

TIA Group
NET OUT OF POCKET BY SECURITY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Security	Realized Gains	Unrealized Gains	Interest Dividends	NOP
CASH AND EQUIVALENTS				
Cash	0.00		7,820.63	7,820.63
Cash Adjustment (Missing Statement)	0.00		(333.49)	(333.49)
CASH AND EQUIVALENTS Total	0.00		7,487.14	7,487.14
COMMON STOCK				
AES Corp	(9,204.06)	(73.28)	0.00	(9,277.34)
AT&T Corporation	0.00	5,961.44	70.87	6,032.31
Agilent Tech Inc.	(148.80)	0.00	0.00	(148.80)
Alcoa Inc	(2,294.92)	0.00	182.25	(2,112.67)
Ambac Financial Group Inc.	0.00	(81.12)	2.50	(78.62)
Amdocs Ltd Ordinary	(7,239.74)	0.00	0.00	(7,239.74)
America Online (AOL Time Warner)	(25,792.80)	(5,340.74)	0.00	(31,133.54)
American Home Products Corp.	0.00	3,441.99	151.80	3,593.79
American International Group Inc.	(979.43)	(8,326.39)	116.61	(9,189.21)
American Power Conversion Corp.	(53.29)	248.00	0.00	194.71
Amgen Inc.	19.55	2,527.27	0.00	2,546.82
Apache Corp.	3,627.71	0.00	79.90	3,707.61
Apollo Group A	0.00	41.97	0.00	41.97
Applera Corp	(1,341.61)	(4,879.20)	66.30	(6,154.51)
Applied Materials Inc.	(190.59)	0.00	0.00	(190.59)
Automatic Data Processing Inc.	0.00	(353.24)	6.90	(346.34)
Avon Products	239.38	1,119.37	422.65	1,781.40
BEA Systems Inc.	(3,361.39)	(1,592.50)	0.00	(4,953.89)
Baker Hughes Inc.	(335.82)	(2,282.23)	360.55	(2,257.50)
Bank Of America Corp.	666.70	4,618.60	1,002.00	6,287.30
Bank One Corp	(751.94)	0.00	141.75	(610.19)
Baxter International Inc	0.00	(1,341.31)	0.00	(1,341.31)
Bed Bath & Beyond Inc	0.00	105.23	0.00	105.23
Bio-Vascular Inc.	3,207.20	0.00	0.00	3,207.20
Boeing Co	(123.06)	(4,015.27)	68.00	(4,070.33)
British Pete Plc ADR	(370.49)	(6,562.11)	1,012.90	(5,919.70)
Brocade Communications Sys	(888.99)	(1,943.44)	0.00	(2,832.43)
CVS Corp. Delaware	(1,339.97)	0.00	4.60	(1,335.37)
Cardinal Health Inc.	(561.08)	(737.51)	49.68	(1,248.91)
Cendant Corp.	(1,419.29)	(7,499.56)	0.00	(8,918.85)
Chevrontexaco Corp	93.52	0.00	316.70	410.22
Cisco Systems Inc.	(556.04)	(8,049.92)	0.00	(8,605.96)

TIA Group
NET OUT OF POCKET BY SECURITY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Security	Realized Gains	Unrealized Gains	Interest Dividends	NOP
Citigroup Inc.	(2,356.32)	(17,035.43)	1,447.95	(17,943.80)
Clear Channel Communications	(1,529.00)	154.06	0.00	(1,374.94)
Colgate Palmolive Co.	(1,400.83)	(37.06)	105.30	(1,332.59)
Cox Communication Inc New A	(2,132.21)	0.00	0.00	(2,132.21)
Cree Inc.	587.74	0.00	0.00	587.74
Danaher Corp.	2,360.56	1,450.77	34.28	3,845.61
Dell Computer Corp.	(405.05)	1,948.00	0.00	1,542.95
Dow Chemical	(335.93)	0.00	547.74	211.81
Dupont E.I. De Nemours & Co.	1,669.35	3,082.94	943.25	5,695.54
Dynegy Inc. Cl A	(5,256.16)	0.00	33.01	(5,223.15)
EMC Corp Mass	(10,184.17)	(3,783.52)	0.00	(13,967.69)
Ebay Inc.	(24.12)	1,875.66	0.00	1,851.54
Electr Data Sys Corp New	(1,473.33)	0.00	13.50	(1,459.83)
Electronic Data Systems	(8,547.42)	0.00	186.00	(8,361.42)
Enron	(4,319.72)	(650.69)	14.25	(4,956.16)
Exodus Commns Inc.	(598.43)	0.00	0.00	(598.43)
Exxon Mobil Corp	(5,436.12)	0.00	239.10	(5,197.02)
Federal Home Loan Mortgage (Freddie Mac)	0.00	(266.92)	38.70	(228.22)
Federal Home Loan Mtg	(2,120.02)	0.00	315.80	(1,804.22)
Federated Dept Stores	(3,827.78)	0.00	0.00	(3,827.78)
First Data Corp	0.00	71.00	0.00	71.00
Ford Motor Corp	277.47	0.00	0.00	277.47
Gap Inc.	(1,283.82)	0.00	0.00	(1,283.82)
Genentech Inc New	0.00	(2,607.67)	0.00	(2,607.67)
General Dynamics	1,280.25	(290.15)	270.70	1,260.80
General Electric	(13,958.94)	0.00	727.10	(13,231.84)
General Motors Corp	219.80	0.00	50.00	269.80
Harley Davidson Inc.	0.00	426.56	15.62	442.18
Health Management Assoc.	0.00	(42.55)	0.00	(42.55)
Hewlett-Packard Company	(105.10)	0.00	0.00	(105.10)
Home Depot Inc.	(1,236.21)	(13,202.53)	204.10	(14,234.64)
Honeywell Inc.	(3,482.21)	0.00	105.01	(3,377.20)
Household Intl Inc	(2,424.85)	(20,646.85)	722.65	(22,349.05)
IBM	(2,282.87)	(10,869.05)	216.90	(12,935.02)
Illinois Tool Works	(41.08)	(1,115.76)	249.30	(907.54)
Intel Corp	(1,592.87)	(13,444.25)	126.80	(14,910.32)
International Paper	423.02	(787.60)	666.25	301.67
Intuit Inc.	(10.54)	2,994.93	0.00	2,984.39
JDS Uniphase Corp.	(1,487.58)	(5,312.50)	0.00	(6,800.08)
JP Morgan Chase & Co.	(4,002.77)	(3,066.21)	593.30	(6,475.68)
Johnson & Johnson	416.42	3,058.18	564.98	4,039.59
Johnson Controls	1,465.71	2,797.20	633.25	4,896.16

TIA Group
NET OUT OF POCKET BY SECURITY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Security	Realized Gains	Unrealized Gains	Interest Dividends	NOP
Juniper Networks Inc.	(1,164.78)	0.00	0.00	(1,164.78)
Keycorp New	(2,687.79)	0.00	219.78	(2,468.01)
Kohls Corp	1,777.49	226.10	0.00	2,003.59
Kroger Company	(7,720.92)	0.00	0.00	(7,720.92)
Lennar Corp.	0.00	(23.84)	0.00	(23.84)
Lockheed Martin Corp.	5,545.35	4,657.66	258.50	10,461.51
Masco Corporation	(360.81)	(4,334.79)	726.25	(3,969.35)
Maxim Integrated Products Inc.	(210.27)	(4,125.33)	0.00	(4,335.60)
McData Corp. Cl A	14.96	0.00	4.42	19.38
Mcgraw Hill Inc.	(4,070.25)	0.00	58.81	(4,011.44)
Medimmune Inc.	414.55	0.00	0.00	414.55
Medtronic Inc.	(4.99)	(1,228.44)	55.98	(1,177.45)
Merck & Co Inc	(1,944.95)	0.00	0.00	(1,944.95)
Micron Technologies	(1,585.92)	(8,460.86)	0.00	(10,046.78)
Microsoft Corp	(2,397.30)	(14,367.04)	0.00	(16,764.34)
Morgan Stanley Dean Witter & Co.	(3,298.88)	(6,864.48)	740.60	(9,422.76)
Network Appliance Inc.	(1,061.94)	0.00	0.00	(1,061.94)
Newell Company (Newell Rubbermaid)	412.53	1,818.94	372.75	2,604.22
Nokia Corp	0.00	(4,591.24)	89.66	(4,501.58)
Nortel Networks Corp New	(2,230.89)	0.00	2.15	(2,228.74)
Omnicom Group Inc.	(1,123.95)	279.99	47.26	(796.70)
Oracle Systems Corp.	(4,448.84)	0.00	0.00	(4,448.84)
Pe Corp Pe Biosystems Group	0.00	(2,169.38)	2.56	(2,166.82)
Peoplesoft Incorporated	(3,087.98)	(13,971.01)	0.00	(17,058.99)
Pepsico Inc.	(279.27)	0.00	424.88	145.61
Pfizer Corp	(2,350.37)	(9,698.62)	1,021.95	(11,027.04)
Pharmerica Inc.	(6,343.38)	0.00	129.61	(6,213.77)
Philip Morris (Altria Group Inc)	(68.08)	(3,491.85)	2,256.15	(1,303.78)
Providian Corp	(10,056.45)	0.00	0.00	(10,056.45)
Qualcomm Inc.	(1,544.55)	658.63	0.00	(885.92)
SBC Communications Inc	64.83	0.00	283.17	348.00
Schering Plough	(928.69)	0.00	0.00	(928.69)
Schlumberger Limited	(954.20)	0.00	0.00	(954.20)
Soletron Corp.	(4,069.20)	(1,105.00)	0.00	(5,174.20)
Sprint Corp PCS Com	(28,595.29)	0.00	0.00	(28,595.29)
Staples Inc. (Office Depot)	795.23	0.00	0.00	795.23
Sun Microsystems, Inc.	(1,182.46)	(4,305.00)	0.00	(5,487.46)
TXU Corp	1,234.20	0.00	681.00	1,915.20
Target Corp	1,082.20	(5,126.51)	247.00	(3,797.31)
Tenet Healthcare Corp.	4,892.55	(2,768.95)	0.00	2,123.60
Texaco	0.00	(3,285.70)	191.25	(3,094.45)

TIA Group
NET OUT OF POCKET BY SECURITY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

<u>Security</u>	<u>Realized Gains</u>	<u>Unrealized Gains</u>	<u>Interest Dividends</u>	<u>NOP</u>
Texas Instruments	(3,305.35)	(605.32)	389.45	(3,521.22)
Travelers Property Cas Crp A	90.15	2,079.18	0.00	2,169.33
Travelers Property Cas Crp B	0.00	0.00	0.00	0.00
Tyco Int'l Ltd. New	(40,912.40)	0.00	46.10	(40,866.30)
US Bancorp Delaware	(520.08)	0.00	28.12	(491.96)
US Bancorp Ore	(4,172.59)	0.00	223.13	(3,949.46)
Union Pacific Corp.	547.78	2,477.41	419.00	3,444.19
United Health Group Inc.	334.55	0.00	0.30	334.85
United Healthcare Corp.	6,948.21	0.00	6.00	6,954.21
United Technologies Corp.	(15,335.63)	0.00	133.88	(15,201.75)
Valero Energy Corp	0.00	(3,171.73)	57.00	(3,114.73)
Verisign Inc	(1,590.40)	(2,202.81)	0.00	(3,793.21)
Veritas Software Corp	(14,716.85)	0.00	0.00	(14,716.85)
Viacom Inc Cl B	(171.84)	569.83	0.00	397.99
Wal Mart	(205.17)	0.00	0.00	(205.17)
Washington Mutual Inc.	(354.55)	(749.88)	1,078.85	(25.58)
Watson Pharmaceutical Incorporated	(12,900.61)	0.00	0.00	(12,900.61)
Wells Fargo & Co.	(3,549.77)	0.00	208.60	(3,341.17)
Wyeth	(22,346.38)	0.00	147.20	(22,199.18)
Xl Capital Ltd Cl A	(330.02)	(3,995.98)	517.00	(3,809.00)
COMMON STOCK Total	(312,283.84)	(198,189.40)	24,189.16	(486,284.08)
CORPORATE BONDS				
Ford Mtr Credit Co 7.200% Due 06-15-07	0.00	(23,469.00)	20,940.00	(2,529.00)
Liberty Financial Co 6.750% Due 11-15-08	0.00	(15,461.25)	10,350.00	(5,111.25)
Citigroup Inc Subordinate Notes 7.250% Due 10-01-10	0.00	9,865.00	17,369.79	27,234.79
Bank of America Corp 7.400% Due 01-15-11	0.00	12,981.00	20,350.00	33,331.00
Archer Daniels Midland Debenture 7.125% Due 03-01-13	0.00	13,877.00	18,465.62	32,342.62
Ford Motor Co Bond 6.500% Due 08-01-18	0.00	(37,955.33)	17,658.33	(20,297.00)
CORPORATE BONDS Total	0.00	(40,162.58)	105,133.74	64,971.16
MUTUAL FUNDS				
American High Income Trust Cl A	25.70	0.00	33.62	59.32
BT Fds Intl Equity	1,353.39	0.00	0.00	1,353.39

TIA Group
NET OUT OF POCKET BY SECURITY
Claimant v. Respondent
Sample Account Analysis
From 11-12-00 To 11-27-02

Security	Realized Gains	Unrealized Gains	Interest Dividends	NOP
Dodge & Cox Balance Fd	(963.37)	0.00	1,093.64	130.27
Fidelity Capital Tr Capital Appr Fd	2,657.13	0.00	0.00	2,657.13
Franklin Small Cap	4,227.26	0.00	0.00	4,227.26
Harbor Bond Fund	286.03	0.00	0.00	286.03
Harbor Cap Appreciation Fd	8,159.72	0.00	0.00	8,159.72
Invesco High Yield Bond Fund	(134.55)	0.00	1,164.30	1,029.75
Invesco Stock Fd.	4,527.30	0.00	0.00	4,527.30
Janus Worldwide Fund	5,068.30	0.00	0.00	5,068.30
Liberty Fds Tr III Newport Intl Eq Cl A	0.00	0.00	0.00	0.00
Liberty Fds Tr VI Growth & Income Cl A	0.00	0.00	0.00	0.00
Oppenheimer Global Fund A	2,039.20	0.00	0.00	2,039.20
Oppenheimer Main Street Funds Inc & Gr A	2,391.77	0.00	0.00	2,391.77
Oppenheimer Quest Cap Value Fund Inc Cl A	47.49	0.00	0.00	47.49
Oppenheimer Strategic Inc Fd A	86.56	0.00	147.15	233.71
Putnam Convertible Fund	328.82	0.00	0.00	328.82
Putnam International Growth Cl A	352.80	0.00	0.00	352.80
Putnam Voyager Fund Cl A	537.28	0.00	0.00	537.28
Rowe T Price Science & Rs Emerging Growth Fund	1,170.56	0.00	0.00	1,170.56
Smallcap World Fund	0.00	0.00	0.00	0.00
Vanguard Growth & Inc	6,203.49	0.00	0.00	6,203.49
Vanguard Index 500	5,543.96	0.00	0.00	5,543.96
MUTUAL FUNDS Total	44,078.34	0.00	2,438.71	46,517.05
TOTAL PORTFOLIO	(268,205.50)	(238,351.98)	139,248.75	(367,308.73)