
Sell long	IBM	05-01-02	05-06-02	15.00	1,255.61
Sell long	International Paper	05-01-02	05-06-02	30.00	1,242.26
Sell long	Johnson Controls	05-01-02	05-06-02	25.00	2,171.43
Sell long	Lockheed Martin Corp.	05-01-02	05-06-02	20.00	1,256.16
Sell long	Microsoft Corp	05-01-02	05-06-02	25.00	1,317.46
Buy long	Micron Technologies	05-01-02	05-06-02	105.00	2,671.20
Sell long	Pfizer Corp	05-01-02	05-06-02	30.00	1,122.26
Sell long	Tenet Healthcare Corp.	05-01-02	05-06-02	20.00	1,472.75
Dividend	Tyco Int'l Ltd. New	05-01-02	05-01-02		10.13
Buy long	Union Pacific Corp.	05-01-02	05-06-02	40.00	2,334.00
Sell long	United Healthcare Corp.	05-01-02	05-06-02	15.00	1,342.75
Sell long	Wyeth	05-01-02	05-06-02	20.00	1,186.56
Sell long	Brocade Communications Sys	05-06-02	05-09-02	30.00	689.40
Sell long	Brocade Communications Sys	05-06-02	05-09-02	40.00	919.21
Sell long	Verisign Inc	05-06-02	05-09-02	35.00	352.10
Dividend	Masco Corporation	05-07-02	05-07-02		9.45
Dividend	Masco Corporation	05-07-02	05-07-02		10.13
Dividend	Masco Corporation	05-07-02	05-07-02		147.83
Dividend	General Dynamics	05-10-02	05-10-02		4.50
Buy long	Qualcomm Inc.	05-10-02	05-15-02	5.00	139.41
Sell long	Washington Mutual Inc.	05-10-02	05-15-02	10.00	376.01
Dividend	General Dynamics	05-10-02	05-10-02		4.50
Buy long	Qualcomm Inc.	05-10-02	05-15-02	5.00	139.41
Sell long	Washington Mutual Inc.	05-10-02	05-15-02	10.00	376.01

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	General Dynamics	05-10-02	05-10-02		70.50
Buy long	Qualcomm Inc.	05-10-02	05-15-02	185.00	5,158.02
Sell long	Washington Mutual Inc.	05-10-02	05-15-02	150.00	5,640.22
Buy long	Automatic Data Processing Inc.	05-13-02	05-16-02	30.00	1,631.54
Buy long	Lockheed Martin Corp.	05-13-02	05-16-02	15.00	917.06
Buy long	Target Corp	05-13-02	05-16-02	20.00	867.26
Dividend	Washington Mutual Inc.	05-15-02	05-15-02		14.30
Dividend	Washington Mutual Inc.	05-15-02	05-15-02		14.30
Dividend	Washington Mutual Inc.	05-15-02	05-15-02		209.30
Interest	Liberty Financial Co 6.750% Due 11-15-08	05-15-02	05-15-02		5,062.50
Dividend	Texas Instruments	05-20-02	05-20-02		1.59
Dividend	Apache Corp.	05-22-02	05-22-02		1.60
Dividend	Apache Corp.	05-22-02	05-22-02		1.60
Dividend	Apache Corp.	05-22-02	05-22-02		21.60
Dividend	Baker Hughes Inc.	05-24-02	05-24-02		4.03
Dividend	Citigroup Inc.	05-24-02	05-24-02		14.40
Dividend	Baker Hughes Inc.	05-24-02	05-24-02		4.60
Dividend	Citigroup Inc.	05-24-02	05-24-02		14.40
Dividend	Baker Hughes Inc.	05-24-02	05-24-02		64.40
Dividend	Citigroup Inc.	05-24-02	05-24-02		201.60
Dividend	Citigroup Inc.	05-24-02	05-24-02		26.10
Buy long	IBM	05-29-02	06-03-02	5.00	408.30
Buy long	Maxim Integrated Products Inc.	05-29-02	06-03-02	15.00	687.75
Sell long	TXU Corp	05-29-02	06-03-02	20.00	1,036.88
Buy long	IBM	05-29-02	06-03-02	5.00	408.30
Buy long	Maxim Integrated Products Inc.	05-29-02	06-03-02	15.00	687.75
Sell long	TXU Corp	05-29-02	06-03-02	25.00	1,296.12
Buy long	IBM	05-29-02	06-03-02	70.00	5,716.20
Buy long	Maxim Integrated Products Inc.	05-29-02	06-03-02	235.00	10,774.68
Sell long	TXU Corp	05-29-02	06-03-02	310.00	16,071.85
Sell long	TXU Corp	05-29-02	06-03-02	5.00	259.22
Dividend	Cash	05-31-02	05-31-02		3.71
Dividend	Cash	05-31-02	05-31-02		3.82
Dividend	Cash	05-31-02	05-31-02		7.63
Dividend	Cash	05-31-02	05-31-02		64.32
Withdraw	Cash	05-31-02	05-31-02		15,000.00
Dividend	Cash	05-31-02	05-31-02		17.97

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Cash	05-31-02	05-31-02		3.19
Dividend	Avon Products	06-03-02	06-03-02		6.00
Dividend	Intel Corp	06-03-02	06-03-02		1.20
Dividend	Wyeth	06-03-02	06-03-02		9.20
Dividend	Avon Products	06-03-02	06-03-02		6.00
Dividend	Intel Corp	06-03-02	06-03-02		1.20
Dividend	Wyeth	06-03-02	06-03-02		9.20
Dividend	Avon Products	06-03-02	06-03-02		83.00
Dividend	Intel Corp	06-03-02	06-03-02		18.30
Dividend	Wyeth	06-03-02	06-03-02		128.80
Dividend	Intel Corp	06-03-02	06-03-02		2.90
Dividend	Pfizer Corp	06-06-02	06-06-02		9.75
Dividend	Pfizer Corp	06-06-02	06-06-02		9.75
Dividend	Pfizer Corp	06-06-02	06-06-02		124.80
Dividend	Pfizer Corp	06-06-02	06-06-02		22.75
Sell long	Tyco Int'l Ltd. New	06-07-02	06-12-02	50.00	514.79
Sell long	Tyco Int'l Ltd. New	06-07-02	06-12-02	55.00	566.27
Sell long	Tyco Int'l Ltd. New	06-07-02	06-12-02	775.00	7,979.31
Sell long	Tyco Int'l Ltd. New	06-07-02	06-12-02	5.00	51.48
	First Data Corp split on 06-10-02 with a factor of 2.00				
Dividend	British Pete Plc Adr	06-10-02	06-10-02		15.33
Dividend	Electronic Data Systems	06-10-02	06-10-02		3.00
Dividend	IBM	06-10-02	06-10-02		3.00
Dividend	Newell Company (Newell Rubbermaid)	06-10-02	06-10-02		8.40
Dividend	Target Corp	06-10-02	06-10-02		2.70
Deposit	Foreign Tax Withheld	06-10-02	06-10-02		1.53
Dividend	British Pete Plc Adr	06-10-02	06-10-02		15.33
Dividend	Electronic Data Systems	06-10-02	06-10-02		3.00
Dividend	IBM	06-10-02	06-10-02		3.00
Dividend	Newell Company (Newell Rubbermaid)	06-10-02	06-10-02		8.40
Dividend	Target Corp	06-10-02	06-10-02		3.00
Deposit	Foreign Tax Withheld	06-10-02	06-10-02		1.53
Dividend	British Pete Plc Adr	06-10-02	06-10-02		205.09
Dividend	Electronic Data Systems	06-10-02	06-10-02		43.50
Dividend	IBM	06-10-02	06-10-02		40.50
Dividend	Newell Company (Newell Rubbermaid)	06-10-02	06-10-02		120.75
Dividend	Target Corp	06-10-02	06-10-02		38.10
Deposit	Foreign Tax Withheld	06-10-02	06-10-02		20.51
Dividend	Electr Data Sys Corp New	06-10-02	06-10-02		4.50

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Target Corp	06-10-02	06-10-02		2.40
Dividend	Johnson & Johnson	06-11-02	06-11-02		7.18
Buy long	Morgan Stanley Dean Witter & Co.	06-11-02	06-14-02	5.00	225.32
Buy long	Peoplesoft Incorporated	06-11-02	06-14-02	15.00	318.26
Dividend	XI Capital Ltd Cl A	06-11-02	06-11-02		9.40
Dividend	Johnson & Johnson	06-11-02	06-11-02		7.18
Buy long	Morgan Stanley Dean Witter & Co.	06-11-02	06-14-02	5.00	225.32
Buy long	Peoplesoft Incorporated	06-11-02	06-14-02	20.00	424.35
Dividend	XI Capital Ltd Cl A	06-11-02	06-11-02		9.40
Dividend	Johnson & Johnson	06-11-02	06-11-02		109.68
Buy long	Morgan Stanley Dean Witter & Co.	06-11-02	06-14-02	50.00	2,253.19
Buy long	Peoplesoft Incorporated	06-11-02	06-14-02	115.00	2,439.99
Dividend	XI Capital Ltd Cl A	06-11-02	06-11-02		119.85
Dividend	Johnson & Johnson	06-11-02	06-11-02		8.20
Dividend	Dupont E.I. De Nemours & Co.	06-12-02	06-12-02		15.75
Dividend	Valero Energy Corp	06-12-02	06-12-02		1.50
Dividend	Dupont E.I. De Nemours & Co.	06-12-02	06-12-02		15.75
Dividend	Valero Energy Corp	06-12-02	06-12-02		1.00
Dividend	Dupont E.I. De Nemours & Co.	06-12-02	06-12-02		222.25
Dividend	Valero Energy Corp	06-12-02	06-12-02		26.00
Dividend	American International Group Inc.	06-14-02	06-14-02		1.05
Dividend	International Paper	06-14-02	06-14-02		11.25
Dividend	American International Group Inc.	06-14-02	06-14-02		1.05
Dividend	International Paper	06-14-02	06-14-02		11.25
Dividend	American International Group Inc.	06-14-02	06-14-02		14.07
Dividend	International Paper	06-14-02	06-14-02		156.25
Sell long	Omnicom Group Inc.	06-16-02	06-19-02	35.00	1,986.67
Interest	Ford Mtr Credit Co 7.200% Due 06-15-07	06-17-02	06-17-02		5,400.00
Dividend	American International Group Inc.	06-17-02	06-17-02		3.15
Withdraw	Cash	06-21-02	06-21-02		5,000.00
Dividend	Harley Davidson Inc.	06-21-02	06-21-02		2.28

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Ambac Financial Group Inc.	06-24-02	06-27-02	10.00	685.92
Sell long	Lockheed Martin Corp.	06-26-02	07-01-02	5.00	341.22
Sell long	Lockheed Martin Corp.	06-26-02	07-01-02	5.00	341.22
Sell long	General Dynamics	06-26-02	07-01-02	40.00	4,146.01
Sell long	Lockheed Martin Corp.	06-26-02	07-01-02	55.00	3,753.53
Buy long	Amgen Inc.	06-27-02	07-02-02	20.00	835.15
Dividend	Home Depot Inc.	06-27-02	06-27-02		1.75
Sell long	Tenet Healthcare Corp.	06-27-02	07-02-02	5.00	362.56
Buy long	Amgen Inc.	06-27-02	07-02-02	20.00	835.15
Dividend	Home Depot Inc.	06-27-02	06-27-02		1.75
Sell long	Tenet Healthcare Corp.	06-27-02	07-02-02	5.00	362.56
Buy long	Amgen Inc.	06-27-02	07-02-02	250.00	10,439.37
Dividend	Home Depot Inc.	06-27-02	06-27-02		26.75
Sell long	Tenet Healthcare Corp.	06-27-02	07-02-02	55.00	3,988.21
Sell long	United Healthcare Corp.	06-27-02	07-02-02	50.00	4,497.66
Dividend	Home Depot Inc.	06-27-02	06-27-02		5.50
Dividend	Bank Of America Corp.	06-28-02	06-28-02		15.00
Buy long	Boeing Co	06-28-02	07-03-02	10.00	449.33
Dividend	Johnson Controls	06-28-02	06-28-02		6.60
Dividend	Lockheed Martin Corp.	06-28-02	06-28-02		3.85
Dividend	Pepsico Inc.	06-28-02	06-28-02		4.50
Dividend	Cash	06-28-02	06-28-02		3.51
Dividend	Bank Of America Corp.	06-28-02	06-28-02		15.00
Buy long	Boeing Co	06-28-02	07-03-02	15.00	673.99
Dividend	Johnson Controls	06-28-02	06-28-02		6.60
Dividend	Lockheed Martin Corp.	06-28-02	06-28-02		3.85
Dividend	Pepsico Inc.	06-28-02	06-28-02		4.50
Dividend	Cash	06-28-02	06-28-02		3.81
Dividend	Cash	06-28-02	06-28-02		6.91
Dividend	Bank Of America Corp.	06-28-02	06-28-02		216.00
Buy long	Boeing Co	06-28-02	07-03-02	130.00	5,841.27
Dividend	Johnson Controls	06-28-02	06-28-02		80.85
Dividend	Lockheed Martin Corp.	06-28-02	06-28-02		48.95
Dividend	Pepsico Inc.	06-28-02	06-28-02		58.50
Dividend	Cash	06-28-02	06-28-02		47.79
Withdraw	Cash	06-28-02	06-28-02		15,000.00
Dividend	Cash	06-28-02	06-28-02		19.17
Dividend	Federal Home Loan Mortgage (Freddie Mac)	06-28-02	06-28-02		9.90
Dividend	Lockheed Martin Corp.	06-28-02	06-28-02		1.65
Dividend	Cash	06-28-02	06-28-02		1.87
Dividend	Applera Corp	07-01-02	07-01-02		1.06

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Union Pacific Corp.	07-01-02	07-01-02		7.00
Dividend	Applera Corp	07-01-02	07-01-02		1.06
Dividend	Union Pacific Corp.	07-01-02	07-01-02		7.00
Dividend	Dodge & Cox Balance Fd	07-01-02	07-01-02		116.15
Buy long	Dodge & Cox Balance Fd	07-01-02	07-01-02	1.822	116.15
Dividend	Applera Corp	07-01-02	07-01-02		15.51
Dividend	Union Pacific Corp.	07-01-02	07-01-02		98.00
Dividend	Automatic Data Processing Inc.	07-01-02	07-01-02		3.45
Buy long	Lockheed Martin Corp.	07-04-02	07-09-02	5.00	345.29
	Tenet Healthcare Corp. split on	07-05-02	07-05-02	with a factor of 1.50	
Dividend	Omnicom Group Inc.	07-05-02	07-05-02		7.00
Sell long	Apache Corp.	07-08-02	07-11-02	15.00	850.62
Sell long	Applera Corp	07-08-02	07-11-02	15.00	255.29
Sell long	Avon Products	07-08-02	07-11-02	15.00	761.82
Sell long	Baker Hughes Inc.	07-08-02	07-11-02	20.00	646.98
Sell long	Bank Of America Corp.	07-08-02	07-11-02	10.00	711.97
Buy long	Boeing Co	07-08-02	07-11-02	105.00	4,674.60
Buy long	Cardinal Health Inc.	07-08-02	07-11-02	15.00	879.90
Sell long	Cendant Corp.	07-08-02	07-11-02	40.00	631.98
Sell long	Citigroup Inc.	07-08-02	07-11-02	20.00	765.77
Sell long	Danaher Corp.	07-08-02	07-11-02	10.00	644.98
Sell long	Dell Computer Corp.	07-08-02	07-11-02	85.00	2,145.33
Sell long	Dupont E.I. De Nemours & Co.	07-08-02	07-11-02	25.00	1,120.21
Sell long	Ebay Inc.	07-08-02	07-11-02	15.00	872.37
Sell long	General Dynamics	07-08-02	07-11-02	15.00	1,592.95
Sell long	General Electric	07-08-02	07-11-02	20.00	582.58
Sell long	Home Depot Inc.	07-08-02	07-11-02	20.00	746.97
Sell long	Household Intl Inc	07-08-02	07-11-02	25.00	1,225.71
Sell long	Intel Corp	07-08-02	07-11-02	35.00	636.28
Sell long	IBM	07-08-02	07-11-02	10.00	721.17
Sell long	Kohls Corp	07-08-02	07-11-02	10.00	722.97
Sell long	Masco Corporation	07-08-02	07-11-02	40.00	1,067.56
Sell long	Maxim Integrated Products Inc.	07-08-02	07-11-02	15.00	576.73
Sell long	Microsoft Corp	07-08-02	07-11-02	25.00	1,332.45
Sell long	Micron Technologies	07-08-02	07-11-02	75.00	1,574.20
Buy long	Morgan Stanley Dean Witter & Co.	07-08-02	07-11-02	60.00	2,582.40
Sell long	Newell Company (Newell Rubbermaid)	07-08-02	07-11-02	25.00	867.47

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Peoplesoft Incorporated	07-08-02	07-11-02	110.00	1,791.90
Sell long	Pepsico Inc.	07-08-02	07-11-02	15.00	724.77
Sell long	Philip Morris (Altria Group Inc)	07-08-02	07-11-02	20.00	943.17
Sell long	Qualcomm Inc.	07-08-02	07-11-02	50.00	1,353.95
Sell long	Target Corp	07-08-02	07-11-02	25.00	970.47
Sell long	Union Pacific Corp.	07-08-02	07-11-02	55.00	3,433.54
Sell long	Viacom Inc Cl B	07-08-02	07-11-02	10.00	431.68
Sell long	Washington Mutual Inc.	07-08-02	07-11-02	35.00	1,301.61
Sell long	Xl Capital Ltd Cl A	07-08-02	07-11-02	10.00	810.17
Sell long	Johnson & Johnson	07-08-02	07-11-02	20.00	1,071.16
Dividend	Philip Morris (Altria Group Inc)	07-10-02	07-10-02		23.20
Sell long	Tenet Healthcare Corp.	07-10-02	07-13-02	0.50	24.06
Deposit	Wrap Fee	07-10-02	07-10-02		355.55
Sell long	Tenet Healthcare Corp.	07-10-02	07-15-02	0.50	24.06
Dividend	Philip Morris (Altria Group Inc)	07-10-02	07-10-02		23.20
Deposit	Wrap Fee	07-10-02	07-10-02		359.07
Dividend	Philip Morris (Altria Group Inc)	07-10-02	07-10-02		324.80
Sell long	Tenet Healthcare Corp.	07-10-02	07-15-02	0.50	24.06
Deposit	Wrap Fee	07-10-02	07-10-02		4,951.28
Deposit	Wrap Fee	07-10-02	07-10-02		355.67
Dividend	Cardinal Health Inc.	07-15-02	07-15-02		0.63
Dividend	Household Intl Inc	07-15-02	07-15-02		10.00
Dividend	Cardinal Health Inc.	07-15-02	07-15-02		0.63
Dividend	Household Intl Inc	07-15-02	07-15-02		10.00
Dividend	Cardinal Health Inc.	07-15-02	07-15-02		9.00
Dividend	Household Intl Inc	07-15-02	07-15-02		143.75
Interest	Bank of America Corp 7.400% Due 01-15-11	07-15-02	07-15-02		5,550.00
Buy long	AT&T Corporation	07-16-02	07-19-02	70.00	727.01
Sell long	Electronic Data Systems	07-16-02	07-19-02	10.00	342.30
Sell long	Electronic Data Systems	07-16-02	07-19-02	10.00	342.30
Buy long	AT&T Corporation	07-16-02	07-19-02	70.00	727.01
Sell long	Electronic Data Systems	07-16-02	07-19-02	10.00	342.30
Sell long	Electronic Data Systems	07-16-02	07-19-02	10.00	342.30
Buy long	AT&T Corporation	07-16-02	07-19-02	985.00	10,230.01
Sell long	Electronic Data Systems	07-16-02	07-19-02	150.00	5,134.58
Sell long	Electronic Data Systems	07-16-02	07-19-02	5.00	171.15
Sell long	Electronic Data Systems	07-16-02	07-19-02	120.00	4,107.67

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	Electronic Data Systems	07-16-02	07-19-02	15.00	513.46
Buy long	Ambac Financial Group Inc.	07-16-02	07-19-02	15.00	847.95
Buy long	Amgen Inc.	07-17-02	07-22-02	10.00	362.11
Buy long	Maxim Integrated Products Inc.	07-17-02	07-22-02	10.00	418.79
Buy long	Pfizer Corp	07-17-02	07-22-02	15.00	436.48
Sell long	Wyeth	07-17-02	07-22-02	40.00	1,237.25
Buy long	Amgen Inc.	07-17-02	07-22-02	10.00	362.11
Buy long	Maxim Integrated Products Inc.	07-17-02	07-22-02	10.00	418.79
Buy long	Pfizer Corp	07-17-02	07-22-02	15.00	436.48
Sell long	Wyeth	07-17-02	07-22-02	40.00	1,237.25
Buy long	Amgen Inc.	07-17-02	07-22-02	145.00	5,250.63
Buy long	Maxim Integrated Products Inc.	07-17-02	07-22-02	145.00	6,072.46
Buy long	Pfizer Corp	07-17-02	07-22-02	315.00	9,166.00
Sell long	Wyeth	07-17-02	07-22-02	560.00	17,321.56
Dividend	Illinois Tool Works	07-19-02	07-19-02		4.40
Dividend	Illinois Tool Works	07-19-02	07-19-02		4.40
Dividend	Illinois Tool Works	07-19-02	07-19-02		59.40
Buy long	Boeing Co	07-22-02	07-25-02	15.00	581.29
Buy long	Qualcomm Inc.	07-22-02	07-25-02	15.00	439.42
Buy long	Viacom Inc Cl B	07-22-02	07-25-02	15.00	515.50
Buy long	Boeing Co	07-22-02	07-25-02	10.00	387.53
Buy long	Cendant Corp.	07-22-02	07-25-02	10.00	127.87
Buy long	Qualcomm Inc.	07-22-02	07-25-02	20.00	585.89
Buy long	Viacom Inc Cl B	07-22-02	07-25-02	15.00	515.50
Buy long	Boeing Co	07-22-02	07-25-02	85.00	3,294.00
Buy long	Cendant Corp.	07-22-02	07-25-02	300.00	3,836.04
Buy long	Qualcomm Inc.	07-22-02	07-25-02	145.00	4,247.72
Buy long	Viacom Inc Cl B	07-22-02	07-25-02	120.00	4,123.97
Buy long	Xl Capital Ltd Cl A	07-22-02	07-25-02	55.00	3,610.64
Dividend	General Electric	07-25-02	07-25-02		6.30
Dividend	General Electric	07-25-02	07-25-02		6.30
Dividend	General Electric	07-25-02	07-25-02		91.80
Dividend	Morgan Stanley Dean Witter & Co.	07-26-02	07-26-02		10.35
Dividend	Morgan Stanley Dean Witter & Co.	07-26-02	07-26-02		10.35
Dividend	Morgan Stanley Dean Witter & Co.	07-26-02	07-26-02		131.10
Dividend	Medtronic Inc.	07-26-02	07-26-02		6.56

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Morgan Stanley Dean Witter & Co.	07-26-02	07-26-02		14.95
Buy long	Apollo Group A	07-26-02	07-31-02	20.00	773.33
Buy long	Bed Bath & Beyond Inc	07-26-02	07-31-02	40.00	1,237.57
Sell long	Clear Channel Communications	07-26-02	07-31-02	45.00	1,188.19
Withdraw	Cash	07-30-02	07-30-02		15,000.00
Dividend	Danaher Corp.	07-31-02	07-31-02		0.40
Dividend	Cash	07-31-02	07-31-02		3.02
Dividend	Danaher Corp.	07-31-02	07-31-02		0.40
Dividend	Cash	07-31-02	07-31-02		3.13
Dividend	Cash	07-31-02	07-31-02		8.08
Dividend	Danaher Corp.	07-31-02	07-31-02		4.90
Dividend	Cash	07-31-02	07-31-02		46.86
Dividend	Cash	07-31-02	07-31-02		24.98
Dividend	Cash	07-31-02	07-31-02		2.63
Sell long	American International Group Inc.	08-01-02	08-06-02	20.00	1,258.96
Sell long	Avon Products	08-01-02	08-06-02	15.00	677.97
Sell long	British Pete Plc ADR	08-01-02	08-06-02	15.00	667.17
Sell long	Baker Hughes Inc.	08-01-02	08-06-02	20.00	515.78
Buy long	Boeing Co	08-01-02	08-06-02	30.00	1,218.90
Sell long	Cardinal Health Inc.	08-01-02	08-06-02	15.00	839.07
Buy long	Cendant Corp.	08-01-02	08-06-02	170.00	2,313.70
Sell long	Cisco Systems Inc.	08-01-02	08-06-02	65.00	783.22
Sell long	Citigroup Inc.	08-01-02	08-06-02	40.00	1,272.36
Sell long	Dell Computer Corp.	08-01-02	08-06-02	25.00	600.23
Sell long	Dupont E.I. De Nemours & Co.	08-01-02	08-06-02	25.00	1,038.46
Sell long	General Electric	08-01-02	08-06-02	15.00	475.18
Sell long	Home Depot Inc.	08-01-02	08-06-02	20.00	592.18
Sell long	Household Intl Inc	08-01-02	08-06-02	20.00	819.97
Sell long	Illinois Tool Works	08-01-02	08-06-02	10.00	645.78
Sell long	Intel Corp	08-01-02	08-06-02	5.00	89.15
Sell long	Intel Corp	08-01-02	08-06-02	30.00	534.88
Sell long	International Paper	08-01-02	08-06-02	25.00	982.47
Sell long	Johnson Controls	08-01-02	08-06-02	10.00	794.57
Sell long	Lockheed Martin Corp.	08-01-02	08-06-02	20.00	1,264.96
Sell long	Masco Corporation	08-01-02	08-06-02	35.00	816.17
Sell long	Microsoft Corp	08-01-02	08-06-02	15.00	693.43
Sell long	Microsoft Corp	08-01-02	08-06-02	10.00	462.28
Sell long	Micron Technologies	08-01-02	08-06-02	20.00	368.18

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	Morgan Stanley Dean Witter & Co.	08-01-02	08-06-02	20.00	795.17
Sell long	Newell Company (Newell Rubbermaid)	08-01-02	08-06-02	20.00	654.98
Sell long	Peoplesoft Incorporated	08-01-02	08-06-02	35.00	598.13
Sell long	Pepsico Inc.	08-01-02	08-06-02	15.00	644.38
Buy long	Pfizer Corp	08-01-02	08-06-02	35.00	1,115.45
Sell long	Philip Morris (Altria Group Inc)	08-01-02	08-06-02	20.00	904.57
Buy long	Qualcomm Inc.	08-01-02	08-06-02	60.00	1,537.20
Sell long	Target Corp	08-01-02	08-06-02	15.00	474.88
Sell long	Target Corp	08-01-02	08-06-02	10.00	316.59
Sell long	Tenet Healthcare Corp.	08-01-02	08-06-02	15.00	710.07
Sell long	Union Pacific Corp.	08-01-02	08-06-02	20.00	1,163.96
Buy long	Viacom Inc Cl B	08-01-02	08-06-02	40.00	1,521.60
Sell long	Washington Mutual Inc.	08-01-02	08-06-02	20.00	732.17
Buy long	XI Capital Ltd Cl A	08-01-02	08-06-02	25.00	1,831.00
Sell long	Johnson & Johnson	08-01-02	08-06-02	20.00	1,037.36
Interest	Ford Motor Co Bond 6.500% Due 08-01-18	08-01-02	08-01-02		4,875.00
Dividend	General Dynamics	08-02-02	08-02-02		4.50
Dividend	General Dynamics	08-02-02	08-02-02		4.50
Dividend	General Dynamics	08-02-02	08-02-02		54.00
Dividend	Masco Corporation	08-12-02	08-12-02		9.45
Dividend	Masco Corporation	08-12-02	08-12-02		10.13
Dividend	Masco Corporation	08-12-02	08-12-02		132.98
Dividend	Washington Mutual Inc.	08-15-02	08-15-02		12.15
Dividend	Washington Mutual Inc.	08-15-02	08-15-02		12.15
Dividend	Washington Mutual Inc.	08-15-02	08-15-02		167.40
Buy long	AT&T Corporation	08-16-02	08-21-02	45.00	475.68
Buy long	Cisco Systems Inc.	08-16-02	08-21-02	30.00	436.76
Sell long	United Healthcare Corp.	08-16-02	08-21-02	10.00	895.23
Buy long	AT&T Corporation	08-16-02	08-21-02	45.00	475.68
Buy long	Cisco Systems Inc.	08-16-02	08-21-02	25.00	363.96
Sell long	United Health Group Inc.	08-16-02	08-21-02	10.00	895.25
Buy long	AT&T Corporation	08-16-02	08-21-02	605.00	6,395.27
Buy long	Cisco Systems Inc.	08-16-02	08-21-02	435.00	6,332.99
Sell long	United Healthcare Corp.	08-16-02	08-21-02	125.00	11,190.42
Dividend	XI Capital Ltd Cl A	08-19-02	08-19-02		9.40
Dividend	XI Capital Ltd Cl A	08-19-02	08-19-02		9.40
Dividend	XI Capital Ltd Cl A	08-19-02	08-19-02		141.00
Dividend	Texas Instruments	08-19-02	08-19-02		1.59

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	Apache Corp.	08-20-02	08-23-02	16.50	881.45
Buy long	Applera Corp	08-20-02	08-23-02	15.00	286.05
Buy long	Valero Energy Corp	08-20-02	08-23-02	10.00	341.87
Buy long	Travelers Property Cas Crp A	08-20-02	08-20-02	30.00	430.92
Actual Trade Date 8/27/02					
Buy long	Travelers Property Cas Crp A	08-20-02	08-20-02	20.00	325.30
Actual Trade Date 8/21/02					
Sell long	Apache Corp.	08-20-02	08-23-02	16.00	881.45
Buy long	Applera Corp	08-20-02	08-23-02	15.00	286.05
Buy long	Valero Energy Corp	08-20-02	08-23-02	15.00	512.80
Actual Trade Date 8/21/02					
Buy long	Travelers Property Cas Crp A	08-20-02	08-20-02	30.00	430.92
Actual Trade Date 8/27/02					
Buy long	Travelers Property Cas Crp A	08-20-02	08-20-02	20.00	325.30
Actual Trade Date 8/21/02					
Sell long	Apache Corp.	08-20-02	08-23-02	201.00	11,073.21
Buy long	Applera Corp	08-20-02	08-23-02	175.00	3,337.25
Buy long	Valero Energy Corp	08-20-02	08-23-02	90.00	3,076.83
Buy long	Travelers Property Cas Crp A	08-20-02	08-20-02	240.00	3,903.65
Actual Trade date 8/21/02					
	Travelers Property Cas Crp A split on 08-21-02 with a factor of 1.19				
Adjust cost long	Travelers Property Cas Crp A	08-21-02	08-21-02	285.00	1,428.75
Buy long	Travelers Property Cas Crp B	08-21-02	08-21-02	94.00	1,428.75
Adjust cost long	Citigroup Inc.	08-21-02	08-21-02	145.00	87.41
Buy long	Travelers Property Cas Crp A	08-21-02	08-21-02	6.00	87.41
Adjust cost long	Citigroup Inc.	08-21-02	08-21-02	145.00	185.59
Buy long	Travelers Property Cas Crp B	08-21-02	08-21-02	12.00	185.59
Sell long	Travelers Property Cas Crp A	08-21-02	08-26-02	6.00	87.41
Sell long	Travelers Property Cas Crp B	08-21-02	08-26-02	12.00	185.59
Dividend	Apache Corp.	08-22-02	08-22-02		1.60
Dividend	Apache Corp.	08-22-02	08-22-02		1.60
Dividend	Apache Corp.	08-22-02	08-22-02		20.10
Dividend	Baker Hughes Inc.	08-23-02	08-23-02		4.03
Dividend	Citigroup Inc.	08-23-02	08-23-02		14.40
Dividend	Baker Hughes Inc.	08-23-02	08-23-02		4.60
Dividend	Citigroup Inc.	08-23-02	08-23-02		14.40
Dividend	Baker Hughes Inc.	08-23-02	08-23-02		62.10
Dividend	Citigroup Inc.	08-23-02	08-23-02		198.00
Dividend	Citigroup Inc.	08-23-02	08-23-02		26.10
Sell long	General Electric	08-27-02	08-30-02	25.00	799.46
Sell long	General Electric	08-27-02	08-30-02	10.00	319.79
Buy long	JP Morgan Chase & Co.	08-27-02	08-30-02	30.00	817.97

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	General Electric	08-27-02	08-30-02	25.00	799.46
Sell long	General Electric	08-27-02	08-30-02	10.00	319.79
Buy long	JP Morgan Chase & Co.	08-27-02	08-30-02	30.00	817.97
Sell long	General Electric	08-27-02	08-30-02	455.00	14,550.37
Sell long	General Electric	08-27-02	08-30-02	20.00	639.58
Buy long	JP Morgan Chase & Co.	08-27-02	08-30-02	415.00	11,315.25
Buy long	Travelers Property Cas Crp A	08-27-02	08-30-02	420.00	6,032.94
Dividend	Citigroup Inc.	08-28-02	08-28-02		7.27
Dividend	Citigroup Inc.	08-28-02	08-28-02		1.74
Sell long	Travelers Property Cas Crp A	08-28-02	09-03-02	7.00	106.39
Dividend	Citigroup Inc.	08-28-02	08-28-02		7.27
Dividend	Citigroup Inc.	08-28-02	08-28-02		1.74
Sell long	Travelers Property Cas Crp A	08-28-02	09-03-02	7.37	106.39
Dividend	Citigroup Inc.	08-28-02	08-28-02		12.69
Dividend	Citigroup Inc.	08-28-02	08-28-02		1.56
Sell long	Travelers Property Cas Crp B	08-28-02	09-03-02	94.00	1,428.75
Dividend	Citigroup Inc.	08-28-02	08-28-02		4.22
Dividend	Citigroup Inc.	08-28-02	08-28-02		14.96
Dividend	Cash	08-30-02	08-30-02		1.37
Dividend	Cash	08-30-02	08-30-02		1.33
Dividend	Cash	08-30-02	08-30-02		7.12
Dividend	Cash	08-30-02	08-30-02		25.79
Withdraw	Cash	08-30-02	08-30-02		15,000.00
Dividend	Cash	08-30-02	08-30-02		29.21
Dividend	Cash	08-30-02	08-30-02		0.76
Buy long	Apollo Group A	09-02-02	09-05-02	10.00	412.80
Sell long	Pfizer Corp	09-02-02	09-05-02	30.00	968.07
Dividend	Avon Products	09-03-02	09-03-02		6.00
Dividend	Intel Corp	09-03-02	09-03-02		1.20
Dividend	Avon Products	09-03-02	09-03-02		6.00
Dividend	Intel Corp	09-03-02	09-03-02		1.20
Dividend	Avon Products	09-03-02	09-03-02		77.00
Dividend	Intel Corp	09-03-02	09-03-02		16.90
Interest	Archer Daniels Midland Debenture 7.125% Due 03-01-13	09-03-02	09-03-02		5,343.75
Dividend	Intel Corp	09-03-02	09-03-02		2.90
Buy long	AT&T Corporation	09-04-02	09-09-02	70.00	806.40
Sell long	Bank Of America Corp.	09-04-02	09-09-02	20.00	1,344.75
Sell long	Cendant Corp.	09-04-02	09-09-02	65.00	891.12
Sell long	Citigroup Inc.	09-04-02	09-09-02	40.00	1,192.36
Buy long	Colgate Palmolive Co.	09-04-02	09-09-02	275.00	14,726.25

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	Ebay Inc.	09-04-02	09-09-02	20.00	1,082.96
Sell long	Household Intl Inc	09-04-02	09-09-02	20.00	675.17
Sell long	Illinois Tool Works	09-04-02	09-09-02	5.00	324.59
Sell long	Intel Corp	09-04-02	09-09-02	20.00	318.99
Sell long	International Paper	09-04-02	09-09-02	15.00	553.63
Sell long	Intuit Inc.	09-04-02	09-09-02	35.00	1,572.15
Sell long	Masco Corporation	09-04-02	09-09-02	30.00	698.67
Sell long	Microsoft Corp	09-04-02	09-09-02	30.00	1,429.45
Sell long	Micron Technologies	09-04-02	09-09-02	15.00	254.54
Sell long	Morgan Stanley Dean Witter & Co.	09-04-02	09-09-02	15.00	600.43
Sell long	Peoplesoft Incorporated	09-04-02	09-09-02	25.00	374.48
Sell long	Pepsico Inc.	09-04-02	09-09-02	350.00	13,611.08
Sell long	Pepsico Inc.	09-04-02	09-09-02	10.00	388.89
Sell long	Pfizer Corp	09-04-02	09-09-02	50.00	1,588.45
Buy long	Philip Morris (Altria Group Inc)	09-04-02	09-09-02	10.00	480.20
Sell long	Qualcomm Inc.	09-04-02	09-09-02	25.00	670.22
Dividend	Ambac Financial Group Inc.	09-04-02	09-04-02		2.50
Buy long	Colgate Palmolive Co.	09-05-02	09-10-02	20.00	1,134.48
Sell long	Pepsico Inc.	09-05-02	09-10-02	30.00	1,110.98
Dividend	Pfizer Corp	09-05-02	09-05-02		11.70
Buy long	Colgate Palmolive Co.	09-05-02	09-10-02	20.00	1,134.48
Sell long	Pepsico Inc.	09-05-02	09-10-02	30.00	1,110.98
Dividend	Pfizer Corp	09-05-02	09-05-02		11.70
Dividend	Pfizer Corp	09-05-02	09-05-02		170.30
Dividend	Pfizer Corp	09-05-02	09-05-02		22.75
Dividend	Boeing Co	09-06-02	09-06-02		4.25
Dividend	Boeing Co	09-06-02	09-06-02		4.25
Dividend	Boeing Co	09-06-02	09-06-02		59.50
Dividend	British Pete Plc Adr	09-09-02	09-09-02		16.00
Deposit	Foreign Tax Withheld	09-09-02	09-09-02		1.60
Dividend	British Pete Plc Adr	09-09-02	09-09-02		16.00
Deposit	Foreign Tax Withheld	09-09-02	09-09-02		1.60
Dividend	British Pete Plc Adr	09-09-02	09-09-02		208.00
Deposit	Foreign Tax Withheld	09-09-02	09-09-02		20.80
Dividend	IBM	09-10-02	09-10-02		3.75
Dividend	Johnson & Johnson	09-10-02	09-10-02		7.18
Dividend	Newell Company (Newell Rubbermaid)	09-10-02	09-10-02		8.40
Dividend	Target Corp	09-10-02	09-10-02		2.70
Dividend	IBM	09-10-02	09-10-02		3.75

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Johnson & Johnson	09-10-02	09-10-02		7.18
Dividend	Newell Company (Newell Rubbermaid)	09-10-02	09-10-02		8.40
Dividend	Target Corp	09-10-02	09-10-02		3.00
Dividend	IBM	09-10-02	09-10-02		49.50
Dividend	Johnson & Johnson	09-10-02	09-10-02		101.48
Dividend	Newell Company (Newell Rubbermaid)	09-10-02	09-10-02		111.30
Dividend	Target Corp	09-10-02	09-10-02		35.10
Dividend	Electr Data Sys Corp New	09-10-02	09-10-02		4.50
Dividend	Johnson & Johnson	09-10-02	09-10-02		8.20
Dividend	Target Corp	09-10-02	09-10-02		3.60
Dividend	Valero Energy Corp	09-11-02	09-11-02		1.50
Dividend	Valero Energy Corp	09-11-02	09-11-02		1.00
Dividend	Valero Energy Corp	09-11-02	09-11-02		26.00
Dividend	Dupont E.I. De Nemours & Co.	09-12-02	09-12-02		15.75
Dividend	Dupont E.I. De Nemours & Co.	09-12-02	09-12-02		15.75
Dividend	Dupont E.I. De Nemours & Co.	09-12-02	09-12-02		204.75
Dividend	International Paper	09-16-02	09-16-02		11.25
Dividend	International Paper	09-16-02	09-16-02		11.25
Dividend	International Paper	09-16-02	09-16-02		150.00
Sell long	American International Group Inc.	09-17-02	09-20-02	10.00	589.98
Sell long	Amgen Inc.	09-17-02	09-20-02	5.00	228.34
Sell long	Applera Corp	09-17-02	09-20-02	15.00	283.19
Sell long	Avon Products	09-17-02	09-20-02	15.00	752.22
Sell long	British Pete Plc ADR	09-17-02	09-20-02	20.00	841.97
Sell long	Baker Hughes Inc.	09-17-02	09-20-02	20.00	560.98
Sell long	Bank Of America Corp.	09-17-02	09-20-02	5.00	342.83
Sell long	Boeing Co	09-17-02	09-20-02	15.00	550.93
Sell long	Cardinal Health Inc.	09-17-02	09-20-02	10.00	648.68
Sell long	Cendant Corp.	09-17-02	09-20-02	5.00	71.59
Sell long	Colgate Palmolive Co.	09-17-02	09-20-02	5.00	279.19
Sell long	Danaher Corp.	09-17-02	09-20-02	20.00	1,105.56
Sell long	Dell Computer Corp.	09-17-02	09-20-02	20.00	538.38
Sell long	Dupont E.I. De Nemours & Co.	09-17-02	09-20-02	20.00	789.97
Sell long	General Dynamics	09-17-02	09-20-02	15.00	1,245.86
Sell long	Home Depot Inc.	09-17-02	09-20-02	10.00	334.89

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Sell long	Home Depot Inc.	09-17-02	09-20-02	5.00	167.44
Sell long	Illinois Tool Works	09-17-02	09-20-02	5.00	301.74
Sell long	Intel Corp	09-17-02	09-20-02	10.00	156.69
Sell long	IBM	09-17-02	09-20-02	25.00	1,798.19
Sell long	International Paper	09-17-02	09-20-02	10.00	350.48
Sell long	JP Morgan Chase & Co.	09-17-02	09-20-02	15.00	321.74
Sell long	Johnson Controls	09-17-02	09-20-02	5.00	402.08
Sell long	Kohls Corp	09-17-02	09-20-02	10.00	716.77
Sell long	Lockheed Martin Corp.	09-17-02	09-20-02	10.00	643.98
Sell long	Masco Corporation	09-17-02	09-20-02	5.00	120.74
Sell long	Maxim Integrated Products Inc.	09-17-02	09-20-02	5.00	129.99
Sell long	Micron Technologies	09-17-02	09-20-02	5.00	81.24
Sell long	Morgan Stanley Dean Witter & Co.	09-17-02	09-20-02	10.00	392.98
Sell long	Newell Company (Newell Rubbermaid)	09-17-02	09-20-02	20.00	683.57
Sell long	Peoplesoft Incorporated	09-17-02	09-20-02	10.00	143.69
Sell long	Philip Morris (Altria Group Inc)	09-17-02	09-20-02	30.00	1,413.85
Sell long	Qualcomm Inc.	09-17-02	09-20-02	5.00	136.39
Sell long	Target Corp	09-17-02	09-20-02	20.00	720.17
Sell long	Tenet Healthcare Corp.	09-17-02	09-20-02	15.00	762.87
Sell long	Travelers Property Cas Crp A	09-17-02	09-20-02	10.00	138.09
Sell long	Union Pacific Corp.	09-17-02	09-20-02	15.00	882.87
Sell long	Viacom Inc Cl B	09-17-02	09-20-02	15.00	627.73
Sell long	Washington Mutual Inc.	09-17-02	09-20-02	25.00	832.22
Sell long	XI Capital Ltd Cl A	09-17-02	09-20-02	10.00	740.07
Sell long	Johnson & Johnson	09-17-02	09-20-02	15.00	816.87
Dividend	Home Depot Inc.	09-19-02	09-19-02		1.75
Dividend	Home Depot Inc.	09-19-02	09-19-02		1.75
Dividend	Home Depot Inc.	09-19-02	09-19-02		24.75
Dividend	Home Depot Inc.	09-19-02	09-19-02		5.50
Dividend	American International Group Inc.	09-20-02	09-20-02		1.18
Dividend	American International Group Inc.	09-20-02	09-20-02		1.18
Dividend	American International Group Inc.	09-20-02	09-20-02		14.81
Dividend	American International Group Inc.	09-20-02	09-20-02		3.53
Sell long	Electr Data Sys Corp New	09-20-02	09-25-02	30.00	355.48

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Bank Of America Corp.	09-27-02	09-27-02		15.00
Dividend	Pepsico Inc.	09-27-02	09-27-02		4.50
Dividend	Bank Of America Corp.	09-27-02	09-27-02		15.00
Dividend	Pepsico Inc.	09-27-02	09-27-02		4.50
Dividend	Bank Of America Corp.	09-27-02	09-27-02		210.00
Dividend	Pepsico Inc.	09-27-02	09-27-02		54.00
Dividend	Johnson Controls	09-30-02	09-30-02		6.60
Dividend	Lockheed Martin Corp.	09-30-02	09-30-02		3.30
Dividend	Cash	09-30-02	09-30-02		1.34
Dividend	Johnson Controls	09-30-02	09-30-02		6.60
Dividend	Lockheed Martin Corp.	09-30-02	09-30-02		3.30
Dividend	Cash	09-30-02	09-30-02		1.25
Dividend	Cash	09-30-02	09-30-02		7.17
Dividend	Johnson Controls	09-30-02	09-30-02		77.55
Dividend	Lockheed Martin Corp.	09-30-02	09-30-02		40.70
Dividend	Cash	09-30-02	09-30-02		30.08
Withdraw	Cash	09-30-02	09-30-02		15,000.00
Dividend	Cash	09-30-02	09-30-02		32.74
Withdraw	Cash	09-30-02	09-30-02		10,000.00
Dividend	Federal Home Loan Mortgage (Freddie Mac)	09-30-02	09-30-02		9.90
Dividend	Harley Davidson Inc.	09-30-02	09-30-02		2.28
Dividend	Lockheed Martin Corp.	09-30-02	09-30-02		2.20
Dividend	Cash	09-30-02	09-30-02		1.34
Dividend	Applera Corp	10-01-02	10-01-02		1.70
Dividend	Union Pacific Corp.	10-01-02	10-01-02		7.00
Dividend	Applera Corp	10-01-02	10-01-02		1.70
Dividend	Union Pacific Corp.	10-01-02	10-01-02		7.00
Dividend	Dodge & Cox Balance Fd	10-01-02	10-01-02		121.99
Buy long	Dodge & Cox Balance Fd	10-01-02	10-01-02	2.039	121.99
Dividend	Applera Corp	10-01-02	10-01-02		22.31
Dividend	Union Pacific Corp.	10-01-02	10-01-02		83.00
Interest	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	10-01-02	10-01-02		5,437.50
Dividend	Automatic Data Processing Inc.	10-01-02	10-01-02		3.45
Sell long	Medtronic Inc.	10-06-02	10-09-02	20.00	871.97
Deposit	Wrap Fee	10-07-02	10-07-02		313.28
Deposit	Wrap Fee	10-07-02	10-07-02		316.22
Deposit	Wrap Fee	10-07-02	10-07-02		4,172.55
Deposit	Wrap Fee	10-07-02	10-07-02		294.46

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Buy long	Apollo Group A	10-08-02	10-11-02	10.00	432.30
Sell long	EMC Corp Mass	10-08-02	10-11-02	85.00	387.02
Buy long	Health Management Assoc.	10-08-02	10-11-02	30.00	617.98
Buy long	Lennar Corp.	10-08-02	10-11-02	20.00	1,090.04
Sell long	Medtronic Inc.	10-08-02	10-11-02	20.00	898.02
Withdraw	Cash	10-09-02	10-09-02		10,000.00
Dividend	Philip Morris (Altria Group Inc)	10-10-02	10-10-02		25.60
Dividend	Philip Morris (Altria Group Inc)	10-10-02	10-10-02		25.60
Dividend	Philip Morris (Altria Group Inc)	10-10-02	10-10-02		339.20
Sell long	Morgan Stanley Dean Witter & Co.	10-14-02	10-17-02	30.00	1,152.00
Sell long	Texas Instruments	10-14-02	10-17-02	65.00	1,115.73
Sell long	Texas Instruments	10-14-02	10-17-02	10.00	171.65
Dividend	Cardinal Health Inc.	10-15-02	10-15-02		0.63
Dividend	Household Intl Inc	10-15-02	10-15-02		10.00
Dividend	Cardinal Health Inc.	10-15-02	10-15-02		0.63
Dividend	Household Intl Inc	10-15-02	10-15-02		10.00
Dividend	Cardinal Health Inc.	10-15-02	10-15-02		8.75
Dividend	Household Intl Inc	10-15-02	10-15-02		127.50
Buy long	First Data Corp	10-16-02	10-21-02	40.00	1,349.00
Dividend	Illinois Tool Works	10-18-02	10-18-02		4.60
Dividend	Illinois Tool Works	10-18-02	10-18-02		4.60
Dividend	Illinois Tool Works	10-18-02	10-18-02		57.50
Buy long	Health Management Assoc.	10-22-02	10-25-02	30.00	625.17
Dividend	Morgan Stanley Dean Witter & Co.	10-25-02	10-25-02		10.35
Dividend	Morgan Stanley Dean Witter & Co.	10-25-02	10-25-02		10.35
Dividend	Morgan Stanley Dean Witter & Co.	10-25-02	10-25-02		134.55
Dividend	Medtronic Inc.	10-25-02	10-25-02		6.56
Dividend	Morgan Stanley Dean Witter & Co.	10-25-02	10-25-02		14.95
Deposit	Cash	10-30-02	10-30-02		211.12
Deposit	Cash	10-30-02	10-30-02		213.10
Wrap fee credited					
Dividend	Danaher Corp.	10-31-02	10-31-02		0.50
Dividend	JP Morgan Chase & Co.	10-31-02	10-31-02		10.20
Dividend	Cash	10-31-02	10-31-02		1.15

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Deposit	Service Fee	10-31-02	10-31-02		50.00
Dividend	Danaher Corp.	10-31-02	10-31-02		0.50
Dividend	JP Morgan Chase & Co.	10-31-02	10-31-02		10.20
Dividend	Cash	10-31-02	10-31-02		1.07
Deposit	Termination Fee	10-31-02	10-31-02		50.00
Dividend	Cash	10-31-02	10-31-02		7.09
Dividend	Danaher Corp.	10-31-02	10-31-02		5.38
Dividend	JP Morgan Chase & Co.	10-31-02	10-31-02		136.00
Dividend	Cash	10-31-02	10-31-02		31.57
Deposit	Cash	10-31-02	10-31-02		2,766.58
wrap fee credited					
Dividend	Cash	10-31-02	10-31-02		22.85
Dividend	Cash	10-31-02	10-31-02		2.08
Dividend	AT&T Corporation	11-01-02	11-01-02		4.31
Dividend	AT&T Corporation	11-01-02	11-01-02		4.31
Deposit	Retirement Account Fee	11-01-02	11-01-02		30.00
Deposit	Termination Fee	11-01-02	11-01-02		50.00
Dividend	AT&T Corporation	11-01-02	11-01-02		62.25
Deposit	Termination Fee	11-01-02	11-01-02		50.00
Deposit	Termination Fee	11-01-02	11-01-02		50.00
Deposit	Termination Fee	11-01-02	11-01-02		50.00
Withdraw	Wrap Fee	11-04-02	11-04-02		182.43
Sell long	Dodge & Cox Balance Fd	11-05-02	11-08-02	233.938	14,239.80
Sell long	Dodge & Cox Balance Fd	11-05-02	11-08-02	1.920	116.87
Sell long	Dodge & Cox Balance Fd	11-05-02	11-08-02	2.092	127.34
Sell long	Dodge & Cox Balance Fd	11-05-02	11-08-02	4.452	270.99
Sell long	Dodge & Cox Balance Fd	11-05-02	11-08-02	1.825	111.09
Sell long	Dodge & Cox Balance Fd	11-05-02	11-08-02	0.876	53.32
Sell long	Dodge & Cox Balance Fd	11-05-02	11-08-02	2.026	123.32
Sell long	Dodge & Cox Balance Fd	11-05-02	11-08-02	1.822	110.91
Sell long	Dodge & Cox Balance Fd	11-05-02	11-08-02	2.039	124.12
Transfer out long	American International Group Inc.	11-06-02		25.00	1,603.00
Transfer out long	Amgen Inc.	11-06-02		20.00	906.80
Transfer out long	Amgen Inc.	11-06-02		10.00	453.40
Transfer out long	Applera Corp	11-06-02		25.00	532.00
Transfer out long	Applera Corp	11-06-02		15.00	319.20
Transfer out long	AT&T Corporation	11-06-02		70.00	955.50
Transfer out long	AT&T Corporation	11-06-02		45.00	614.25
Transfer out long	Avon Products	11-06-02		20.00	995.60
Transfer out long	Avon Products	11-06-02		10.00	497.80
Transfer out long	Baker Hughes Inc.	11-06-02		35.00	1,067.85

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Bank Of America Corp.	11-06-02		15.00	1,011.60
Transfer out long	Bank Of America Corp.	11-06-02		10.00	674.40
Transfer out long	Boeing Co	11-06-02		10.00	322.90
Transfer out long	Boeing Co	11-06-02		15.00	484.35
Transfer out long	British Pete Plc Adr	11-06-02		15.00	561.00
Transfer out long	British Pete Plc Adr	11-06-02		5.00	187.00
Transfer out long	British Pete Plc Adr	11-06-02		20.00	748.00
Transfer out long	Cardinal Health Inc.	11-06-02		15.00	1,030.95
Transfer out long	Cardinal Health Inc.	11-06-02		10.00	687.30
Transfer out long	Cendant Corp.	11-06-02		45.00	548.55
Transfer out long	Cendant Corp.	11-06-02		15.00	182.85
Transfer out long	Cendant Corp.	11-06-02		45.00	548.55
Transfer out long	Cisco Systems Inc.	11-06-02		20.00	247.00
Transfer out long	Cisco Systems Inc.	11-06-02		40.00	494.00
Transfer out long	Cisco Systems Inc.	11-06-02		30.00	370.50
Transfer out long	Citigroup Inc.	11-06-02		65.00	2,369.25
Transfer out long	Citigroup Inc.	11-06-02		15.00	546.75
Transfer out long	Colgate Palmolive Co.	11-06-02		20.00	1,076.80
Transfer out long	Danaher Corp.	11-06-02		10.00	573.20
Transfer out long	Danaher Corp.	11-06-02		10.00	573.20
Transfer out long	Dell Computer Corp.	11-06-02		30.00	894.00
Transfer out long	Dell Computer Corp.	11-06-02		20.00	596.00
Transfer out long	Ebay Inc.	11-06-02		15.00	954.90
Transfer out long	Ebay Inc.	11-06-02		10.00	636.60
Transfer out long	General Dynamics	11-06-02		10.00	815.20
Transfer out long	General Dynamics	11-06-02		5.00	407.60
Transfer out long	Home Depot Inc.	11-06-02		35.00	951.65
Transfer out long	Household Intl Inc	11-06-02		20.00	475.20
Transfer out long	Household Intl Inc	11-06-02		10.00	237.60
Transfer out long	Household Intl Inc	11-06-02		10.00	237.60
Transfer out long	Illinois Tool Works	11-06-02		15.00	941.70
Transfer out long	Illinois Tool Works	11-06-02		5.00	313.90
Transfer out long	Intel Corp	11-06-02		60.00	1,106.40
Transfer out long	International Paper	11-06-02		20.00	716.00
Transfer out long	International Paper	11-06-02		10.00	358.00
Transfer out long	International Paper	11-06-02		15.00	537.00
Transfer out long	IBM	11-06-02		15.00	1,184.25
Transfer out long	IBM	11-06-02		5.00	394.75
Transfer out long	IBM	11-06-02		5.00	394.75
Transfer out long	Intuit Inc.	11-06-02		20.00	1,066.20
Transfer out long	JP Morgan Chase & Co.	11-06-02		30.00	618.00
Transfer out long	Johnson & Johnson	11-06-02		10.00	598.90

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Johnson & Johnson	11-06-02		15.00	898.35
Transfer out long	Johnson & Johnson	11-06-02		10.00	598.90
Transfer out long	Johnson Controls	11-06-02		20.00	1,605.20
Transfer out long	Kohls Corp	11-06-02		10.00	620.00
Transfer out long	Lockheed Martin Corp.	11-06-02		10.00	549.00
Transfer out long	Lockheed Martin Corp.	11-06-02		20.00	1,098.00
Transfer out long	Masco Corporation	11-06-02		30.00	647.40
Transfer out long	Masco Corporation	11-06-02		25.00	539.50
Transfer out long	Masco Corporation	11-06-02		15.00	323.70
Transfer out long	Maxim Integrated Products Inc.	11-06-02		15.00	512.85
Transfer out long	Maxim Integrated Products Inc.	11-06-02		10.00	341.90
Transfer out long	Micron Technologies	11-06-02		10.00	165.20
Transfer out long	Micron Technologies	11-06-02		10.00	165.20
Transfer out long	Morgan Stanley Dean Witter & Co.	11-06-02		20.00	796.80
Transfer out long	Morgan Stanley Dean Witter & Co.	11-06-02		20.00	796.80
Transfer out long	Morgan Stanley Dean Witter & Co.	11-06-02		5.00	199.20
Transfer out long	Newell Company (Newell Rubbermaid)	11-06-02		30.00	948.60
Transfer out long	Newell Company (Newell Rubbermaid)	11-06-02		10.00	316.20
Transfer out long	Peoplesoft Incorporated	11-06-02		20.00	375.80
Transfer out long	Peoplesoft Incorporated	11-06-02		10.00	187.90
Transfer out long	Peoplesoft Incorporated	11-06-02		25.00	469.75
Transfer out long	Peoplesoft Incorporated	11-06-02		15.00	281.85
Transfer out long	Pfizer Corp	11-06-02		75.00	2,518.50
Transfer out long	Pfizer Corp	11-06-02		15.00	503.70
Transfer out long	Philip Morris (Altria Group Inc)	11-06-02		40.00	1,722.40
Transfer out long	Qualcomm Inc.	11-06-02		15.00	524.10
Transfer out long	Qualcomm Inc.	11-06-02		5.00	174.70
Transfer out long	Qualcomm Inc.	11-06-02		15.00	524.10
Transfer out long	Target Corp	11-06-02		10.00	308.20
Transfer out long	Target Corp	11-06-02		15.00	462.30
Transfer out long	Target Corp	11-06-02		20.00	616.40
Transfer out long	Tenet Healthcare Corp.	11-06-02		37.00	1,034.15
Withdraw	Cash	11-06-02	11-06-02		1,387.65
Transfer out long	Union Pacific Corp.	11-06-02		10.00	609.50

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Union Pacific Corp.	11-06-02		5.00	304.75
Transfer out long	Union Pacific Corp.	11-06-02		10.00	609.50
Transfer out long	Union Pacific Corp.	11-06-02		10.00	609.50
Transfer out long	Valero Energy Corp	11-06-02		15.00	541.05
Transfer out long	Valero Energy Corp	11-06-02		10.00	360.70
Transfer out long	Viacom Inc Cl B	11-06-02		10.00	440.00
Transfer out long	Viacom Inc Cl B	11-06-02		15.00	660.00
Transfer out long	Washington Mutual Inc.	11-06-02		30.00	1,077.90
Transfer out long	Washington Mutual Inc.	11-06-02		15.00	538.95
Transfer out long	Xl Capital Ltd Cl A	11-06-02		10.00	765.00
Transfer out long	Xl Capital Ltd Cl A	11-06-02		5.00	382.50
Transfer out long	Xl Capital Ltd Cl A	11-06-02		5.00	382.50
Transfer out long	Travelers Property Cas Crp A	11-06-02		28.62	423.93
Transfer out long	Travelers Property Cas Crp A	11-06-02		23.75	351.74
Transfer out long	American International Group Inc.	11-06-02		25.00	1,603.00
Transfer out long	Amgen Inc.	11-06-02		20.00	906.80
Transfer out long	Amgen Inc.	11-06-02		10.00	453.40
Transfer out long	Applera Corp	11-06-02		25.00	532.00
Transfer out long	Applera Corp	11-06-02		15.00	319.20
Transfer out long	AT&T Corporation	11-06-02		70.00	955.50
Transfer out long	AT&T Corporation	11-06-02		45.00	614.25
Transfer out long	Avon Products	11-06-02		20.00	995.60
Transfer out long	Avon Products	11-06-02		10.00	497.80
Transfer out long	Baker Hughes Inc.	11-06-02		40.00	1,220.40
Transfer out long	Bank Of America Corp.	11-06-02		15.00	1,011.60
Transfer out long	Bank Of America Corp.	11-06-02		10.00	674.40
Transfer out long	Boeing Co	11-06-02		15.00	484.35
Transfer out long	Boeing Co	11-06-02		10.00	322.90
Transfer out long	British Pete Plc Adr	11-06-02		15.00	561.00
Transfer out long	British Pete Plc Adr	11-06-02		5.00	187.00
Transfer out long	British Pete Plc Adr	11-06-02		20.00	748.00
Transfer out long	Cardinal Health Inc.	11-06-02		15.00	1,030.95
Transfer out long	Cardinal Health Inc.	11-06-02		10.00	687.30
Transfer out long	Cendant Corp.	11-06-02		45.00	548.55
Transfer out long	Cendant Corp.	11-06-02		20.00	243.80
Transfer out long	Cendant Corp.	11-06-02		40.00	487.60
Transfer out long	Cendant Corp.	11-06-02		10.00	121.90
Transfer out long	Cisco Systems Inc.	11-06-02		30.00	370.50
Transfer out long	Cisco Systems Inc.	11-06-02		35.00	432.25
Transfer out long	Cisco Systems Inc.	11-06-02		25.00	308.75
Transfer out long	Citigroup Inc.	11-06-02		65.00	2,369.25

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Citigroup Inc.	11-06-02		15.00	549.75
Transfer out long	Colgate Palmolive Co.	11-06-02		20.00	1,076.80
Transfer out long	Danaher Corp.	11-06-02		10.00	573.20
Transfer out long	Danaher Corp.	11-06-02		10.00	573.20
Transfer out long	Dell Computer Corp.	11-06-02		30.00	894.00
Transfer out long	Dell Computer Corp.	11-06-02		20.00	596.00
Transfer out long	Ebay Inc.	11-06-02		15.00	954.90
Transfer out long	Ebay Inc.	11-06-02		10.00	636.60
Transfer out long	General Dynamics	11-06-02		5.00	407.60
Transfer out long	General Dynamics	11-06-02		5.00	407.60
Transfer out long	General Dynamics	11-06-02		5.00	407.60
Transfer out long	Home Depot Inc.	11-06-02		35.00	951.65
Transfer out long	Household Intl Inc	11-06-02		20.00	475.20
Transfer out long	Household Intl Inc	11-06-02		10.00	237.60
Transfer out long	Household Intl Inc	11-06-02		10.00	237.60
Transfer out long	Illinois Tool Works	11-06-02		15.00	941.70
Transfer out long	Illinois Tool Works	11-06-02		5.00	313.90
Transfer out long	Intel Corp	11-06-02		60.00	1,106.40
Transfer out long	International Paper	11-06-02		20.00	716.00
Transfer out long	International Paper	11-06-02		10.00	358.00
Transfer out long	International Paper	11-06-02		15.00	537.00
Transfer out long	IBM	11-06-02		15.00	1,184.25
Transfer out long	IBM	11-06-02		5.00	394.75
Transfer out long	IBM	11-06-02		5.00	394.75
Transfer out long	Intuit Inc.	11-06-02		20.00	1,066.20
Transfer out long	JP Morgan Chase & Co.	11-06-02		30.00	618.00
Transfer out long	Johnson & Johnson	11-06-02		10.00	598.90
Transfer out long	Johnson & Johnson	11-06-02		15.00	898.35
Transfer out long	Johnson & Johnson	11-06-02		10.00	598.90
Transfer out long	Johnson Controls	11-06-02		20.00	1,605.20
Transfer out long	Kohls Corp	11-06-02		10.00	620.00
Transfer out long	Lockheed Martin Corp.	11-06-02		10.00	549.00
Transfer out long	Lockheed Martin Corp.	11-06-02		20.00	1,098.00
Transfer out long	Masco Corporation	11-06-02		30.00	647.40
Transfer out long	Masco Corporation	11-06-02		25.00	539.50
Transfer out long	Masco Corporation	11-06-02		20.00	431.60
Transfer out long	Maxim Integrated Products Inc.	11-06-02		15.00	512.85
Transfer out long	Maxim Integrated Products Inc.	11-06-02		10.00	341.90
Transfer out long	Micron Technologies	11-06-02		15.00	247.80
Transfer out long	Micron Technologies	11-06-02		5.00	82.60

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Morgan Stanley Dean Witter & Co.	11-06-02		20.00	796.80
Transfer out long	Morgan Stanley Dean Witter & Co.	11-06-02		20.00	796.80
Transfer out long	Morgan Stanley Dean Witter & Co.	11-06-02		5.00	199.20
Transfer out long	Newell Company (Newell Rubbermaid)	11-06-02		30.00	948.60
Transfer out long	Newell Company (Newell Rubbermaid)	11-06-02		10.00	316.20
Transfer out long	Peoplesoft Incorporated	11-06-02		20.00	375.80
Transfer out long	Peoplesoft Incorporated	11-06-02		15.00	281.85
Transfer out long	Peoplesoft Incorporated	11-06-02		20.00	375.80
Transfer out long	Peoplesoft Incorporated	11-06-02		20.00	375.80
Transfer out long	Pfizer Corp	11-06-02		75.00	2,518.50
Transfer out long	Pfizer Corp	11-06-02		15.00	503.70
Transfer out long	Philip Morris (Altria Group Inc)	11-06-02		40.00	1,722.40
Transfer out long	Qualcomm Inc.	11-06-02		15.00	542.10
Transfer out long	Qualcomm Inc.	11-06-02		5.00	174.70
Transfer out long	Qualcomm Inc.	11-06-02		20.00	698.80
Transfer out long	Target Corp	11-06-02		15.00	462.30
Transfer out long	Target Corp	11-06-02		15.00	462.30
Transfer out long	Target Corp	11-06-02		20.00	616.40
Transfer out long	Tenet Healthcare Corp.	11-06-02		37.00	1,034.15
Withdraw	Cash	11-06-02	11-06-02		1,294.44
Transfer out long	Travelers Property Cas Crp A	11-06-02		28.25	418.38
Transfer out long	Travelers Property Cas Crp A	11-06-02		23.75	351.74
Transfer out long	Union Pacific Corp.	11-06-02		10.00	609.50
Transfer out long	Union Pacific Corp.	11-06-02		5.00	304.75
Transfer out long	Union Pacific Corp.	11-06-02		10.00	609.50
Transfer out long	Union Pacific Corp.	11-06-02		10.00	609.50
Transfer out long	Valero Energy Corp	11-06-02		10.00	360.70
Transfer out long	Valero Energy Corp	11-06-02		15.00	541.05
Transfer out long	Viacom Inc Cl B	11-06-02		10.00	440.00
Transfer out long	Viacom Inc Cl B	11-06-02		15.00	660.00
Transfer out long	Washington Mutual Inc.	11-06-02		30.00	1,077.90
Transfer out long	Washington Mutual Inc.	11-06-02		15.00	538.95
Transfer out long	Xl Capital Ltd Cl A	11-06-02		10.00	765.00
Transfer out long	Xl Capital Ltd Cl A	11-06-02		5.00	382.50
Transfer out long	Xl Capital Ltd Cl A	11-06-02		5.00	382.50
Withdraw	Cash	11-06-02	11-06-02		7,439.63

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	American Power Conversion Corp.	11-06-02		100.00	1,445.00
Transfer out long	Archer Daniels Midland Debenture 7.125% Due 03-01-13	11-06-02		150,000	174,411.00
Transfer out long	Bank of America Corp 7.400% Due 01-15-11	11-06-02		150,000	174,583.50
Transfer out long	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	11-06-02		150,000	169,243.50
Sold Accrued Interes	Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	11-06-02	11-06-02		1,057.29
Transfer out long	Ford Motor Co Bond 6.500% Due 08-01-18	11-06-02		150,000	109,236.00
Transfer out long	Ford Mtr Credit Co 7.200% Due 06-15-07	11-06-02		150,000	138,999.00
Transfer out long	Liberty Financial Co 6.750% Due 11-15-08	11-06-02		150,000	136,926.00
Withdraw	Cash	11-06-02	11-06-02		21,749.82
Transfer out long	American International Group Inc.	11-07-02		300.00	19,236.00
Transfer out long	American International Group Inc.	11-07-02		5.00	320.60
Transfer out long	Amgen Inc.	11-07-02		245.00	11,108.30
Transfer out long	Amgen Inc.	11-07-02		145.00	6,574.30
Transfer out long	Applera Corp	11-07-02		335.00	7,128.80
Transfer out long	Applera Corp	11-07-02		175.00	3,724.00
Transfer out long	AT&T Corporation	11-07-02		985.00	13,445.25
Transfer out long	AT&T Corporation	11-07-02		605.00	8,258.25
Transfer out long	AT&T Corporation	11-07-02		70.00	955.50
Transfer out long	Avon Products	11-07-02		245.00	12,196.10
Transfer out long	Avon Products	11-07-02		5.00	248.90
Transfer out long	Avon Products	11-07-02		120.00	5,973.60
Transfer out long	Baker Hughes Inc.	11-07-02		500.00	15,255.00
Transfer out long	Bank Of America Corp.	11-07-02		125.00	8,430.00
Transfer out long	Bank Of America Corp.	11-07-02		200.00	13,488.00
Transfer out long	Boeing Co	11-07-02		115.00	3,713.35
Transfer out long	Boeing Co	11-07-02		105.00	3,390.45
Transfer out long	Boeing Co	11-07-02		85.00	2,744.65
Transfer out long	Boeing Co	11-07-02		30.00	968.70
Transfer out long	British Pete Plc Adr	11-07-02		125.00	4,675.00

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	British Pete Plc Adr	11-07-02		120.00	4,488.00
Transfer out long	British Pete Plc Adr	11-07-02		15.00	561.00
Transfer out long	British Pete Plc Adr	11-07-02		240.00	8,976.00
Transfer out long	Cardinal Health Inc.	11-07-02		180.00	12,371.40
Transfer out long	Cardinal Health Inc.	11-07-02		155.00	10,653.15
Transfer out long	Cardinal Health Inc.	11-07-02		15.00	1,030.95
Transfer out long	Cendant Corp.	11-07-02		425.00	5,180.75
Transfer out long	Cendant Corp.	11-07-02		295.00	3,596.05
Transfer out long	Cendant Corp.	11-07-02		625.00	7,618.75
Transfer out long	Cendant Corp.	11-07-02		300.00	3,657.00
Transfer out long	Cendant Corp.	11-07-02		170.00	2,072.30
Transfer out long	Cisco Systems Inc.	11-07-02		200.00	2,470.00
Transfer out long	Cisco Systems Inc.	11-07-02		5.00	61.75
Transfer out long	Cisco Systems Inc.	11-07-02		595.00	7,348.25
Transfer out long	Cisco Systems Inc.	11-07-02		435.00	5,372.25
Transfer out long	Citigroup Inc.	11-07-02		825.00	30,071.25
Transfer out long	Citigroup Inc.	11-07-02		10.00	364.50
Transfer out long	Citigroup Inc.	11-07-02		185.00	6,743.25
Transfer out long	Colgate Palmolive Co.	11-07-02		270.00	14,536.80
Transfer out long	Danaher Corp.	11-07-02		65.00	3,725.80
Transfer out long	Danaher Corp.	11-07-02		150.00	8,598.00
Transfer out long	Dell Computer Corp.	11-07-02		270.00	8,046.00
Transfer out long	Dell Computer Corp.	11-07-02		285.00	8,493.00
Transfer out long	Dell Computer Corp.	11-07-02		55.00	1,639.00
Transfer out long	Dupont E.I. De Nemours & Co.	11-07-02		175.00	7,519.75
Transfer out long	Dupont E.I. De Nemours & Co.	11-07-02		155.00	6,660.35
Transfer out long	Dupont E.I. De Nemours & Co.	11-07-02		235.00	10,097.95
Transfer out long	Ebay Inc.	11-07-02		150.00	9,549.00
Transfer out long	Ebay Inc.	11-07-02		145.00	9,230.70
Transfer out long	General Dynamics	11-07-02		55.00	4,483.60
Transfer out long	General Dynamics	11-07-02		95.00	7,744.40
Transfer out long	Home Depot Inc.	11-07-02		475.00	12,915.25
Transfer out long	Home Depot Inc.	11-07-02		5.00	135.95
Transfer out long	Household Intl Inc	11-07-02		150.00	3,564.00
Transfer out long	Household Intl Inc	11-07-02		155.00	3,682.80
Transfer out long	Household Intl Inc	11-07-02		205.00	4,870.80
Transfer out long	Illinois Tool Works	11-07-02		175.00	10,986.50
Transfer out long	Illinois Tool Works	11-07-02		75.00	4,708.50
Transfer out long	Intel Corp	11-07-02		805.00	14,844.20

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Intel Corp	11-07-02		10.00	184.40
Transfer out long	International Paper	11-07-02		235.00	8,413.00
Transfer out long	International Paper	11-07-02		140.00	5,012.00
Transfer out long	International Paper	11-07-02		200.00	7,160.00
Transfer out long	IBM	11-07-02		150.00	11,842.50
Transfer out long	IBM	11-07-02		85.00	6,710.75
Transfer out long	IBM	11-07-02		70.00	5,526.50
Transfer out long	Intuit Inc.	11-07-02		235.00	12,527.85
Transfer out long	JP Morgan Chase & Co.	11-07-02		400.00	8,240.00
Transfer out long	Johnson Controls	11-07-02		230.00	18,459.80
Transfer out long	Kohls Corp	11-07-02		135.00	8,370.00
Transfer out long	Kohls Corp	11-07-02		5.00	310.00
Transfer out long	Lockheed Martin Corp.	11-07-02		70.00	3,843.00
Transfer out long	Lockheed Martin Corp.	11-07-02		290.00	15,921.00
Transfer out long	Masco Corporation	11-07-02		295.00	6,366.10
Transfer out long	Masco Corporation	11-07-02		370.00	7,984.60
Transfer out long	Masco Corporation	11-07-02		250.00	5,395.00
Transfer out long	Maxim Integrated Products Inc.	11-07-02		215.00	7,350.85
Transfer out long	Maxim Integrated Products Inc.	11-07-02		145.00	4,957.55
Transfer out long	Micron Technologies	11-07-02		240.00	3,964.80
Transfer out long	Micron Technologies	11-07-02		190.00	3,138.80
Transfer out long	Micron Technologies	11-07-02		105.00	1,734.60
Transfer out long	Morgan Stanley Dean Witter & Co.	11-07-02		65.00	2,589.60
Transfer out long	Morgan Stanley Dean Witter & Co.	11-07-02		205.00	8,167.20
Transfer out long	Morgan Stanley Dean Witter & Co.	11-07-02		205.00	8,167.20
Transfer out long	Morgan Stanley Dean Witter & Co.	11-07-02		50.00	1,992.00
Transfer out long	Morgan Stanley Dean Witter & Co.	11-07-02		60.00	2,390.40
Transfer out long	Newell Company (Newell Rubbermaid)	11-07-02		385.00	12,173.70
Transfer out long	Newell Company (Newell Rubbermaid)	11-07-02		125.00	3,952.50
Transfer out long	Peoplesoft Incorporated	11-07-02		185.00	3,476.15
Transfer out long	Peoplesoft Incorporated	11-07-02		185.00	3,476.15
Transfer out long	Peoplesoft Incorporated	11-07-02		340.00	6,388.60
Transfer out long	Peoplesoft Incorporated	11-07-02		115.00	2,160.85

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Peoplesoft Incorporated	11-07-02		110.00	2,066.90
Transfer out long	Pfizer Corp	11-07-02		895.00	30,054.10
Transfer out long	Pfizer Corp	11-07-02		15.00	503.70
Transfer out long	Pfizer Corp	11-07-02		315.00	10,577.70
Transfer out long	Pfizer Corp	11-07-02		35.00	1,175.30
Transfer out long	Philip Morris (Altria Group Inc)	11-07-02		485.00	20,884.10
Transfer out long	Philip Morris (Altria Group Inc)	11-07-02		5.00	215.30
Transfer out long	Philip Morris (Altria Group Inc)	11-07-02		10.00	430.60
Transfer out long	Qualcomm Inc.	11-07-02		85.00	2,969.90
Transfer out long	Qualcomm Inc.	11-07-02		285.00	9,957.90
Transfer out long	Qualcomm Inc.	11-07-02		185.00	6,463.90
Transfer out long	Qualcomm Inc.	11-07-02		145.00	5,066.30
Transfer out long	Qualcomm Inc.	11-07-02		60.00	2,096.40
Transfer out long	Target Corp	11-07-02		175.00	5,393.50
Transfer out long	Target Corp	11-07-02		390.00	12,019.80
Transfer out long	Tenet Healthcare Corp.	11-07-02		449.50	12,563.53
Transfer out long	Tenet Healthcare Corp.	11-07-02		7.50	209.63
Withdraw	Cash	11-07-02	11-07-02		29,121.01
Transfer out long	Travelers Property Cas Crp A	11-07-02		275.00	4,072.75
Transfer out long	Travelers Property Cas Crp A	11-07-02		420.00	6,220.20
Transfer out long	Union Pacific Corp.	11-07-02		20.00	1,219.00
Transfer out long	Union Pacific Corp.	11-07-02		95.00	5,790.25
Transfer out long	Union Pacific Corp.	11-07-02		105.00	6,399.75
Transfer out long	Union Pacific Corp.	11-07-02		140.00	8,533.00
Transfer out long	Union Pacific Corp.	11-07-02		40.00	2,438.00
Transfer out long	Valero Energy Corp	11-07-02		260.00	9,378.20
Transfer out long	Valero Energy Corp	11-07-02		90.00	3,246.30
Transfer out long	Viacom Inc Cl B	11-07-02		100.00	4,400.00
Transfer out long	Viacom Inc Cl B	11-07-02		130.00	5,720.00
Transfer out long	Viacom Inc Cl B	11-07-02		120.00	5,280.00
Transfer out long	Viacom Inc Cl B	11-07-02		40.00	1,760.00
Transfer out long	Washington Mutual Inc.	11-07-02		385.00	13,833.05
Transfer out long	Washington Mutual Inc.	11-07-02		190.00	6,826.70
Transfer out long	Xl Capital Ltd Cl A	11-07-02		85.00	6,502.50
Transfer out long	Xl Capital Ltd Cl A	11-07-02		80.00	6,120.00
Transfer out long	Xl Capital Ltd Cl A	11-07-02		70.00	5,355.00
Transfer out long	Xl Capital Ltd Cl A	11-07-02		55.00	4,207.50
Transfer out long	Xl Capital Ltd Cl A	11-07-02		25.00	1,912.50
Transfer out long	Johnson & Johnson	11-07-02		55.00	3,293.95

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Johnson & Johnson	11-07-02		130.00	7,785.70
Transfer out long	Johnson & Johnson	11-07-02		155.00	9,282.95
Transfer out long	Johnson & Johnson	11-07-02		130.00	7,785.70
Transfer out long	Johnson & Johnson	11-07-02		10.00	598.90
Transfer out long	Ambac Financial Group Inc.	11-07-02		10.00	581.10
Transfer out long	Ambac Financial Group Inc.	11-07-02		15.00	871.65
Transfer out long	American International Group Inc.	11-07-02		75.00	4,809.00
Transfer out long	Apollo Group A	11-07-02		20.00	830.20
Transfer out long	Apollo Group A	11-07-02		10.00	415.10
Transfer out long	Apollo Group A	11-07-02		10.00	415.10
Transfer out long	Automatic Data Processing Inc.	11-07-02		30.00	1,278.30
Transfer out long	Baxter International Inc	11-07-02		35.00	901.25
Transfer out long	Baxter International Inc	11-07-02		10.00	257.50
Transfer out long	Bed Bath & Beyond Inc	11-07-02		40.00	1,342.80
Transfer out long	Cisco Systems Inc.	11-07-02		140.00	1,729.00
Transfer out long	Citigroup Inc.	11-07-02		145.00	5,285.25
Transfer out long	Federal Home Loan Mortgage (Freddie Mac)	11-07-02		25.00	1,502.30
Transfer out long	Federal Home Loan Mortgage (Freddie Mac)	11-07-02		5.00	300.50
Transfer out long	Federal Home Loan Mortgage (Freddie Mac)	11-07-02		15.00	901.50
Transfer out long	First Data Corp	11-07-02		40.00	1,420.00
Transfer out long	Genentech Inc New	11-07-02		60.00	2,107.80
Transfer out long	Genentech Inc New	11-07-02		10.00	351.30
Transfer out long	Harley Davidson Inc.	11-07-02		65.00	3,445.00
Transfer out long	Health Management Assoc.	11-07-02		30.00	600.30
Transfer out long	Health Management Assoc.	11-07-02		30.00	600.30
Transfer out long	Home Depot Inc.	11-07-02		110.00	2,990.90
Transfer out long	Intel Corp	11-07-02		145.00	2,673.80
Transfer out long	Johnson & Johnson	11-07-02		15.00	898.35
Transfer out long	Johnson & Johnson	11-07-02		25.00	1,497.25
Transfer out long	Lennar Corp.	11-07-02		20.00	1,066.20
Transfer out long	Lockheed Martin Corp.	11-07-02		15.00	823.50
Transfer out long	Lockheed Martin Corp.	11-07-02		5.00	274.50
Transfer out long	Medtronic Inc.	11-07-02		65.00	3,038.75
Transfer out long	Morgan Stanley Dean Witter & Co.	11-07-02		35.00	1,394.40
Transfer out long	Nokia Corp	11-07-02		185.00	3,167.20
Transfer out long	Pfizer Corp	11-07-02		145.00	4,869.10

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Transfer out long	Target Corp	11-07-02		40.00	1,232.80
Transfer out long	Target Corp	11-07-02		20.00	616.40
Withdraw	Cash	11-07-02	11-07-02		2,128.58
Transfer out long	Dupont E.I. De Nemours & Co.	11-08-02		20.00	859.40
Transfer out long	Dupont E.I. De Nemours & Co.	11-08-02		10.00	429.70
Transfer out long	Dupont E.I. De Nemours & Co.	11-08-02		15.00	644.55
Transfer out long	Microsoft Corp	11-08-02		55.00	3,030.50
Withdraw	Cash	11-08-02	11-08-02		4.31
Transfer out long	Dupont E.I. De Nemours & Co.	11-08-02		20.00	859.40
Transfer out long	Dupont E.I. De Nemours & Co.	11-08-02		10.00	429.70
Transfer out long	Dupont E.I. De Nemours & Co.	11-08-02		15.00	644.55
Transfer out long	Microsoft Corp	11-08-02		55.00	3,030.50
Withdraw	Cash	11-08-02	11-08-02		4.31
Dividend	Masco Corporation	11-12-02	11-12-02		9.80
Dividend	Masco Corporation	11-12-02	11-12-02		10.50
Dividend	Masco Corporation	11-12-02	11-12-02		128.10
Transfer out long	Microsoft Corp	11-12-02		695.00	37,884.45
Transfer out long	Microsoft Corp	11-12-02		10.00	545.10
Transfer out long	America Online (AOL Time Warner)	11-12-02		150.00	2,212.50
Transfer out long	America Online (AOL Time Warner)	11-12-02		10.00	150.60
Transfer out long	Microsoft Corp	11-12-02		65.00	3,543.15
Withdraw	Cash	11-12-02	11-12-02		182.43
Withdraw	Cash	11-14-02	11-14-02		15,277.76
Dividend	Colgate Palmolive Co.	11-15-02	11-15-02		3.60
Dividend	General Dynamics	11-15-02	11-15-02		4.50
Withdraw	Cash	11-15-02	11-15-02		9.80
Dividend	Washington Mutual Inc.	11-15-02	11-15-02		12.60
Dividend	Colgate Palmolive Co.	11-15-02	11-15-02		3.60
Dividend	General Dynamics	11-15-02	11-15-02		4.50
Withdraw	Cash	11-15-02	11-15-02		10.50
Dividend	Washington Mutual Inc.	11-15-02	11-15-02		12.60
Dividend	Colgate Palmolive Co.	11-15-02	11-15-02		48.60
Dividend	General Dynamics	11-15-02	11-15-02		45.00
Withdraw	Cash	11-15-02	11-15-02		128.10

TIA Group
TRANSACTION SUMMARY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Tran Code	Security	Trade Date	Settle Date	Quantity	Trade Amount
Dividend	Washington Mutual Inc.	11-15-02	11-15-02		161.00
	AT&T Corporation split on 11-19-02 with a factor of 0.19				
Withdraw	Cash	11-20-02	11-20-02		20.70
Withdraw	Cash	11-20-02	11-20-02		20.70
Withdraw	Cash	11-20-02	11-20-02		254.60
Dividend	Baker Hughes Inc.	11-22-02	11-22-02		4.03
Dividend	Citigroup Inc.	11-22-02	11-22-02		14.40
Dividend	Baker Hughes Inc.	11-22-02	11-22-02		4.60
Dividend	Citigroup Inc.	11-22-02	11-22-02		14.40
Dividend	Baker Hughes Inc.	11-22-02	11-22-02		57.50
Dividend	Citigroup Inc.	11-22-02	11-22-02		183.60
Dividend	Citigroup Inc.	11-22-02	11-22-02		26.10
Withdraw	Cash	11-27-02	11-27-02		18.43
Withdraw	Cash	11-27-02	11-27-02		19.00
Withdraw	Cash	11-27-02	11-27-02		241.10
Withdraw	Cash	11-27-02	11-27-02		26.10